

**Schools Forum**  
**Wednesday, 9 December 2020 at 8.00 am**  
**VENUE:** <https://bradford.public-i.tv/core/portal/home>

**PLEASE NOTE**

All meetings will be held in public; the agenda, decision list and minutes will be publicly available on the Council's website and Committee Secretariat, Room 112, City Hall, Bradford.

The taking of photographs, filming and sound recording of the meeting is allowed except if Councillors vote to exclude the public to discuss confidential matters covered by Schedule 12A of the Local Government Act 1972. Recording activity should be respectful to the conduct of the meeting and behaviour that disrupts the meeting (such as oral commentary) will not be permitted. Anyone attending the meeting who wishes to record or film the meeting's proceedings is advised to liaise with the Forum Clerk Asad Shah - 07541 624384 who will provide guidance and ensure that any necessary arrangements are in place. Those present who are invited to make spoken contributions should be aware that they may be filmed or sound recorded

**1. APOLOGIES FOR ABSENCE**

The City Solicitor will report the names of alternate Members who are attending the meeting in place of appointed Members.

**2. DISCLOSURES OF INTEREST**

To receive disclosures of interests from Members on matters to be considered at the meeting. The disclosure must include the nature of the interest.

An interest must also be disclosed in the meeting when it only becomes apparent to the member during the meeting.

**3. MINUTES OF 14 OCTOBER 2020 & MATTERS ARISING**

1 - 8

That the minutes of the meeting held on 14 October 2020 be signed as a correct record.

**4. MATTERS RAISED BY SCHOOLS**

Members will be asked to consider any issues raised by schools.

5. **STANDING ITEM - DSG SCHOOLS BLOCK GROWTH FUND ALLOCATIONS (a)**

9 - 12

Members will be asked to consider, in **Document MF**, newly proposed allocations to maintained secondary schools and secondary academies from the 2020/21 Schools Block Growth Fund.

**Recommended –**

- (1) **The Schools Forum is asked to agree to allocate a total of £767,028 from the Growth Fund in 2020/21 to maintained secondary schools and secondary academies as set out in Document MF.**
- (2) **Members are asked to note that an indicative total sum of £1,385,575 of surplus balance of Growth Fund is ring-fenced and is forecasted to be carried forward into 2021/22. The Schools Forum will be asked to consider the allocation and / or retention of this balance at the meeting on 13 January.**

*(Andrew Redding – 01274 432678)*

6. **IMPLICATIONS - COVID-19 (DISCUSSION CONTINUED) (a)**

13 - 16

The Business Advisor (Schools) will present a report, **Document MG**, which follows from the information previously presented to the Schools Forum, in July and September, to provide an update on the financial implications of COVID-19 for the DSG and schools, academies and other providers.

This report also asks for the Forum's view on possible arrangements for the operation of our Early Years Single Funding Formula (EYSFF) in the spring term 2021.

**Recommended –**

- (1) **The Schools Forum is asked to consider and to note the information provided and to present any further points of concern or feedback for the Forum's awareness and consideration.**
- (2) **The Schools Forum is asked for its views on possible EYSFF spring term 2021 arrangements.**

*(Andrew Redding – 01274 432678)*

**7. 2020/21 DSG SPENDING AND CARRY FORWARD BALANCES FORECAST (i)** 17 - 26

The Business Advisor (Schools) will present a report, **Document MH**, which updates Members on the forecasted spending positions of each of the DSG Blocks against the 2020/21 planned budgets. This document gives Members a view of the estimated values of balances to be carried forward into 2021/22 and a view of the uses of these balances.

**Recommended –**

**Members are asked to consider whether sufficient information has been provided to enable final recommendations to be made at the meeting on 13 January on the allocation and / or retention of estimated DSG balances.**

*(Andrew Redding – 01274 432678)*

**8. 2021/22 DSG UPDATE (i)** 27 - 32

The Business Advisor (Schools) will present a report, **Document MI**, which provides a summary-level indicative forecast of the DSG income / expenditure position for the 2021/22 financial year.

**Recommended –**

**Members are asked to consider the information presented in the report, for reference, in advance of the 13 January meeting.**

*(Andrew Redding – 01274 432678)*

**9. CONSULTATION OUTCOMES - SCHOOLS BLOCK FORMULAE 2021/22 (i)** 33 - 40

The Business Advisor (Schools) will present a report, **Document MJ**, which asks Members to consider the outcomes of the consultation on our 2021/22 Schools Block formula funding and Schools Block centrally managed funds.

**Recommended –**

- (1) The Forum is asked to consider the outcomes of the consultation and whether any of the proposals on the 2021/22 primary and secondary mainstream funding formulae, or Schools Block centrally funds and criteria, should be changed in the light of responses.**

- (2) **Members are asked to note that they will be asked to make final recommendations on the Schools Block formulae, and the criteria for the allocation of Schools Block central funds, on 13 January. As such, Members are asked to consider whether sufficient information has now been provided to enable these recommendations to be made.**

*Andrew Redding – 01274 432678)*

**10. EARLY YEARS BLOCK SETTLEMENT & SINGLE FUNDING FORMULA 2021/22 (i)**

41 - 44

The Business Advisor (Schools) will present a report, **Document MK**, and will provide verbally more information to the meeting, on the Early Years Block settlement for 2021/22, which is expected to be announced by the DfE prior to the 9 December. However, this announcement has not been made at the time the agenda and report have been written.

This report also asks Forum Members to consider the consultation on our 2021/22 Early Years Single Funding Formula. Due to how late the 2021/22 Early Years Block settlement and operational guidance have been published, we have not yet engaged in formal consultation with the early years sector. We will work with the Early Years Working Group (EYWG) to publish our proposals as soon as possible. Our consultation will need to extend beyond the 13 January Schools Forum meeting and therefore, our decision making processes on the Early Years Block will need to adjust for this changed timescale.

**Recommended –**

- (1) **The Forum is asked to consider the key elements of the Early Years Block settlement and operational guidance for 2021/22 as presented in Document MK and verbally to the meeting.**
- (2) **Members are asked to note that the Authority, following discussion with the EYWG, will publish a consultation document as soon as possible. However, this consultation will need to extend beyond the 13 January Schools Forum meeting. Therefore, Members are asked to note that our Early Years Block decision making will need to adjust for this. We anticipate presenting to the Forum on 13 January a planned Early Years Block budget for 2021/22 that is based on assumptions and estimates that are made prior to the conclusion of the consultation.**

*(Andrew Redding – 01274 432678)*

11. **CONSULTATION OUTCOMES & OTHER MATTERS - HIGH NEEDS 2021/22 (i)** 45 - 54

The Business Advisor (Schools) will present a report, **Document ML**, which asks Forum Members to consider the outcomes of the consultation on the 2021/22 High Needs Funding Model.

Document ML also provides an update on other strategic high needs funding matters - a statement on high needs places sufficiency - as per the schedule of information agreed with the Forum on 14 October. Members are asked to note that the 2019/20 exclusions report, due to the timetable for the census dataset release and analysis, will now be presented to the Forum on 10 March. Please note that the other information to be presented as per the schedule (High Needs Block planned budget and current spending position and balances) is presented under agenda items 7 and 8.

As discussed with the Forum in September, the report also includes an initial consideration of the cost of placements in independent provisions and non-maintained special schools.

**Recommended –**

- (1) The Schools Forum is asked to consider the information provided in respect of wider High Needs funding matters.**
- (2) The Forum is asked to consider the outcomes of the consultation on High Needs Funding for 2021/22 and whether any of the proposals should be changed in the light of responses.**
- (3) Members are asked to note that they will be asked to make their formal recommendations on the structure of the High Needs Block funding formulae on 13 January. As such, Members are asked to consider whether sufficient information has now been provided to enable these final recommendations to be made.**

*(Andrew Redding – 01274 432678)*

12. **INDICATIVE BUDGETS 2021/22 PRIMARY & SECONDARY SCHOOLS AND ACADEMIES (i)** 55 - 64

The Business Advisor (Schools) will present a report, **Document MM**, which provides Members with updated indicative modelling of mainstream Primary & Secondary formula funding delegated budget shares for 2021/22, using the pupil numbers taken from the October 2020 Census. This modelling is tabled only for information at this stage, prior to asking Members to make final recommendations on 13 January.

**Recommended –**

- (1) The Forum is asked to note the Primary and Secondary modelling information. A further update will be provided on 13 January, when Forum Members will be asked to make final recommendations on the values of funding allocated via each formula factor.**
- (2) Members are asked to consider whether sufficient information has been provided to enable final recommendations to be taken on 13 January on the matters presented in this report.**

*(Andrew Redding – 01274 432678)*

**13. CENTRAL SCHOOLS SERVICES, EARLY YEARS & SCHOOLS BLOCK FUNDS (i) 65 - 74**

The Business Advisor (Schools) will present a follow up report, **Document MN**, which asks Forum Members to further consider the position of the funding of Schools, Central Schools Services and Early Years Block central and de-delegated items in 2021/22, following the initial discussion had in the meeting of 14 October 2020.

**Recommended –**

- (1) Members are asked to note the information provided in the report.**
- (2) Members are asked to consider whether any further information is needed to enable final recommendations and decisions to be taken / made on 13 January on the funding of centrally managed and de-delegated Early Years, Schools and Central Schools Services Block items in 2021/22.**

*(Andrew Redding – 01274 432678)*

**14. SCHOOLS FORUM STANDING ITEMS (i)**

Updates on the following Forum standing items will be provided verbally where these have not been covered within other agenda items:

- Schools Forum membership
- Update from the High Needs Block Steering Group
- Update from the Schools Financial Performance Group (SFPG)
- Update on School / Academy Budgets
- Update from the Early Years Working Group (EYWG)
- Update from the Formula Funding Working Group (FFWG)
- Update on Primary School Places

- Update on Academies & Free Schools

**The Forum is asked to note the information provided.**

*(Andrew Redding – 01274 432678)*

**15. ANY OTHER BUSINESS / FUTURE AGENDA ITEMS**

Members will be asked for any additional items of business, for consideration at a future meeting.

**16. DATE OF NEXT MEETING**

Please see the published schedule of meetings – the next Forum meeting is scheduled for Wednesday 13 January. A provisional meeting is scheduled for Wednesday 20 January (if this is needed to complete 2021/22 DSG recommendations).

*(a) Denotes an item for action  
(including where a formal view or recommendation is required).*

*(i) Denotes an item for information.*

Signposting of High Needs Information

As per the schedule presented on 14 October 2020, “Forum members are expected to access ‘outside of the Forum meetings’ wider SEND information that is presented to other groups and that is already published, including information presented to the SEND Partnership. The Authority will signpost this information (webpage links) for Forum members at the bottom of agendas.”

- SEND Partnership Board (minutes of meetings):  
<https://localoffer.bradford.gov.uk/coproduction--feedback/send-strategic-partnership-board->

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**Note: These minutes are subject to approval as a correct record at the next meeting of the Schools Forum on 9 December 2020**

## **Schools Forum meeting held remotely on Wednesday 14 October 2020**

To view the archived recording of this meeting, please see here:

[https://bradford.public-i.tv/core/portal/webcast\\_interactive/516480](https://bradford.public-i.tv/core/portal/webcast_interactive/516480)

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Commenced 0808, Adjourned 0915  
Reconvened 0925, Concluded 1003

### **PRESENT**

#### **School Members**

Ashley Reed, Brent Fitzpatrick, Carol Dewhirst, Dianne Richardson, Dominic Wall, Graham Swinbourne, Helen Williams, Ian Morrel, Nicki Kilvington, Nigel Cooper, Sir Nick Weller, Sue Haithwaite, Sian Hudson, Tehmina Hashmi, Trevor Loft and Wahid Zaman.

#### **Non School Members**

Ian Murch.

### **DIANNE RICHARDSON IN THE CHAIR**

#### **Local Authority Officers**

Andrew Redding	Business Advisor (Schools)
Asad Shah	Governance Officer
Dawn Haigh	Principal Finance Officer – Schools
Marium Haque	Deputy Director, Education and Learning
Niall Devlin	Strategic Manager, Integrated Assessment

#### **Apologies**

Alison Kaye, Donna Willoughby, Bryan Harrison.

#### **Observers**

Councillor Ward and Michael Thorp

### **488. DISCLOSURES OF INTEREST**

The following disclosures of interests were received –

Ian Morrel disclosed an interest for agenda item 6 “Consultation on the Primary and Secondary School Formulae & Schools Block Matters 2021/22”. Dominic Wall, Sue

Haithwaite, Ian Morrel disclosed interests for agenda item 8 “Consultation on High Needs Block Funding Matters 2021/22”. Ian Murch disclosed an interest for agenda item 9 “DSG Central Items and De-Delegated Funds 2021/22”.

**ACTION:** *City Solicitor*

#### **489. MINUTES OF 16 SEPTEMBER 2020 AND MATTERS ARISING**

The Business Advisor (Schools) reported on progress made on “Action” items:

- **Item 475 (matters arising from previous meetings)** – The Falling Rolls Fund subgroup met on 8 October and an update is provided verbally under agenda item 6. The updated Scheme for Financing Schools has been published and is now live.
- **Item 479 (COVID-19)** – There is nothing new of significance to report regarding the key issues that have been raised (in particular around on-going cost implications and early years funding and income impact). There have been no major announcements since the last meeting about specific school or early years support funding or a second window opening for claims. There is no new guidance from the DfE about early years funding for the spring term.

The Authority is currently collecting information from maintained schools about COVID-19 impact at quarter 2 and working through the early years funding arrangements for the autumn term. We expect to provide an update again to the Forum in December. This is expected to include discussion about early years funding arrangements for spring term.

There is a specific matter to consider in relation to UIFSM and the October 2020 Census, which the Authority is currently advising primary schools and academies on.

Responding to the specific request raised by a primary phase member around whether there are options for moving AWPU funding between primary schools where schools admit pupils on appeal after the October Census is taken:

- The Authority approached the ESFA to ask what flexibility there is. The ESFA’s response is that this would require a dis-application request and Secretary of State approval and we would need to evidence that all schools and academies have been consulted.
- Given that the impact of this may not be clear for some time (we really would need to compare October Census numbers with e.g. January Census numbers), it will be difficult to put forward a clear case both for consultation and for ESFA approval in time for January. We would wish to look at this situation ‘in the round’ taking account of all movement of pupils in and out after the Census is taken. This would suggest that, if we do act, it would be retrospective with sight of all the data. This feels to be important because we would need to take funding away from some schools in order to give it to others (and this might be contentious).
- With further reflection on this issue, the Regulations already permit the Authority to use a ‘Reception Uplift’ factor, which funds primary phase schools and academies for the increase in reception year numbers recorded between October and January in the previous academic year. So, although this is

retrospective, it is a mechanism whereby primary schools and academies can be funded correctly where they admit a large number of children into reception after the October Census. Our sense is that this would possibly be the solution here. This isn't a factor that we currently use (we stopped using it when we moved to mirror NFF in 2018 because the NFF doesn't use it). But we could look to apply it for 2022/23 on a one off basis as this would retrospectively fund increases in reception numbers between October 2020 and January 2021. It would also have the advantage of not requiring any money to be directly taken away from a school or academy; it would only be a positive adjustment funded by headroom.

- So the Authority will look to do some further work on the differences in total between October and January numbers and to take things forward from there, with a view that, if we wished to do something, we would do it retrospectively, seriously considering whether we adopt the reception uplift in 2022/23.
- **Item 484 (AOB Academies Membership):** Members were reminded that this was triggered by the Chair reporting an expression of interest for membership, using one of the three academies vacancies. This is still a working in progress and need further discussion with respective parties – about how academy membership is managed and refreshed. However, in advance of this, Michael Thorp is attending this meeting as an observer. We hope to clarify membership arrangements as soon as possible. One of the main parts of this is to discuss again with academies themselves how they wish to organise their members (as by the letter of Regulations, the Authority does not have power in this area).
- **Item 478 (Funding Health Services in Schools):** The minutes are recorded confidentially. It is anticipated that an update on certain aspects of the Forum's discussion – the split of contributions between health, education and social care in respect of independent and OLA placements, for example - will be reported to the December meeting as a normal agenda item.
- **Item 478 (SEND and AP Update) –** The minutes record the discussion on the appropriateness of the presentation to the Schools Forum of detailed occupancy data relating to high needs places and of other information relating to high needs strategy and operational delivery. These discussions touched on the remit and role of the Schools Forum in relation to high needs matters. It was recognised that further discussion is needed and would be helpful, including with reference to the DfE's guidance on the remit of the Schools Forum in relation to high needs funding. In response to this:
  - The DfE's 'roles and responsibilities' table is provided for members' reference. This was last presented to the Forum in January 2020.
  - The Authority met with the Chair and Vice Chair on 2 October and agreed a set of principles and an outline information schedule. This is also now presented to the Forum for awareness and comment. This schedule was welcomed. A member asked that the Forum, within the schedule of information, continue to be updated on the extent to which the High Needs Block continues to fund health related activities and therapies (referring to item 478 recorded above).

**Resolved –**

- (1) That progress made on “Action” items and Matters Arising be noted.**
- (2) That the minutes of the meeting held on 16 September 2020 be signed as a correct record.**

**490. MATTERS RAISED BY SCHOOLS**

No information was presented.

**No resolutions were passed on this item.**

**491. STANDING ITEM – DSG GROWTH FUND ALLOCATIONS 2020/21**

The Business Advisor (Schools) presented **Document MA**, which asked the Forum to agree a new allocation to a primary academy from the 2020/21 Schools Block Growth Fund.

Forum members approved this allocation without comment or question.

**Resolved –**

**The Schools Forum agrees the Schools Block Growth Fund allocations presented in Document MA.**

***LEAD/CONTACT OFFICER: Business Advisor, Schools***

**492. CONSULTATION ON THE PRIMARY AND SECONDARY SCHOOL FORMULAE & SCHOOLS BLOCK MATTERS 2021/22**

The Business Advisor (Schools) presented **Document MB**.

This report asked the Forum to consider the consultation document, which outlines the proposals for the Schools Block, the formulae to be used to calculate allocations for primary and secondary schools and academies for the 2021/22 financial year and the criteria that will form the basis of the allocation of additional funding to maintained schools (and academies where appropriate) from Schools Block centrally managed funds.

In his presentation, the Business Advisor focused on the overall National Funding Formula settlement for 2021/22 and the technical changes around the update of IDACI and the transfer of the Teacher Pay and Teacher Pension Grants into formula funding. He explained that the five decisions that need to be taken to set our arrangement for next year are very similar to those that have been required in recent years.

He also explained that, as in 2020/21, schools and academies typically can be grouped

into three categories in terms of how the settlement affects them:

- Those funded on the National Funding Formula, without 'override', that will see between 2.5% and 4.5% increases in funding per pupil, depending on how the changes in IDACI and other October 2020 Census data affects them.
- Those funded on the increased Minimum Levels of Per Pupil Funding, where primary schools and academies will see a 6.4% increase in funding per pupil and secondary schools and academies will see a 3% increase in funding per pupil.
- Those funded on the Minimum Funding Guarantee that will see a maximum 2% increase in funding per pupil. The numbers of schools and academies funded on the MFG are modelled on current data to reduce in 2021/22, but still more than half of the primary phase remains protected by the MFG factor.

The Business Advisor reported that the Falling Rolls Fund (FRF) Group met on 8 October. The main conclusion from the Group's discussion was that the responsiveness of the Authority's pupil numbers forecasts is a crucial element in determining whether a school or academy receives Falling Rolls Funding - scenario modelling the impact on potential FRF schools and academies of the reduction in PANs in other schools (which may mean that a currently under subscribed school or academy may see substantial growth in numbers within 3 years) is crucial. We expect to reconvene the Group to consider this scenario modelling in advance of presenting recommended allocations from the 2020/21 FRF to the Forum in March 2021.

Members asked the following questions:

- The Vice Chair asked for confirmation of his understanding that the Year 7 Catch Up Premium has now been ceased and subsumed into the COVID-19 Catch Up Grant. He explained that this represents a significant loss of funding for the secondary sector. The Business Advisor responded that the Year 7 Catch Up Grant certainly has ceased but that he will confirm what the DfE has said about what arrangements replace this.
- The Chair asked how many authorities are now replicating the National Funding Formula (NFF). The Business Advisor responded that 99 (out of 151) authorities in the last three years have moved closer to NFF and / or are now within 1% of NFF variable values. 64 authorities are directly mirroring the NFF within the Schools Block. We are one of these.
- A member representing maintained primary schools asked whether the unallocated Schools Block money that was held in respect of the primary phase within the 2020/21 settlement and carried forward into 2021/22 has been allocated already within the figures presented in the consultation. The Business Advisor responded that the £0.5m sum carried forward has not been allocated within the figures and the Forum will be asked to consider this sum at the next meeting.

**Resolved –**

**That the consultation document (Document MB Appendix 1) be published.**

**LEAD:            *Business Advisor, Schools***

#### **493. CONSULTATION ON EARLY YEARS BLOCK FUNDING MATTERS 2021/22**

The Business Advisor (Schools) presented **Document MC**.

He explained that the Authority had hoped to present to this meeting the consultation document, which would set out proposals for the Early Years Single Funding Formula (EYSFF) for the 2021/22 financial year. However, the Early Years Block settlement and operational guidance have not yet been published by the DfE, meaning the Authority is unable at this time to put forward proposals.

In anticipation that this crucial information will shortly be published, the Business Advisor asked the Forum to support an approach that would enable the publication of a consultation document before the next meeting on 9 December - that the Authority will discuss proposals with the Early Years Working Group and then will publish a consultation document shortly after. A report, including consultation feedback, will then be presented to the Schools Forum at the next meeting in December. The Forum will be asked to give its final views (its recommendations) on the Early Years Single Funding Formula for 2021/22 on 13 January. Forum members gave their support to this proposed approach.

The Business Advisor also reported that the reconvened EWYG met remotely on 1 October and engaged in a positive discussion on a number of crucial matters relating to the funding of the delivery of the early years entitlements.

**Resolved –**

**That the Forum supports the Authority's approach to finalising proposals and to publishing the consultation document.**

**LEAD: Business Advisor, Schools**

#### **494. CONSULTATION ON HIGH NEEDS BLOCK FUNDING MATTERS 2021/22**

The Business Advisor (Schools) presented **Document MD**.

This report outlined the formula approach that the Authority proposes to use to delegate High Needs Block funding to high needs providers, mainstream schools and academies and other settings covered by the national place-plus system in the 2021/22 financial year April 2021 to March 2022. The Business Advisor explained that the Authority would like now to consult on these proposals. The feedback from this consultation will then be presented to the Schools Forum on 9 December and the Forum will be asked to give its final views (its recommendations) on 13 January.

In his presentation, the Business Advisor focused on the methodology proposed for uplifting the values of top up allocated by the EHCP Banded Model in 2021/22 and on the proposal to adopt a new SEND Funding Floor for mainstream primary and secondary schools and academies in trial for one year.

An academies member explained that the Business Advisor has recently presented the Banded Model proposals to the District Achievement Partnership (DAP), with the DAP recognising that these proposals have been very carefully considered by the Authority.

The member also stated that the DAP welcomed the Authority's agreement for the extension of the transitional arrangements for the funding of new placements in special schools this term (where new placements that have an existing band lower than 4L, but have not been reviewed due to COVID-19, are funded at assessment level Band 4L until review takes place). This approach will enable special schools to prioritise assessments to be completed before April 2021.

In response to a question on the SEND Funding Floor, the Business Advisor confirmed that the proposed protection of existing allocations for named identified schools and academies will be shown clearly in the monthly funding statements as SEND Funding Floor allocations currently are.

Referring to the posting of EHCP data to schools and academies through Bradford Schools Online (on the last Friday of each month, which has begun in September) the Chair asked for confirmation that the Authority has the capacity to continue to operate this and to respond quickly to correct any data inaccuracies. The Strategic Manager, Integrated Assessment, responded to confirm this.

**Resolved –**

**That the consultation document (Document MD Appendix 1) be published.**

**LEAD: Business Advisor, Schools**

#### **495. DSG CENTRAL ITEMS AND DE-DELEGATED FUNDS 2021/22**

The Business Advisor (Schools) presented **Document ME**.

This report asked the Forum to beginning to review the position of Central Schools Services Block, Schools Block and Early Years Block central funds and de-delegated items for the 2021/22 financial year. The Business Advisor asked the Forum to identify whether any of the outline proposals and expectations set out in the report should be more closely considered and whether the Forum wishes for further information to be provided or for further review work to take place in advance of requiring final recommendations and decisions at the 13 January 2021 meeting. Forum Members did not ask for further information and did not identify any areas for further review.

The Business Advisor (Schools) then asked members representing primary maintained schools whether they wish to de-delegate for the purposes of subscribing to Fischer Family Trust (FFT) for the period April 2021 to March 2022. He explained that, although decisions on the other de-delegated funds are taken in January, the decision on FFT needs to be made in October to fit in with the subscription timetable. Some information had been emailed to the relevant members following the September meeting to help with this. There were three eligible members present at the meeting with one member expressing the view that de-delegation should not take place and one expressing the view that it should. Two of the three members explained that the current COVID-19 situation has made it difficult to canvass the views of maintained primary schools more widely as they normally would. The Business Advisor (Schools) offered the advice that, in such circumstances, the Forum might be expected to maintained the status quo, which would be to continue de-delegation as has been the case since de-delegation began, rather than

to cease it. The members supported this position.

**Resolved –**

- (1) **Schools Forum Members did not request the review of any of the centrally managed funds presented in Document ME.**
- (2) **Members representing Maintained Primary Schools decided to de-delegate in 2021/22 for the purposes of purchasing subscriptions to Fischer Family Trust.**

**LEAD:        *Business Advisor, Schools***

**496. SCHOOLS FORUM STANDING ITEMS**

No further information was presented.

**No resolutions were passed on this item.**

**497. AOB / FUTURE AGENDA ITEMS**

No AOB items were raised.

**No resolutions were passed on this item.**

**498. DATE OF NEXT MEETING**

Please see the published schedule of meetings. The next scheduled meeting is 9 December 2020.

Note: These minutes are subject to approval as a correct record at the next meeting of the Forum.

*minutes\s14Oct20*

THESE MINUTES HAVE BEEN PRODUCED, WHEREVER POSSIBLE, ON RECYCLED PAPER

## SCHOOLS FORUM AGENDA ITEM

For Action



For Information



**Brief Description of Item**

**Members are asked to agree newly proposed allocations to mainstream maintained secondary schools and mainstream secondary academies from the DSG Schools Block Growth Fund in 2020/21.**

**Date (s) of any Previous Discussion at the Forum**

The criteria for funding expanding schools and bulge classes in 2020/21 were included as part of the consultation on Primary and Secondary funding in 2020/21, and were agreed by members prior to submission of our final Schools Block pro-forma to the ESFA in January 2020.

**Background / Context**

The School and Early Years Finance (England) Regulations allow for a Growth Fund to be held and managed centrally within the Schools Block of the DSG. The Regulations require that this fund is:

- ringfenced i.e. cannot be spent on any other purpose. Any unspent growth funding remaining at the year-end should be reported to the Schools Forum. Funding may be carried forward to the following funding period, and can be used again specifically for growth if the authority wishes.
- available to be accessed by both maintained schools and academies across the District (with both maintained school and recoupment academy budgets contributing to the cost of this fund).

The Regulations also require that local authorities consult their Schools Forum before incurring any expenditure. How the Growth Fund operates is still currently for local determination but is currently under review within the hard National Funding Formula transition.

The criteria and mechanisms for allocating funding from the Growth Fund in Bradford were agreed by Forum members following the consultation held in October 2019, and were part of our final Schools Block APT (pro-forma) submitted to the ESFA in January 2020.

The agreed criteria and mechanisms for allocating growth funding to secondary schools / academies in 2020/21 are the same as in 2019/20 and are, in summary, as follows:

For secondary schools / academies either permanently or temporarily increasing PAN eligibility for growth funding is assessed on the following principles and criteria:

- The school or academy must have admitted additional pupils (either via a permanent expansion or a one off bulge class) at the request of the Local Authority to meet basic-need sufficiency and only numbers associated with basic need sufficiency will be eligible for funding.
- The request for additional places from the Authority has come within the normal admissions round and relates to the school's year 7 intake i.e. pupils admitted at other times in year, admissions to year groups other than year 7, or pupils admitted on appeal or under the Fair Access Protocol, are not funded by the Growth Fund. Consideration of additional funding in these circumstances would be picked up by the exceptional pressures / schools in financial difficulty fund for maintained schools only.
- Funding is calculated on actual numbers and allocated only once actual October Census numbers are confirmed (so for additional pupils in September 2020, funding will be calculated and allocated on the basis of the additional costs associated with the actual number of additional pupils recorded in the October 2020 Census).
- Funding is allocated after an assessment of the actual cost implications of the additional pupils on the school's budget for the lifetime of the process of expansion e.g. in admitting additional pupils the school evidences that it must incur additional costs in year and that these costs are commensurate with the value of additional funding that would be allocated. This assessment would be carried out at the time of the initial discussion / consultation between the Local Authority and the school or academy, and would take the form of a budget discussion with the headteacher and business manager.

## **Background / Context**

Funding for eligible schools and academies will be calculated as follows:

- Where a secondary school or academy is permanently expanding by increasing the size of its year 7 for the first time in September 2020, the school / academy is allocated 80% of the value of the Key Stage 3 base amount per pupil for the additional planned intake number, for the applicable proportion of the year (for September expansions this is 7/12ths). Funding will be physically allocated in-year.\*
- Where an established secondary school or academy is permanently expanding by increasing the size of existing year groups, and has already begun to expand before the start of the financial year, funding is calculated on the difference between the October 2019 Census pupil numbers and a calculation of the composite 5/12 + 7/12 numbers incorporating the school's October 2020 Census numbers. The school will then be allocated 80% of the value of the Key Stage 3 base amount per pupil for the difference between the actual and the composite calculation. At the point the school's expansion reaches Key Stage 4, 80% of the Key Stage 4 base £app will be used.\*
- Where a secondary school or academy has been asked to take a year 7 bulge class (one off temporary PAN increase) of any size, funding is allocated on the same basis as for new permanently expanding schools above; at 80% of the Key Stage 3 base amount per pupil value for the planned additional admission number for the relevant proportion of the financial year. This is a one off allocation. In the following financial year, no additional funding is allocated; this additional class is automatically funded within the school's / academy's normal revenue budget.\*

\* Please note that, due to the fluidity within forecasts of September 2020 pupil numbers in the secondary phase and in the numbers of additional pupils that will be admitted, all Growth Fun allocations to secondary schools and academies (both new and existing expansions) for the 2020/21 year will be checked against actual October 2020 Census numbers before being confirmed.

In addition to the main Growth Fund, the Schools Forum has agreed a financial support model for Beckfoot Upper Heaton Academy and has made discrete budget provision within the Schools Block for this. Payments in respect of this model have already been made for 5 out of 7 years. 2020/21 is the 6<sup>th</sup> year of payment.

## **Details of the Item for Consideration**

This paper asks Members to consider allocations from the main Secondary Growth Fund to fund **12** secondary schools and secondary academies that are expanding from / in September 2020 or have been asked to admit additional students above PAN for the 2020/21 academic year; the funding will support an increase in provision as follows.

- **Appleton Academy** (existing expansion) + 11 pupils at October 2020, resulting in an allocation of **£23,417** for the period September 2020 – March 2021 (total of £40,143 for the 2020/21 AY).
- **Bingley Grammar School** (bulge class intake) + 19 pupils at October 2020, resulting in an allocation of **£35,632** for the period September 2020 – March 2021 (maintained school).
- **Bradford Girls Grammar School** (existing expansion) + 21 pupils at October 2020, resulting in an allocation of **£39,383** for the period September 2020 – March 2021 (total of £67,513 for the 2020/21 AY).
- **Buttershaw Business & Enterprise College** (existing expansion) + 14 pupils at October 2020, resulting in an allocation of **£26,255** for the period September 2020 – March 2021 (total of £45,009 for the 2020/21 AY).
- **Carlton Bolling College** (existing expansion) + 87 pupils at October 2020, resulting in an allocation of **£185,206** for the period September 2020 – March 2021 (total of £317,496 for the 2020/21 AY).
- **Dixons City Academy** (new expansion) + 23 pupils at October 2020, resulting in an allocation of **£43,133** for the period September 2020 – March 2021 (total of £73,943 for the 2020/21 AY).
- **Dixons McMillan Academy** (new expansion) + 19 pupils at October 2020, resulting in an allocation of **£35,632** for the period September 2020 – March 2021 (total of £61,083 for the 2020/21 AY).
- **Dixons Trinity Academy** (new expansion) + 21 pupils at October 2020, resulting in an allocation of **£39,383** for the period September 2020 – March 2021 (total of £67,513 for the 2020/21 AY).
- **Ilkley Grammar School** (existing expansion) + 71 pupils at October 2020, resulting in an allocation of **£151,145** for the period September 2020 – March 2021 (total of £259,106 for the 2020/21 AY).

### **Details of the Item for Consideration Continued**

- **Immanuel College** (existing expansion) + 40 pupils at October 2020, resulting in an allocation of **£75,015** for the period September 2020 – March 2021 (total of £128,597 for the 2020/21 AY).
- **Parkside School** (existing expansion) + 37 pupils at October 2020, resulting in an allocation of **£78,766** for the period September 2020 – March 2021 (maintained school).
- **Titus Salt School** (existing expansion) + 17 pupils at October 2020, resulting in an allocation of **£36,190** for the period September 2020 – March 2021 (maintained school).

The total of these 12 allocations is **£767,028**.

In addition for reference, using the agreed model, the payment for **Beckfoot Upper Heaton Academy** for 2020/21 is **£419,701**. This is based on an October 2020 Census number of 672 pupils (vs. 595 at October 2019). This payment is reduced from the £491,266 paid in respect of 2019/20. The £419,701 is slightly lower (-£7,299) than the £427,000 original estimate of the 2020/21 cost, which was made in setting the DSG planned budget. This allocation was agreed by the Forum at the planned budget stage in January 2020.

### **Implications for the Dedicated Schools Grant (DSG) (if any)**

The Forum has set aside the following values of main Growth Fund from the 2020/21 Schools Block allocation for new expansions during 2020/21:

- Primary £200,000
- Secondary £800,000

In addition, the Forum carried forward from 2019/20 £427,578 of uncommitted general Growth Fund balance and £1,208,734 of budget provision for the agreed support model for Beckfoot Upper Heaton Academy.

The remaining balance of the £200,000 Primary phase Growth Fund, after the two allocations agreed by the Forum in September and October 2020, is £135,992. No further allocations to the primary phase are expected in 2020/21. Therefore, an under spend of £135,992 is expected to be carried forward into 2021/22.

The total cost of the 12 allocations set out above for the Secondary phase is £767,028 and so the Secondary phase Growth Fund is expected to under spend by £32,972 in 2020/21. No further allocations to the secondary phase are expected in 2020/21 and this balance is expected to be carried forward into 2021/22.

On this basis, the main 2020/21 Growth Fund will be under spent in total by £168,964, leaving a forecasted balance to carry forward into 2021/22 of £596,542.

The £419,701 allocated via the support model for Beckfoot Upper Heaton Academy in 2020/21 is met from the £1,208,734 discrete provision already made within the Growth Fund. A remaining provision of £789,033 for Beckfoot Upper Heaton Academy is carried forward into 2021/22. The cost of the final year of support is expected to be in the region of £430,000, meaning that £359,033 of balance will be added to the overall Growth Fund balance held within the Schools Block.

The total forecasted value of unspent Growth Fund balance to be carried forward into 2021/22 is £1,385,575.

### **Recommendations**

- (1) **The Schools Forum is asked to agree to allocate a total of £767,028 from the main Secondary Growth Fund in 2020/21 to secondary phase schools and academies as set out in this report.**
- (2) **Members are asked to note that an indicative total sum of £1,385,575 of surplus balance Growth Fund is ring-fenced and is forecasted to be carried forward into 2021/22. The Schools Forum will be asked to consider the allocation and retention of this balance in support of Growth Fund costs in 2021/22 at the meeting 13 January.**

### **List of Supporting Appendices / Papers**

None.

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## SCHOOLS FORUM AGENDA ITEM

For Action



For Information



**Brief Description of Item** (including the purpose / reason for presenting this for consideration by the Forum)

This report follows from the information previously presented to the Schools Forum, in July and September, to provide an update on the financial implications for the DSG and schools and other providers resulting from COVID-19. This report also asks for the Forum's view on possible arrangements for the operation of our Early Years Single Funding Formula (EYSFF) in the spring term 2021.

**Date (s) of any Previous Discussion at the Forum**

Document LR was presented to the Forum on 8 July 2020. Document LV was presented on 16 September.

**Background / Context**

The report presented to the Forum in July gave an initial assessment of the impact of COVID-19 on the DSG and on schools, academies and other providers. It introduced key themes and identified issues to monitor. Members identified that the DfE's national exceptional and additional costs fund, as it applies to schools and academies, is very limited. We indicated that we will need to further consider highlighted issues as we move into autumn and spring. We also indicated that the Forum may find that it is approached by schools, academies and other providers for financial support and so may need to agree its general position in relation to such requests.

An update on a number of matters was provided on 16 September (Document LV):

- We presented our methodology for the calculation of EYSFF early years entitlement funding in the autumn, which included protection and a temporary £0.20 per uplift in funding rates. We indicated that the position for the spring term will need to be considered further in the light of further guidance from the DfE.
- We explained that the DSG settlement levels that have been announced so far are in line with previous DfE commitments, so COVID-19 has not affected these. It is also clear, in what has been announced so far, that the DfE has sought to avoid unnecessary technical changes in formula funding arrangements next year. The extent to which progress to National Funding Formula has been affected is not clear yet, but this comes within the context of an expected announcement soon about the transition to hard NFF.
- We presented some information about financial impact on maintained schools in the summer term and said that we would continue to monitor this.
- We presented some information about the status of the national support schemes as they were currently known at the time.
- Members continued to raise their significant concerns about the financial impact of COVID-19 measures on schools budgets. The Deputy Director, Education and Learning, reported that she continues to raise with the DfE the issues and concerns that have been communicated to her by schools (by email) regarding the financial implications of COVID-19 across a number of factors. The DfE in response has said that it will raise these with ministers and will seek to make these concerns 'a national issue'.
- A request was made to look at the options that might be available to adjust 2021/22 formula funding allocations to recognise that pupils may be placed in primary schools and academies following appeals concluded after the October 2020 Census is taken.
- A matter raised by schools was presented concerning the impact of COVID-19 on SEND provision and SEND funding.

We explained to the Forum on 14 October that there was nothing new of significance to report regarding the key issues that have been raised (in particular around on-going cost implications and early years funding and income impact). There have been no major announcements about specific school or early years support funding or a second window opening for claims. There was no new guidance at this time from the DfE about early years funding for the spring term.

- We responded to the specific request around whether there are options for moving AWPU funding between primary schools where schools admit pupils on appeal after the October Census is taken and suggested a way forward with this (using the Reception Uplift factor in 2022/23).
- We highlighted an issue concerning the distortion in the number of meals taken on October census day and the potential impact of this on UIFSM allocations, which we said we would highlight for the primary sector.

## Details of the Item for Consideration

### Short Updates

To update the Forum quickly on a number of matters:

- The previously announced second window for summer term-based claims against the school's Exceptional Costs Fund has still to open (at time of writing this report). In recent regional meetings, the ESFA has not been able to provide details of when this window will open. We are also aware that a number of schools and academies are still waiting for confirmation that their initial claims made in the summer will be paid.
- School colleagues have continued to provide feedback to the Authority about the escalation of costs incurred in the autumn term, including in covering staff absences. Although, on preliminary information collected from maintained schools at the end of quarter 2, private income streams (from e.g. childcare activities) have recovered from their summer term lows, in most cases income is still lower than it would otherwise normally have been. The extension of the furlough scheme to March 2021 will help in a small number of circumstances, where furloughing has been an option.
- The DfE announced on 27 November a new additional fund to support exceptionally high absence cover costs incurred this term as result of COVID-19. Further details are to follow but this announcement gives a sense of what the eligibility criteria for claims will be (in particular schools must have reserves lower than 4% of income) and the period this fund will cover (which is the beginning of November to the end of term).
- The Government has also allocated to the Authority a 'Winter Grant' (Food and Fuel Poverty) to cover the period December 2020 to Easter 2021. The Authority is currently finalising plans for the allocation of this grant, including in support of children within schools (and their families).
- There have been no announcements to date about support funding being made available for schools, academies and other providers continuing into the 2021/22 financial year. In the absence of further announcements, schools should begin to plan to meet any additional continuing COVID response costs from core formula funding allocations.
- The first tranche (of three) of COVID Catch Up Premium has been paid. It is confirmed that the COVID Catch Up Premium replaces the previous Year 7 Catch Up Premium (so for the secondary phase the COVID Catch Up Premium is not fully new money). Schools and academies are required to publish a report on their websites setting out their uses of this new Premium.
- A number of maintained primary schools have recorded significantly lower levels of take up of meals on October Census day, despite the flexibility that the DfE provided for schools to use a different 'normal' day in this academic year. We expect that this is an issue also for some primary academies. The flexibility to use a different day has not helped where schools / parents have moved to packed lunches. This potentially will significantly reduce UIFSM allocations in 2020/21, compounded because this issue is likely to be present again in the January 2021 Census (both October and January Census data is used to calculate UIFSM allocations). It may well be that the DfE sees this as a national issue and adjusts the UIFSM methodology to protect normal levels of funding. However, the DfE's position on this is not yet known and this is a point to closely monitor.
- We ask the Forum to consider the retention of the primary phase Schools Block £GUF balance held at 31 March 2020 to support the use of the reception uplift factor in 2022/23. This is in response to the matter previously raised regarding the placement of new children on appeal into primary schools and academies in the autumn term after the October Census 2020 has been taken. This is discussed further in Document MH.

### EYSFF Spring Term 2021

We expect the DfE to announce its early years funding arrangements for spring term 2021 before the 9 December Forum meeting. Therefore, we hope to be able to substantially add detail when this report is considered so that the Forum can give us a view.

We presented to the Forum on 16 September our methodology for the calculation of EYSFF entitlement funding in the autumn, which included protection as well as a temporary £0.20 per uplift in funding rates. We indicated that the position for the spring term 2021 will need to be considered further in the light of guidance from the DfE. It is this guidance that we are still waiting for but expect to be available for the 9 December meeting.

EYSFF allocations for all schools and early years providers have now been protected in both the summer and the autumn terms. For the autumn term, we guaranteed that every existing setting would be funded for at

### Details of the Item for Consideration

least the number of entitlement hours that they were funded for in autumn 2019. Settings that have exceeded their autumn 2019 hours have been funded for their actual autumn 2020 delivery. The DfE has supported the additional cost of this protection, in part, by adjusting their Early Years Block methodology so that the Early Years Block is funded for the autumn term using January 2020 Census numbers rather than January 2021 Census numbers. This was done with the understanding that numbers may remain lower than normal in the January 2021 Census. This change in methodology has contributed £0.99m to the additional cost of our autumn 2020 arrangements.

Our autumn term arrangements however, have cost a further £1.64m, with £0.56m of this a result of the planned £0.20 per hour rate uplift and £1.08m a result of protecting hours at autumn 2019 minimums, whilst also funding settings for their actual delivery where this has been higher than their minimums. The £1.64m cost is reflected in the forecast that is provided in Document MH.

The £1.08m additional cost of delivery is higher than we projected and is the result of a greater volume of hours, including protected hours, being funded. It was anticipated that actual delivery in most schools and PVI providers would be reasonably close to their autumn 2019 'normal' positions. However, actual delivery in a more significant number of settings is either substantially lower or substantially higher than their autumn 2019 delivery. This has resulted in additional cost (where we are protecting a setting for their autumn 2019 delivery but where some of this delivery, due to COVID-19 related factors, is with another setting and being funded there at least for this term).

This position is a natural consequence of protecting settings facing challenging circumstances whilst allowing flexibility in how parents can take the entitlements for their children and in how settings can react to this. Our aims have been to financially protect settings, to protect entitlement capacity for the medium to longer terms and to ensure the sufficiency of places for parents to access in the short term. We feel that our arrangements have supported these aims. However, the reality is that a protection arrangement of this kind is expensive and is a short term measure – it is not affordable within the DSG in its current form and on the current autumn term pattern of entitlement delivery to extend such a protection over the medium to longer terms without funding from the DfE. The cost is being met currently through the deployment of our reserves, which our modelling warns may be rapidly depleted.

In this context, we likely will not be in a financial position to uplift funding rates again in the spring term 2021. Therefore, settings will likely be funded on their original 2020/21 funding rates.

We will then need to consider closely the protection we will provide for the spring term if this is permitted and / or required by the DfE. For example, we estimate that, after combining the current pattern of provision with previous trends for the typical increases that are seen in delivery between the autumn and the spring terms, if we protect spring 2021 by guaranteeing all settings funding for the higher of spring 2021 or spring 2020 delivery, this will cost an additional £1.76m. If the DfE adjusts the Early Years Block methodology so that January 2020 numbers are again used to fund spring term 2021 (rather than January 2021) the net additional cost to us is estimated to be £1.01m. We must stress that this is an estimate, possibly a worst-case scenario, and also that we do not yet know what the DfE's adjustments and guidance are for the spring term 2021.

For reference and context, we currently forecast in Document MH that the Early Years Block will overspend by £0.75m and that we will hold a balance of £3.85m at the end of 2020/21. A spring term 2021 protection option, which references spring 2020 delivery, for example, is estimated to increase the over spend to £1.76m and to reduce the balance held at the end of 2020/21 to £2.85m. We also estimate at this time that the Early Years Block planned budget may then overspend by a further £1.4m in 2021/22. This is before we consider any further possible COVID-19 implications that may continue into 2021/22. However, to add a note of caution here, the DfE's announcement about whether the January 2020 Census (or something else) will be used instead of the January 2021 Census to fund the Early Years Block is a crucial factor in these figures both for 2020/21 and for 2021/22 and we may see (substantial) improvement – a reduction in the impact especially in 2021/22 - depending on what is announced.

We had hoped to present within this report more certain figures for the Forum to consider, but the absence of the DfE's announcements has prevented this. This report instead seeks to introduce the mixture of concerns that the Authority has pending modelling of spring term 2021 options using more certain information.

We would like to stress that the Authority understands the importance of protecting settings, if permitted to do so, where their delivery is lower than it would otherwise have been due to COVID-19. We will need to develop options for spring term 2021 that are in line with the DfE's guidance, are in line with what we would have expected had circumstances been normal (which is not necessarily the same as funding on spring 2020 actuals), and with sight of the impact (and the knock on consequences) of these arrangements on the financial position of the Early Years Block. These obviously are significant issues that require more detailed consideration and consultation. We hope to be able to provide more details to the Schools Forum on 9 December for further discussion. These discussions can also then be had in more detail with the Early Years Working Group.

**Implications for the Dedicated Schools Grant (DSG) (if any)**

As set out in the report (this is an item for information).

**Recommendations**

**Recommended –**

- (1) The Schools Forum is asked to consider and to note the information provided and to present any further points of concern or feedback for the Forum's awareness and consideration.**
- (2) The Schools Forum is asked for its views on possible EYSFF spring term 2021 arrangements.**

**List of Supporting Appendices / Papers (where applicable)**

None

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## SCHOOLS FORUM AGENDA ITEM

For Action



For Information



**Brief Description of Item** (including the purpose / reason for presenting this for consideration by the Forum)

**This report updates Members on the forecasted spending positions of each of the DSG Blocks in 2020/21. This document gives Members a view of the estimated values of balances to be carried forward into 2021/22 and a view of the uses of these balances.**

**Date (s) of any Previous Discussion at the Forum**

The allocation / retention of balances estimated to be carried forward into 2020/21 was agreed by the Schools Forum as part of its recommendations on the allocation of the DSG that were made on 8 January 2020. Final confirmation of balances brought forward from 2019/20 was presented on 16 September 2020.

**Background / Context**

The Local Authority finalises its forecast of the DSG planned budget for the following financial year for presentation to the School Forum's January meeting. This planned budget is constructed on known factors and known data, but also incorporates a number of estimates, especially of expenditure, that firm up during the year. The balances to be carried forward at the end of each financial year, which are initially presented to the Forum in December, are based on estimates pulled together at the end of November.

After our DSG planned budget is agreed by the Forum in January, and by Council in February, the Schools and the Central Schools Services Blocks have a relatively small number of 'moving parts'. The Early Years and High Needs Blocks however, are subject to a significant amount of movement during the year. A normal part of the annual DSG management process is the reconciliation of planned vs. actual spending and estimated vs. actual carry forward balances. The Authority, with the Schools Forum, has always taken a prudent approach in its forecasting, seeking to ensure that the DSG does not have the additional burden of needing to compensate for a deficit resulting from an over-estimation of income or an under-estimation of expenditure in the previous year. The Authority, with the Schools Forum, has also always sought to hold a reasonable value of un-committed 'resilience' reserve so that unexpected or higher than expected costs can be managed.

Surplus balances brought forward are available to be spent on a one off basis only. When previously considering such balances, the Forum has sought to avoid allocating these in support of on-going expenditure pressures. The Forum has already committed a value of the balances that are presented in this report.

In closing the 2017/18 financial year, we began to separate balances according the four block DSG structure. DSG balances can be used across all blocks. In practical terms however, under National Funding Formula, it is now useful for the DSG's balances to be presented on a block-specific basis. This was also specifically necessary following our establishment at April 2017 of the principle of ring-fencing of the Early Years Block. The starting assumption is that the balance attributed to each block is spent on pressures within that block, unless a specific decision is taken to transfer balances between blocks. To stress, the DSG Regulations permit balances to be used across all the blocks. What we have established is a locally determined informal block ring-fencing policy.

Balances transferred between years within de-delegated funds in the Schools and Early Years Blocks are 'ring-fenced' to maintained schools. This is because only maintained schools contribute to these funds. Surplus balances carried forward can be released back to maintained schools through adjustments to the values of the contributions taken for access to de-delegated funds in the next financial year. The DSG Regulations still require Forum approval for the writing off of any deficits related to de-delegated funds from the Schools Budget. To date we have not ever asked the Forum to do this.

The Growth Fund and Falling Rolls Fund are treated as ring-fenced funds within the Schools Block. It is our current practice to carry forward any unspent balances to be retained to be used for their purposes rather than this being recycled generally back into the Schools Block. The DSG Regulations require that the balances held within the Growth Fund and the Falling Rolls Fund, and in de-delegated funds, are specifically presented to the Schools Forum. The Disability Access Fund (DAF) monies within the Early Years Block are also expected by the DfE to be earmarked for this purpose only and identified separately.

The DfE has changed, within the February 2020 Finance Regulations, the provisions that relate to the addition of non-DSG income into the Schools Budget and to the treatment of DSG account deficits, with the affect that:

## **Background / Context**

- The Authority is not longer required to obtain the permission of the Schools Forum to carry forward or write off deficits within the DSG. Authorities are now required either to carry forward any cumulative deficit in their Schools Budget to set against DSG in the next funding period (Y+1); or to carry forward some or all of the deficit to the funding period after that (Y+2), in order to determine how much resource is available to be spent during the funding period (Y+1).
- Authorities must receive permission from the Secretary of State to add non-DSG income into the Schools Budget, either for the purposes of contributing to a deficit or to support (match fund) activities funded by the DSG.

So the statutory basis is now that a DSG deficit must be carried forward to be dealt with from future DSG income, unless the Secretary of State authorises a local authority not to do this, and that authorities are not permitted to fund any part of the deficit from sources other than the DSG (and any specific grants whose conditions allow them to be applied to the schools budget) without the authorisation of the Secretary of State. Bradford's DSG account is not in deficit at the end of 2020/21 and there are no additional contributions from non-DSG income sources into the Schools Budget in 2020/21 or proposed for 2021/22.

The DfE, through the DSG's Conditions of Grant, now requires local authorities that have an overall cumulative DSG deficit of 1% or more at the end of the financial year to submit a report to the ESFA on how this deficit will be recovered. We have previously reported that the DfE has introduced this in the light of the growing number of local authorities setting deficit DSG accounts, largely due to over spending within their High Needs Blocks. 1% of our DSG is roughly £6m.

The DfE also now expects all local authorities to regularly present to their School Forums a DSG Management Plan, which sets out the expected future year DSG position and explains the pressures on spending and mitigating actions that are to be taken, especially with reference to high needs provision. We expect to present an outline draft DSG Management Plan to the Forum on 13 January.

## **Details of the Item for Consideration**

### **2020/21 Forecasted DSG Spending Position vs. Planned Budget**

Appendix 1 provides a detailed spending forecast as well as an updated view of the values of balances that are estimated to be held at the end of the 2020/21 DSG financial year. These figures exclude balances held by individual maintained schools. The table below summarises the estimated positions by block and gives a comparison against what balances were estimated would be held at the end of 2020/21 in the planned budget presented to the Schools Forum in January 2020. (£m)

	<b>Schools Block</b>	<b>High Needs Block</b>	<b>Early Years Block</b>	<b>Central Schools Services Block</b>	<b>Total</b>
Planned Budget Estimate of 31/3/21 Balances	+£5.746	+£11.098	+£3.841	£0.000	+£20.685
Additional Balances Brought Forward 19/20 *	+£0.434	-£0.538	+£0.272	£0.000	+£0.168
Variance from 20/21 Planned Budget	+£0.370	+£4.708	-£0.267	£0.000	+£4.811
Revised Estimate of Balances at 31/3/21 **	+£6.550	+£15.268	+£3.846	£0.000	+£25.664

\* Presented to the Schools Forum on 16 September 2020

\*\* £25.664m is 4.1% of the 2021/22 DSG allocation (£4.811m is 0.9% of the 2020/21 planned budget)

Please note that the £25.664m figure is estimated. In particular, it does not yet include any cost that might come from protected spring term 2021 Early Years Single Funding Formula arrangements, which may reduce the balance to be carried forward in the Early Years Block. The estimate also make a series assumptions about high needs provision costs. An updated estimate will be presented on 13 January. The reconciliation of funds, which takes place as part of the Authority's year end closedown process and which will be presented to the Forum in July 2021, will confirm the values of balances that have been carried forward at 31 March 2021.

We currently forecast that the **Schools Block** will under spend in total by £0.370m (0.06%) vs. the planned budget, for the following main reasons:

- £250,000 estimated under spend in the Falling Rolls Fund. We do expect to agree allocations from this fund with the Schools Forum in March 2021. However, we have shown the Fund as unspent at this time so that the Forum can clearly see that two years' of budget provision totalling £500,000 is now available. This helps to explain the rationale for proposing that we 'pause' taking new budget provision from the 2021/22 Schools Block.
- £169,000 under spend in the Growth Fund, after the planned use of balance for the Beckfoot Upper Heaton Academy support is deducted. Please see Document MF for more detailed information.

### Details of the Item for Consideration

- £128,000 estimated saving in business rates as a result of academy conversions during 2020. This figure is likely to increase as further conversions are planned before the end of 2020/21 DSG financial year.
- £184,000 estimated net total over spending within de-delegated funds, which is primarily the result of the deliberate use of balance brought forward from 2019/20 to support the cost of the primary phase maternity / paternity insurance scheme.

We currently forecast that the **Early Years Block** will over spend by £0.267m (0.6%) vs. the planned budget. The planned budget included the allocation of £0.488m of balance brought forward from 2019/20. The current estimate does not yet include any costs that might come from protected spring term 2021 Early Years Single Funding Formula arrangements, which may reduce the balance to be carried forward in the Early Years Block. This is discussed in more detail in Document MG. The main factors behind the current figures are:

- An estimated £0.750m under spend of the Early Years Inclusion Fund (EYIF). EYIF is a relatively new budget and we have sought to be prudent in setting the planned budget until we understand the typical annual spending level. We have over budgeted in 2020/21 and we will adjust for this in the 2021/22 planned budget. This under spend does however, quite significantly offset (and help to manage) the forecasted over spend in the cost of funding allocated in respect of the delivery of the early years entitlements as a result of COVID-19.
- An estimated £136,000 under spend in the Disability Access Fund (DAF). This money is required to be earmarked for this purpose. We continue to under spend this fund within the Early Years Block. As well as carrying out work to better understand why providers may not be claiming DAF funds, and to encourage higher take up, we propose to increase the value of the DAF allocation paid per child in 2021/22, which will help support provider costs and will also complement the EYIF.
- An estimated £1.597m total over spend in the cost of funding the early years entitlements for 2, 3 and 4 year olds, of which £0.488m was planned as part of our 2020/21 budget setting. Following DfE guidance, we have protected both summer and autumn term EYSFF allocations following COVID-19. We also temporarily uplifted our EYSFF rates by £0.20 for the autumn term, in support of provider costs recognising that early years providers have not been eligible to access the DfE's national school COVID-19 exceptional costs support fund. Although the DfE has adjusted the Early Years Block methodology, to fund us for autumn using January 2020 census numbers, our autumn term protected arrangements have cost us locally in the region of an additional £1m.
- We wish to warn the Schools Forum that there is potential for significant change in the value of the Early Years Block balance to be carried forward at 31 March 2021 depending on the spring term 2021 EYSFF arrangements. This is discussed in more detail in Document MG.

We currently forecast that the **High Needs Block** will under spend by £4.708m (5.8%) vs. the planned budget. Members will identify from Appendix 1 that there are a number of movements, both over spends and savings, against the planned budget.

Firstly, Members are asked to note that the figures in Appendix 1 for the High Needs Block are based on estimates of anticipated spending between December 2020 and March 2021. There continues to be a significant amount of structural financial change, including from the creation of specialist places, the restructuring of provisions for permanent exclusions and the establishment of Authority-led resourced provisions, which is taking place on a backdrop of continued growth in the numbers of EHCPs. These changes combine to mean that it is challenging to forecast with certainty what the profile of spend will be for the rest of the financial year. This uncertainty knocks into the setting of the planned budget for 2021/22, where the 2020/21 financial year spending base is used in certain areas e.g. mainstream EHCPs and OLA, NMSS and independent placements as a starting point to estimate the budget required going forward.

Secondly, Members are reminded that one of our main aims has been to set a High Needs Block planned budget, which builds sustainable affordable capacity for the medium to longer terms. The reason for under spending against planned budget in 2020/21 in many High Needs Block areas therefore, is that this planned budget was calculated on a full year full places occupancy basis, with an understanding that the filling of this capacity will be achieved in a managed way. Our place-plus funding driven costs are lower in the immediate short term than the planned budget, which secures affordable capacity for the medium to longer terms. Roughly £4.244m of the £4.708m net under spending estimated in Appendix 1 comes from this approach.

To highlight other key additional points from Appendix 1:

- £1.225m is estimated to remain from the £2.000m contingency that was held in support of our transition to our new EHCP Banded Model at April 2020.
- We estimate that we will over spend the PRU / AP planned budget by £0.866m. This is the result of the number of places commissioned for permanent exclusions being increased to 165 from 103.
- We did not make provision within the planned budget for any allocation to District PRU from 1 September 2020. We estimate spend of £0.758m from SIFD monies for the period September 2020 to March 2021.

### Details of the Item for Consideration

- We estimate that we will over spend the planned budget for the Education in Hospital, Medical Home Tuition and Tracks Service by £0.380m primarily due to the delay in the planned restructure as a result of COVID-19. This restructure is now taking place to be implemented from January 2021.
- The relative contributions – between education, social care and health – to the cost of OLA, NMSS and independent placements is continuing to be reviewed and may affect the estimates shown in Appendix 1. We currently estimate that the cost of OLA, NMSS independent placements will increase in 2020/21 by £1.247m on 2019/20 spend but with this increase being £0.395m lower than initially estimated for the 2020/21 planned budget. However, this can be a particularly changeable budget due to the high cost of individual placements so this estimate must be treated with caution.
- We estimate that the cost of top up funding for EHCPs in mainstream provisions will increase by £1.837m in total in 2020/21 on 2019/20 but with this increase being £0.646m lower than estimated for the 2020/21 planned budget. Roughly half of this £1.837m increase comes from growth in the numbers of EHCPs and half from the increase in top up funding allocated through our revised Banded Model. Again, this is a particularly changeable budget that is difficult to forecast.

We currently forecast at this stage that there will not be a carry forward balance within the **Central Schools Services Block**. In Appendix 1 however, we do signal some potential pressures, especially within Pupil Admissions. A final reconciliation will take place within the Council's year end closedown process and we will present to the Forum in July 2021 the final balances position for the CSSB. We have previously established with the Forum a policy of transferring any net overall under spend in CSSB budgets to the carry forward balance retained within the Schools Block. We transferred £0.151m of surplus balance from the CSSB to the Schools Block at the end of 2019/20. If the CSSB holds a net deficit at 31 March 2021, which is at a value lower than or equal to £0.151m, we would expect to charge this to the Schools Block carry forward balance and we will ask for the Forum's approval of this.

### Allocation and Retention of Balances Brought Forward From 2020/21

Appendix 2:

- a) Shows (repeats from Appendix 1) the values of balances that are currently estimated to be carried into 2021/22. It then shows the values that are already committed as a result of previous decisions, and
- b) Puts forward proposals for the allocation and / or retention of the remaining balances. The Forum will be asked to make final recommendations on this on 13 January.

#### Schools Block (£6.550m)

- A balance of £1.091m in de-delegated funds for maintained schools is estimated to be retained across the financial year end. A breakdown of this balance is provided in the separate report (Document MN Appendix 2). The Authority proposes to release an amount of this balance (£0.200m) to support the cost of the primary phase maternity / paternity insurance scheme in 2021/22. The balance will also be used to support, as priority, any costs arising from new deficits held by sponsored primary academy converters, as the Authority continues to propose that no new budget is de-delegated for this purpose in 2021/22. The rest of the balance is ring-fenced and is proposed to be retained.
- £1.386m of balance relating to the Growth Fund. £0.430m (estimated) of this is to be allocated to Beckfoot Upper Heaton Academy (BUHA) in 2021/22 via the model agreed with the Schools Forum. The remaining balance is proposed to be retained for general Growth Fund purposes. Please note that 2021/22 is the final year of allocation to BUHA. Any funds remaining from the specific monies previously set aside within carry forward balances have been transferred into the main Growth Fund balance.
- £0.500m of balance relating to the Falling Rolls Fund. We do expect to agree allocations from this fund with the Schools Forum in March 2021. However, we have shown the Fund as unspent at this time so that the Forum can clearly see that two years' of budget provision totalling £500,000 is now available. This helps to explain the rationale for proposing that we 'pause' taking new budget provision from the 2021/22 Schools Block.
- The £0.650m previously set aside by the Schools Forum to support the cost of the deficit of a secondary school continues to be held. The £0.650m is expected to be retained for this purpose and to be allocated in 2021/22.
- The Forum is reminded that a sum of £0.540m of Schools Block funding, specifically for the primary phase, was unallocated by the Forum in the 2020/21 planned budget and is still retained. Within the discussions over autumn about the impact of COVID-19, the Forum has identified that there may be a funding issue due to the increased numbers of primary (reception) aged pupils placed in autumn on appeal after the October 2020 Census was taken. We presented verbally to the Forum on 14 October an outline of an option in response to this - that we could use the reception uplift factor in 2022/23. This factor would retrospectively allocate additional formula funding to schools and academies for reception aged pupils that are recorded in the January 2021 Census but that were not recorded in the October 2020 Census. This is not a factor we currently use (as it is not used in the NFF) so we don't have any on-going budget

### Details of the Item for Consideration

budget base in the Schools Block to cover the cost. We propose that the Schools Forum, if Members wish to respond to this issue, considers retaining the £0.540m for a further year in order to cover the one off cost of the reception uplift factor to be applied only in 2022/23. However, in putting this forward for consideration, we stress that the Forum needs to consider the £0.540m 'in the round' and with sight of the final Schools Block / formula funding cost position for 2021/22 as the £0.540m may need to be / perhaps might be better allocated in 2021/22. This will be presented on 13 January.

- A balance of £2.384m reserve, which includes provision for the confidential item discussed by the Schools Forum in May 2016, is then estimated to be retained. £2.384m is 0.5% of the Schools Block. The Appendix 2 reserve figure of £2.952m currently assumes that the cost of our Schools Block arrangements in 2021/22 will be £0.568m lower than our 2021/22 DSG Schools Block allocation. This is the current position prior to calculating final core formula funding allocations for schools and academies using updated October 2020 census data. Members therefore, should treat the £2.952m figure with caution pending presentation of the confirmed position on 13 January.

### Early Years Block (£3.846m)

- The current estimate of £3.846m does not yet include any further costs that might come from protected spring term 2021 Early Years Single Funding Formula arrangements, which may reduce the balance to be carried forward in the Early Years Block. This is discussed in more detail in Document MG.
- A balance of £0.072m in de-delegated funds is estimated to be retained across the financial year end. This balance is ring-fenced and is proposed to be retained, as it is in the Schools Block.
- A balance of £0.460m in the Disability Access Fund (DAF) is also estimated to be retained. This money is required to be earmarked for this purpose. We continue to under spend this fund within the Early Years Block. As well as carrying out some work to better understand why providers may not be claiming DAF funds, and to encourage higher take up, we propose to increase the value of the DAF allocation paid per child in 2021/22, which will help support provider costs and will also complement the EYIF.
- A sum of £1.436m is currently estimated to be needed to deliver the Early Years Single Funding Formula in 2021/22 that we have previously (in our planning) aimed to provide but which now is clearly subject to the detail of the DfE's announcements and a view about the pros and cons of further significant use of a reducing value of Early Years Block reserves. Please see Document MK. This includes the desire to improve the increases in the Universal Base Rates in 2021/22 above the values the DfE is passing through to us in the Early Years Block. This will be helped by the continued planned reduction in the proportion of the budget spent on the Deprivation & SEND Supplement. We stress however, that it is very difficult at this time to forecast the cost of our EYSFF in 2021/22 due to the potential for continued impact from COVID-19, which is creating additional cost to the EYB, but also because COVID-19 has meant that we do not have an accurate 'actuals base' for hours delivered by providers in 2020/21 to use to estimate delivery next year. This is especially difficult for the calculation of estimates for the delivery of the 2 year old offer, where there is expected to be an overall reduction in the numbers of 2 year olds due to reducing birth rate. There is potential for us to need to use a substantial amount of brought forward balance in 2021/22 and we may be minded to recommend that we retain as much balance brought forward as possible to support the management of additional costs and possible protections. We are also conscious that we have previously anticipated that we will have reserve available in future years to continue uplifting base rates and to support maintained nursery schools in any transition that the national funding review may require. The absence of reserve in future years will cause us to review this strategy.
- At this time, we estimate an unallocated remaining balances sum of £1.879m (4.2% of the Early Years Block). However, as explained above, this figure should be treated with caution. It may be substantially affected by the cost of spring 2021 arrangements and by additional EYSFF cost in 2021/22.

### High Needs Block (£15.268m)

At this time, we estimate that we will not need to allocate a significant proportion of the £15.268m into the 2021/22 planned budget. Surplus balances brought forward are available to be spent on a one off basis only. When previously considering such balances, the Forum has sought to avoid allocating these in support of on-going expenditure pressures. We may need to use a small proportion in order to 'balance' the final HNB planned budget, which will be presented on 13 January. The next call on the £15.268m will be meeting in year the cost of change as well as supporting any unexpected costs that may arise across 2020 and 2021. The £15.268m will then support the avoidance of cumulative deficit in the High Needs Block over the medium term, forming part of our DSG Management Plan. We expect to present an outline draft of our DSG Management Plan to the Schools Forum on 13 January.

**Implications for the Dedicated Schools Grant (DSG) (if any)**

These balances must be spent on DSG functions and in accordance with the Regulations.

**Recommendations**

Members are asked to consider whether sufficient information has been provided to enable final recommendations to be made on 13 January on the allocation and / or retention of estimated DSG balances.

**List of Supporting Appendices / Papers (where applicable)**

Appendix 1 – 2020/21 DSG Spending Forecast Report

Appendix 2 – Statement of uses & retention of balances forecasted to be carried forward into 2021/22

**Contact Officer (name, telephone number and email address)**

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DSG BLOCK and FUND NAME	Confirmed Balance Brought Forward from 2019/20	2020/21 Planned Budget (Excluding Spending of BFB)	Adjustment for academy recoupment / ESFA direct funding (inc. estimates)	Adjustment to DSG Allocation after Jan 20 (inc. estimates)	2020/21 Revised Planned Budget after recoupment & DSG adjustment	Total Forecasted Spending up to 31 March 2021 (including spending of BFB)	Variance to Revised Planned Budget (negative = overspend)	Estimated Carry Forward Balance at 31 March 2021 (negative = deficit)	Comments
<b>SCHOOLS BLOCK</b>									
Primary and Secondary formula funding allocations		433,711,780	-283,857,598		149,854,182	149,726,047	128,135	128,135	Estimated savings in business rates (where cost is reduced to 20% following academy conversions).
Growth Fund (all new & existing expansions, bulge classes and Beckfoot Upper Heaton)	1,636,312	1,931,946			1,931,946	2,182,683	-250,737	1,385,575	£0.42m of the overspend is the planned BUHA allocation. Balance is carried forward ring-fenced to the Growth Fund.
Falling Rolls Fund Primary Phase	250,000	250,000			250,000	0	250,000	500,000	Allocations are to be presented to Forum in March 2021. Assumed no spend at this time (to keep total available budget clear).
De-Delegated Funds (see separate breakdown Document MN Appendix 2)	1,274,809	869,395	-35,544		833,851	1,017,875	-184,023	1,090,785	Balance is ring-fenced. Total net overspend in 20/21 from deliberate use of brought forward balance (maternity scheme).
Deficit of a converting school (de-delegated fund)	650,000				0	0	0	650,000	Provision remains committed and carried forward on this basis. Expected to be used in 2021/22.
Specific unallocated funds - Primary £GUF monies retained in 20/21 to be carried into 21/22		539,636			539,636	0	539,636	539,636	Forum to further discuss how this balance is to be allocated or retained in support of the primary phase. See Appendix 2.
Schools Block reserve brought forward from 2019/20	2,255,926						0	2,255,926	No brought forward reserve is forecasted to be used in 2020/21. Fully carried forward.
<b>Total Schools Block</b>	<b>6,067,047</b>						<b>483,011</b>	<b>6,550,058</b>	

**CENTRAL SCHOOLS SERVICES BLOCK**

Schools Block Copyright Licences (Schools Block element only)		374,983			374,983	374,983	0	0	Cost was confirmed in December 2019 and was budgeted for on an actuals basis. No adjustment.
Schools Forum contribution		10,000			10,000	10,000	0	0	Cost forecasted to be c. £5k lower than budget; final year-end reconciliation will report variance (presented in July 2021).
Pupil Admissions		614,906			614,906	614,906	0	0	Final year-end reconciliation will report variance (presented in July 21). Budget is under pressure possible c. £80k overspend.
Former Education Services Grant (ESG) Local Authority statutory duties		1,425,951			1,425,951	1,425,951	0	0	Services costs expected to be on budget; final year-end reconciliation will report any variance (presented in July 21).
Transferred High Needs Block Activities		412,340			412,340	412,340	0	0	Services costs expected to be on budget; final year-end reconciliation will report any variance (presented in July 21).
<b>Total Central Schools Services Block</b>	<b>0</b>						<b>0</b>	<b>0</b>	

**EARLY YEARS BLOCK**

3&4 Year Old Early Years Single Funding Formula Allocations	4,205,244	33,255,286		-278,842	32,976,444	34,075,085	-1,098,641	3,106,603	This is the estimated position prior to any further protection for the spring term. To be discussed with the Forum
2 Year Old Early Years Single Funding Formula Allocations		8,645,377		-1,652,801	6,992,576	7,490,659	-498,083	-498,083	This is the estimated position prior to any further protection for the spring term. To be discussed with the Forum
Early Years Disability Access Fund	323,490	196,185		0	196,185	60,000	136,185	459,675	Earmarked balance. Final year-end reconciliation will report final variance. Schools Forum to consider the balance.
Early Years Pupil Premium		453,885		-46,614	407,271	407,271	0	0	Forecasted spend reduced to match EYB adjustment July 2020. Final year-end reconciliation will report any variance.
Early Years SEND Inclusion Fund		1,150,000			1,150,000	400,000	750,000	750,000	Based on an estimate of EYIF allocations still to be confirmed autumn and spring. Forum to review planned budget held for 21/22.
Early Years Block access to De-Delegated Funds	71,709	91,089			91,089	91,089	0	71,709	This balance remains ring-fenced (alongside the de-delegated funds balance within the Schools Block).
Early Years High Needs & EYIF Activities Services		365,000			365,000	409,000	-44,000	-44,000	Final year-end reconciliation will report final variance (presented in July 21). Budget is under pressure c. £44k (portage).
Copyright Licences (re-charge of Early Years Block element)		36,914			36,914	36,914	0	0	Cost was confirmed in December 2019 and was budgeted for on an actuals basis. No adjustment.
<b>Total Early Years Block</b>	<b>4,600,443</b>						<b>-754,539</b>	<b>3,845,904</b>	

**HIGH NEEDS BLOCK**

Place Plus - Special Schools and Special School Academies		33,107,771	-8,477,500		24,630,271	23,204,435	1,425,835	1,425,835	Underspend from profile of occupancy of new places (planned budget assumed full year full occupancy for capacity building).
Place Plus - Early Years Enhanced Provisions		1,038,535			1,038,535	1,149,033	-110,498	-110,498	Increased occupancy at 1 EYESP + additional Small Setting Protection following the split of AG and MR provisions.
Place Plus - Primary & Secondary School-led Resourced Provisions		5,530,984	-1,234,500	0	4,296,484	3,556,539	739,945	739,945	Underspend from profile of occupancy of new places (planned budget assumed full year full occupancy for capacity building).
Place Plus - Sensory Primary & Secondary LA-led Resourced Provisions		2,039,095			2,039,095	2,072,471	-33,376	-33,376	Service expected to be on budget; £33k overspend from £4k place minimum. Year-end reconciliation to report final balance.
Place Plus - New LA-led Resourced Provisions		2,635,427			2,635,427	1,693,427	942,000	942,000	Underspend from profile of growth in staffing as an establishing service (budget set on a full year basis for capacity building).
Place Plus - Primary Behaviour Centres		214,275			214,275	190,472	23,803	23,803	Earlier cessation of funding for 1 provision. HNB funding fully ceased for all provisions from 1 September 2020.
Place Plus - PRUs		2,785,143	-500,000		2,285,143	3,059,386	-774,243	-774,243	c. £0.7m of p-x provision established in addition to planned budget. Cont. of greater no. places from Sept 20 (155 vs. 103).
Place Plus - Post 16 Further Education		4,716,000	-2,036,000		2,680,000	2,743,555	-63,555	-63,555	Spend estimated at November 2020 referencing previous years' cost profile change between December and March. TBC.
Place Plus - Schools in Financial Difficulty (spend from High Needs Block BFB)					0	758,000	-758,000	-758,000	District PRU Sept - Mar not provided for within original planned budget (estimated TBC).
Place Plus - Alternative Providers		58,333			58,333	150,708	-92,375	-92,375	Overspend forecasted from newly commissioned OLA based AP p-x places (10 places) not within planned budget.
Place Plus - Budget Provision for Additional Places		1,458,333			1,458,333	321,750	1,136,583	1,136,583	Additional places at a special school and a school-led RP plus a new primary phase school-led RP from September
Place Plus - Budget Provision for new EHCP Model Impact		2,000,000			2,000,000	775,000	1,225,000	1,225,000	£0.775m spend from transition protection for special schools pending the completion of pupil EHCP assessments.
Mainstream EHCPs including SEND Funding Floor, Early Years PVI		9,243,804	-80,000		9,163,804	8,517,696	646,108	646,108	Estimated from Nov 20 actual TBC. Planned budget estimated a greater impact on spend in 20/21 from growth in EHCPs.
Independent & OLA Placements		9,840,000			9,840,000	9,445,000	395,000	395,000	Full year spend estimate at Nov 2020 TBC. Contribution 're-alignment review' is on-going (between educ; social care; health).
Tracks (to 31 August 2020)		177,083			177,083	177,083	0	0	Budget merged with EinH & Medical Home Tuition. Total budget variance for the new single service is shown below.
Education in Hospital & Medical Home Tuition Centrally Managed Services (& Tracks from Jan 21)		1,269,917			1,269,917	1,649,752	-379,835	-379,835	Budget merged with Hospital Schools and Tracks. Overspend due to delayed service re-structure COVID-19. Now Jan 21.
Speech and Language Therapy Services		140,000			140,000	161,400	-21,400	-21,400	Spend forecasted to increase following service re-commissioning (higher volume).
Specialist Equipment		200,000			200,000	200,000	0	0	Spend expected to be on budget; final year-end reconciliation to report any variance (presented in July 21).
Copyright Licences (re-charge of High Needs Block element)		41,529			41,529	41,529	0	0	Cost was confirmed at December 2019 and was budgeted for on an actuals basis. No adjustment.
Building Schools for the Future DSG Affordability Gap - Special Schools		787,432			787,432	787,432	0	0	Spend expected to be on budget; final year-end reconciliation to report any variance (presented in July 21).
SEND Teaching Support Services		3,923,365			3,923,365	3,630,365	293,000	293,000	Services spend expected to be c. £0.3m under budget; final year-end reconciliation will report final variance (in July 21).
High Needs Block reserve brought forward from 2019/20	10,560,252			114,000	114,000		114,000	10,674,252	£0.114m increase in HNB from export / import confirmation July 2020. Confirmed £10.56m BFB was presented to Forum 8.7.20.
<b>Total High Needs Block</b>	<b>10,560,252</b>						<b>4,707,992</b>	<b>15,268,244</b>	

**SUMMARY**

	Confirmed Balance Brought Forward from 2019/20
Total Schools Block	6,067,047
Total Central Schools Services Block	0
Total Early Years Block	4,600,443
Total High Needs Block	10,560,252
<b>GRAND TOTAL</b>	<b>21,227,741</b>

Variance to Revised Planned Budget (negative = overspend)	Estimated Carry Forward Balance at 31 March 2020 (negative = deficit)
483,011	6,550,058
0	0
-754,539	3,845,904
4,707,992	15,268,244
<b>4,436,463</b>	<b>25,664,205</b>

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	Schools Block	Early Years Block	High Needs Block	Central Schools Services Block	Total	Comments
<b>Total of Balances (by Block) estimated to be carried forward from 2020/21 into 2021/22</b>	<b>6,550,058</b>	<b>3,845,904</b>	<b>15,268,244</b>	<b>0</b>	<b>25,664,205</b>	Balances are informally "ring-fenced" by Block
<i>Balance as a % of Block value</i>	1.5%	8.7%	18.8%	0.0%	4.5%	

**1) Proposed to be allocated within the 2021/22 Schools Budget for specific agreed additional expenditure**

Beckfoot Upper Heaton Academy Diseconomies Growth Fund estimated allocation in 2021/22	430,000				<b>430,000</b>	Original Agreement January 2015. 2021/22 is the final year of support
<b>Estimated balance to be used in support of the 2021/22 Early Years Single Funding Formula</b>		<b>1,435,610</b>			<b>1,435,610</b>	This is current an estimate and may be substantially changed by COVID-19
<b>Total Proposed to be allocated into the 2021/22 Schools Budget for Specific Additional Expenditure</b>	<b>430,000</b>	<b>1,435,610</b>	<b>0</b>	<b>0</b>	<b>1,865,610</b>	

**2) Proposed either to be allocated to the 2021/22 Schools Budget in order to remove a deficit or an underspending (surplus) proposed to be added to balances to be carried forward**

<b>2021/22 Schools Budget Support or Retention (Underspend). A negative = surplus retention into 2022/23</b>	<b>-568,188</b>		135,806		<b>-432,382</b>	This is the current position using estimates of formula funding cost. TBC and discussed again with the Schools Forum on 13 January.
<b>Total allocated to remove deficit from the 2021/22 Schools Budget or surplus to be added to balances</b>	<b>-568,188</b>	<b>0</b>	<b>135,806</b>	<b>0</b>	<b>-432,382</b>	

**3) Proposed Balances to be retained at 1 April 2021**

Retention of the forecasted De-Delegated Funds net total of balances to be held at 31 March 2021 *	1,090,785	71,709			<b>1,162,494</b>	Retained to support the cost of de-delegated funds in 2021/22 & on-going
Retention of the earmarked Disability Access Funds (DAF) monies within the Early Years Block		459,675			<b>459,675</b>	Estimated balance. Schools Forum to consider uplifting the DAF funding rate
Schools Block GUF primary phase balance carried forward from 2020/21's recommendations	539,636				<b>539,636</b>	Proposed to be retained to support the Reception Uplift factor in 2022/23
Deficit of a Secondary School converting to academy status - monies not yet allocated	650,000				<b>650,000</b>	Original Agreement January 2015
Retention of the Estimated Falling Rolls Fund balance carried forward from 2019/20 and 2020/21	500,000				<b>500,000</b>	Allocations are expected but TBC in March 2021. Still a sizeable carry forward
Retention of the Estimated Growth Fund balance carried forward from 2020/21 (including previous BUHA provision)	955,575				<b>955,575</b>	Retained to support Growth Fund cost in 2021/22 & on-going
<b>Block Reserve</b>	<b>2,952,250</b>	<b>1,878,909</b>	<b>15,132,437</b>	<b>0</b>	<b>19,963,596</b>	
<b>Total Proposed to be Retained at 1 April 2021</b>	<b>6,688,246</b>	<b>2,410,293</b>	<b>15,132,437</b>	<b>0</b>	<b>24,230,976</b>	
<i>Retained Balance as a % of Block value</i>	1.4%	5.6%	16.4%	0.0%	4.3%	

\* please note that a proportion of this balance is proposed to be released in 2021/22 (see Document MN)

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## SCHOOLS FORUM AGENDA ITEM

For Action

For Information



**Brief Description of Item** (including the purpose / reason for presenting this for consideration by the Forum)

To provide a summary-level indicative forecast of the DSG income / expenditure position for the 2021/22 financial year.

**Date (s) of any Previous Discussion at the Forum**

The DSG's position for 2021/22 has begun to be considered within the consultation items presented in the autumn term. However, this is the first indicative summary to be presented.

**Background / Context**

Our DSG allocation for 2021/22 will continue to be split into four blocks (in size order):

- Schools Block – funding mainstream Primary & Secondary school and academy core formula allocations, School Block de-delegated items (maintained schools) and Growth & Falling Rolls Funds. c. £470m
- High Needs Block – funding provision for high needs children with needs valued at greater than £10,000 (or £6,000) per annum, including Special schools, PRUs and Post 16 students in Further Education Colleges as well as support services. c. £92m
- Early Years Block – funding the Early Years Single Funding Formula and centrally managed items relating to early years entitlement provision (the 2, 3 and 4 year old entitlements). c. £43m
- Central Schools Services Block – established at April 2018 to fund items previously 'collected' via 'top-slice' within the Schools Block, including admissions, copyright licensing and other local authority statutory duties (transferred from ESG). c. £3.4m

Each of these four blocks has a National Funding Formula (NFF) basis, which includes protection (for losers) and damping (for winners) as the DSG system moves towards the fuller implementation of NFF over time. 2021/22 is the fourth year of implementation of the NFF distribution.

Many aspects of the DSG for 2021/22 have now been confirmed and will not change. However, there are still some critical elements that will be confirmed by Government later in December and some that will only be confirmed during 2021/22, including Early Years Block funding and adjustments to the High Needs Block for imports and exports between authorities and academy conversions. Members are also reminded of the potential for continued variance from 'normal' DSG arrangements during 2021/22 in response to COVID-19. This may especially be the case for the funding of the early years entitlements within the Early Years Block (EYB), which may require use of reserves to manage pressures in such a way as to minimise as best as possible the impact on early years providers. We wish to prioritise EYB reserves for this purpose.

The Authority, with the Schools Forum, has previously established the general starting principle of the 'ring-fencing' of all the four blocks, meaning that the spending pressures / funding adjustments relating to each block are contained within that block. As set out in Document MH, the Authority continues to apply the principle of block ring-fencing, informally, to the management of brought / carry forward balances. However, although the DSG is split into separate blocks, members should continue to recognise the inter-connectivity that exists, especially between the High Needs Block and the Schools and Early Years Blocks. The Schools Block is formally ring-fenced in Regulations. Authorities continue to be permitted to transfer a maximum of 0.5% of the Schools Block (net of the Pay and Pensions Grants transferred in 2021/22) to other blocks, including to the High Needs Block, with the approval of the Schools Forum. Larger transfers must be approved by the Secretary of State. This means that 99.5% of Schools Block monies must be spent on Schools Block activities. The Regulations also require a pass through of 95% of the Early Years Block (EYB) 3 & 4 year old funding to the funding rates for 3 & 4 year old providers. This has the effect of limiting the size of centrally managed budgets within the EYB as well as restricting the value of the EYB that could be transferred to other blocks. There are no formal 'ring-fencing' restrictions set in the Regulations for the Central Schools Services Block or for the High Needs Block.

Additional reports to this meeting provide more details of the management of spending within each of the blocks. A more detailed analysis of the 2021/22 DSG income position, and planned expenditure, will be provided for the Schools Forum on 13 January.

### Details of the Item for Consideration

Appendix 1 provides a high level position statement for the 2021/22 DSG based on current estimates and assuming that the proposals that have been put forward for formula funding arrangements and centrally managed funds are fully implemented. This statement also makes assumptions about anticipated EYB arrangements, which are discussed in Document MK.

As stated earlier in this report, there are a number of aspects of DSG income and expenditure that are still to be confirmed. The Schools Forum also still has to make its final recommendations on formula funding arrangements in the light of feedback from the consultations. In addition to early years funding, one of the more significant aspects to confirm is the cost of Schools Block formula funding calculated on the October 2020 Census dataset, which will be available mid December. Another is the split of responsibilities for the funding of independent and out of authority placements within the High Needs Block. As such, Members are asked to view this summary as an estimate intended to help plan for decisions. A more confirmed more detailed summary, as well as a DSG Management Plan, on which the Forum will be asked to make its recommendations on the 2021/22 planned budget, will be presented on 13 January. The following aspects of the estimated position shown in Appendix 1 are highlighted below in summary:

- We estimate we will receive £44.50m more DSG in 2021/22 than we received in 2020/21 (ROW C)
  - £32.75m within the Schools Block, of which £18.86m comes from the transfer in of the former Teacher Pay and Pensions Grants. This £18.86m therefore, is not new money into school budgets. The remaining £13.89m is new funding. £2.0m from a net greater number of pupils recorded in primary and secondary schools and academies in the October 2020 Census (a net increase of 206, made up of a reduction of 562 in primary and an increase of 768 in secondary). £12.83m from the increases provided by the NFF-led settlement (the headline increase of +3% per pupil, a +2% minimum per pupil for all schools and the significant +6.7% increase in the primary-phase minimum level of per pupil funding) net of other smaller adjustments. Due to the change in our rate of growth in pupil numbers, we estimate within our Schools Block settlement that we have lost £0.93m in growth funding now calculated using the DfE's DSG-level growth funding formula.
  - £10.84m within the High Needs Block, of which £1.21m comes from the transfer in of the former Teacher Pay and Pensions Grants and is therefore, not new money, and £0.40m from increased pupil numbers recorded in special schools (including the numbers now recorded following the amalgamation of Ellar Carr PRU into Beechcliffe Special School). Our main core High Needs Block NFF-based allocation is increased (and capped) at +12% per pupil and this mechanism drives the bulk of our High Needs Block increase.
  - £0.51m within the Central Schools Services Block (CSSB), of which £0.41m comes from the transfer in of the former Teacher Pay and Pensions Grants in respect of centrally employed teachers and is therefore, not new money. The DfE continues to reduce funding related to historic commitments, with our allocation in 2021/22 being reduced by £0.07m to £0.281m. This adjustment reduces our overall growth in CSSB income as determined by the NFF-led settlement.
  - £0.40m within the Early Years Block. This is prior to the transfer of the Teacher Pay and Teacher Pensions Grants and any alteration in the protection supplement received in respect of maintained nursery schools. It is also prior to any adjustment related to COVID-19 that may be announced and as discussed further in Document MK e.g. whether January 2020 or January 2021 census numbers are used at Early Years Block level (not provider level) to fund the period April to August 2021. We do not yet know our 2021/22 Early Years Block settlement but have estimated that our rates of funding from the DfE will increase by 1%. We expect that the DfE will announce the settlement prior to the meeting on 9 December and therefore, anticipate being able to update the Forum on this at the meeting.
- On current estimates, we anticipate presenting to the Schools Forum on 13 January a planned DSG budget for 2021/22, which balances 'in year' but which does also allocate a proportion of balances that are forecasted to be brought forward from 2020/21, on a one off basis, to specific defined activities and commitments. The use of balances brought forward is discussed in more detail in Document MH.
- The most substantial use of balances is expected within the Early Years Block, where the Authority remains concerned about the potential continued impact of COVID-19 on the early years sector and also on Early Years Block 2021/22 DSG income. This is linked to the additional financial pressure that protecting early years providers may produce, where it is necessary and permitted to continue to do so, and also to any transition that is initiated following the DfE's review of the funding of maintained nursery schools. The Authority is minded to seek to retain as much reserve as possible, within the Early Years Block, in support of managing these matters, rather than committing reserves now to other spending within the 2021/22 planned budget.

### **Details of the Item for Consideration**

- We anticipate that we can afford in full the formula funding proposals that we have set out for consultation in the Schools and High Needs Blocks. The main caveat to this statement relates to the Schools Block; whether the cost of formula funding for mainstream primary and secondary schools and academies substantially changes when the October 2020 based Census dataset is used. We will present a reconciliation of this to the Forum on 13 January. Adjustments to proposals may be required where the overall cost is significantly higher. However, the Forum may also need to consider the allocation of further headroom where formula cost reduces.
- We do not plan to hold 'safety net' provision (completely unallocated contingency) within the 2021/22 planned budget. We do plan to continue to hold an earmarked £1.5m provision within the High Needs Block for the EHCP Banded Model, which is expected to be at least partially used. However, except for this, any unexpected or higher than expected costs, where these cannot be met by savings elsewhere within that block, will be covered by brought forward balances. The total value of balances that are estimated to be carried forward at both March 2021 and March 2022 should ensure that the DSG remains resilient as we move towards hard NFF within the Schools Block and also as we continue to managed growth in pressure in high needs provision and the expansion of high needs places capacity. The balances held within the Early Years Block, although more limited and with the potential to be quickly exhausted, will also help manage possible continuing COVID-19 related issues in 2021/22 as well as supporting any transition in the funding of maintained nursery schools.
- We do not forecast that our DSG account will be in deficit, either at 31 March 2021 or 31 March 2022. We also do not forecast at this time that there will be a deficit balance to reconcile in centrally managed funds at 31 March 2021 (including specifically in de-delegated funds held within the Schools Block). There is some pressure currently however, in Pupil Admissions, which may result in an overall deficit in the Central Schools Services Block. We will discuss this further with the Schools Forum following the Authority's 2020/21 year end closedown.
- We do not plan any new 'material' transfers of either income or expenditure between the four DSG blocks in 2021/22. We do propose to transfer a proportion of the Teacher Pay and Pensions Grant income that will be added to our Central Schools Services Block (CSSB), but will in fact be spent on High Needs Block (HNB) funded services. This is a technical transfer to ensure that the income and expenditure are managed within the same block.
- We will present on 13 January more detailed information about the distribution of the High Needs Block in 2021/22, and the estimated spending trajectory for the next four years, as part of our outline DSG Management Plan.

### **Implications for the Dedicated Schools Grant (DSG) (if any)**

As outlined in this paper.

### **Recommendations**

**Members are asked to consider the information presented in the report, for reference, in advance of making final recommendations on 13 January 2021.**

### **List of Supporting Appendices / Papers (where applicable)**

Appendix 1 – 2021/22 Indicative DSG Summary.

### **Contact Officer (name, telephone number and email address)**

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2021/22 Planned Schools Budget (Dedicated Schools Grant (DSG) Income and Expenditure Summary)					
	Schools Block	High Needs Block	Early Years Block	Central Schools Services Block	Total DSG
<b>A) ESTIMATED 2021/22 DSG ALLOCATION</b> (before academy recoupment and other ESFA direct funding reductions)	<b>470,047,860</b>	<b>92,088,072</b>	<b>43,376,294</b>	<b>3,418,621</b>	<b>608,930,846</b>
% of total DSG by Block	77.2%	15.1%	7.1%	0.6%	
<b>B) 2020/21 DSG ALLOCATION</b> at November 2020 (please note that final 2020/21 Early Years Block allocation will be confirmed in July 2021)	437,302,756	81,246,677	42,964,811	2,912,529	564,426,773
<b>C) DIFFERENCE IN DSG ALLOCATION A - B</b> (positive = income increase)	<b>32,745,104</b>	<b>10,841,395</b>	<b>411,483</b>	<b>506,092</b>	<b>44,504,073</b>
<b>D) TOTAL ESTIMATED PLANNED EXPENDITURE 2021/22 SCHOOLS BUDGET</b>	<b>469,909,672</b>	<b>92,465,778</b>	<b>44,811,905</b>	<b>3,176,720</b>	<b>610,364,075</b>
<b>E) VALUE OF SPENDING SAFETY NET PROVISION WITHIN PLANNED EXPENDITURE ROW D</b>	0	0	0	0	0
<b>F) VALUE OF 2021/22 SCHOOLS BUDGET (ROW D) PROPOSED TO WRITE OFF DEFICIT BALANCE BROUGHT FORWARD FROM 2020/21</b>	0	0	0	0	0
<b>G) TOTAL UNDERSPEND / OVERSPEND ON 2021/22 DSG ALLOCATION A - D</b> (negative = overspend)	<b>138,188</b>	<b>-377,706</b>	<b>-1,435,611</b>	<b>241,900</b>	<b>-1,433,229</b>
<b>H) PROPOSED TRANSFER OF DSG INCOME BETWEEN BLOCKS 2021/22</b> (Teacher Pay and Pensions Grants)	0	241,900	0	-241,900	0
<b>I) PROPOSED ALLOCATION OF BALANCE BROUGHT FORWARD FROM 20/21 TO SUPPORT SPECIFIC AGREED ITEMS INCLUDED IN ROW D</b>	430,000	0	1,435,610	0	1,865,610
<b>J) BALANCE BROUGHT FORWARD FROM 20/21 TO SUPPORT BLOCK OVERSPEND IN 2021/22 (POSITIVE) OR UNDER SPEND IN 21/22 TO RETAIN (NEGATIVE)</b>	-568,188	135,806	0	0	-432,382
<b>K) ADDITIONAL NON-DSG FUNDS ALLOCATED TO SUPPORT DSG SPENDING ACTIVITY</b>	0	0	0	0	0
<b>L) ESTIMATED FINAL OVER OR UNDER SPEND POSITION BY BLOCK IN 2021/22 G + H + I + J + K</b> (negative = overspend)	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>M) ESTIMATED BLOCK BALANCE BROUGHT FORWARD FROM 20/21</b> (positive = surplus)	6,550,058	15,268,244	3,845,904	0	25,664,205
<b>N) ESTIMATED BLOCK BALANCE TO BE CARRIED FORWARD INTO 22/23 AFTER ROWS I + J</b> (positive = surplus)	<b>6,688,246</b>	<b>15,132,437</b>	<b>2,410,293</b>	<b>0</b>	<b>24,230,976</b>
<b>O) ESTIMATED SCHOOLS BLOCK DE-DELEGATED / EARLY YEARS FUNDS BALANCE BROUGHT FORWARD FROM 20/21 WITHIN ROW M</b> (positive = surplus)	1,090,785		71,709		1,162,494
<b>P) ESTIMATED SCHOOLS BLOCK GROWTH FUND BALANCE BROUGHT FORWARD FROM 20/21 WITHIN ROW M</b> (positive = surplus)	1,385,575				1,385,575
<b>Q) ESTIMATED SCHOOLS BLOCK FALLING ROLLS FUND BALANCE BROUGHT FORWARD FROM 20/21 WITHIN ROW M</b> (positive = surplus)	500,000				500,000
<b>R) ESTIMATED EARLY YEARS BLOCK DAF BALANCE BROUGHT FORWARD FROM 20/21 WITHIN ROW M</b> (positive = surplus)			459,675		459,675

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## SCHOOLS FORUM AGENDA ITEM

For Action

For Information



**Brief Description of Item** (including the purpose / reason for presenting this for consideration by the Forum)

**This report asks Members to consider the outcomes of the consultation on 2021/22 Schools Block formula funding and centrally managed funds.**

**Date (s) of any Previous Discussion at the Forum**

The consultation document and modelling was presented to the Schools Forum meeting 14 October 2020.

**Background / Context**

The consultation document outlined the Authority's proposals against the 5 key decisions that are required to set Bradford's 2021/22 mainstream primary and secondary funding formula arrangements. These decisions are:

- Decision 1 - Whether we transfer budget from the Schools Block to the High Needs Block in 2021/22.
- Decision 2 – Whether we continue to mirror the DfE's 2021/22 National Funding Formula (NFF).
- Decision 3 – Whether we continue unchanged our existing local approaches to the factors not yet covered by the NFF.
- Decision 4 – The value of Minimum Funding Guarantee (MFG) we provide.
- Decision 5 – Whether we retain, with their existing criteria and methodologies, the funds currently managed centrally within the Schools Block.

The consultation document also set out the proposals for the continuation of de-delegated and other centrally managed funds. These funds are discussed in more detail in Document MN but any responses are provided here.

Appendix 1 provides a summary of the Authority's Schools Block proposals for reference.

**Details of the Item for Consideration**

Consultation & Responses

The consultation ran between 14 October and 2 December. In support of the publication of the consultation document, School Funding Team attended BPIP, the RC Partnership, the Primary & Secondary Business Manager Forums and the Secondary CEOs group. A briefing note was also circulated to the governor forums via Governor Services.

Forum Members are encouraged to present to the meeting their feedback from their discussions with colleagues.

The number of formal responses received to the Schools Block consultation is low. We received only two formal responses, one from a maintained primary school, which agreed with all proposals, and one from a maintained secondary school, which, although agreeing with the proposals, commented on the value for money of de-delegated trade union facilities time in respect of their school and re-organisation costs.

This low response rate is reflective of the COVID-19 situation as well as the set of proposals being relatively simple, relating to values of increases rather than structural changes. Previously, even in 'normal times', consultation response rates have been low when the proposals have been relatively straightforward.

**Forum Members are asked to consider whether any of the proposals should be amended in the light of feedback and / or whether further information is required in order for the Schools Forum to make its recommendations, on 13 January 2020, on the primary & secondary mainstream formula for 2021/22.**

**Implications for the Dedicated Schools Grant (DSG)** (if any)

As set out in Document MI (DSG update).

**Recommendations**

- (1) The Forum is asked to consider the outcomes of the consultation and whether any of the proposals on the primary and secondary funding formulae for 2021/22 should be changed in the light of responses.
- (2) Members are asked to note that they will be asked to make final recommendations on the Schools Block formulae, and the criteria for the allocation of Schools Block funds, on 13 January 2021. As such, Members are asked to consider whether sufficient information has now been provided to enable these recommendations to be made.

**List of Supporting Appendices / Papers** (where applicable)

Appendix 1 – Primary and Secondary 2021/22 Formula Arrangements Consultation – Summary

**Contact Officer** (name, telephone number and email address)

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# Schools Forum Document MJ Appendix 1 – Summary of Schools Block Consultation Proposals

*This is an extract from full consultation document*

1.1 There are **5 key decisions** we need to take on Bradford's 2021/22 mainstream primary and secondary funding formula arrangements. These are very similar to the decisions that were needed for 2020/21.

1.2 The 5 decisions are:

1. Whether we transfer budget from Schools Block to the High Needs Block and, if we do, the value of this transfer.
2. Whether we continue to closely mirror the DfE's NFF, as we have done since April 2018.
3. Whether we continue our existing local approaches to the factors not yet covered by the NFF.
4. The value (% level) of Minimum Funding Guarantee, which can be set between positive 0.5% and positive 2.0%.
5. Whether we retain, with their existing criteria and methodologies, the funds currently managed centrally within the Schools Block.
  - a. Growth Fund
  - b. Falling Rolls Fund (primary phase)
  - c. Funds de-delegated from maintained primary and secondary schools

1.3 Please be aware that the following changes are mandatory (they are directed by the DfE) and, as such, are not for local determination. We are not consulting on these matters; we are just showing the impact:

- The transfer of the Teacher Pay and Teacher Pension Grants into core formula funding.
- The move to IDACI 2019 from IDACI 2014 and the subsequent amendment of the NFF bandings.
- The increases in the primary and secondary phase minimum levels of funding per pupil.

1.4 Running alongside our 5 decisions, it should be noted:

- In 2019/20, we permanently removed the 'Ceiling', removing any capping of year on year gains for individual schools and academies, and we do not intend to revisit this decision.
- The DfE established a new pupil mobility NFF factor in 2020/21. For the primary phase, whilst we adopted the new methodology, we did not fully adopt the reduced NFF variable value (but we reduced towards it). We propose now that we move to the NFF variable value in 2021/22. Any losses for individual schools and academies are covered through the Minimum Funding Guarantee.
- The basic construct of the national high needs funding system – a notional value of £4,000 for element 1 and £6,000 for element 2 – remains in place and unchanged from 2020/21 arrangements. The DfE's 'Call for Evidence' has not yet had an impact on the main reference points and thresholds of this system. We put forward proposals regarding the funding of top up for EHCPs for 2021/22 within our separate high needs funding consultation.
- The Schools Forum is to fully conclude its discussions on whether and how the DSG can / should support undersubscribed schools that are not covered by the Falling Rolls Fund. These discussions will continue in the autumn and may have implications for the criteria applied to the de-delegated funds for Schools in Financial Difficulty and Exceptional Circumstances. We do not expect that these discussions will result in amendment to the Falling Rolls Fund, as set out in paragraph 7.

- The Schools Forum has previously agreed to closely monitor developments within the NFF in respect of PFI (BSF) funding and has recently considered a report on the financial pressures associated with Building Schools for the Future. The role of the Dedicated Schools Grant in the funding of PFI (BSF) is proposed to remain unchanged in 2021/22. However, this is a matter that the Schools Forum wishes to continue to monitor and review alongside how the 'hard' NFF mechanism develops in this area.
- The Authority is currently looking at an amendment to the timetable used for the calculation of funding adjustments following permanent exclusion in an academy setting. We expect to consult directly with academies on this shortly.

1.5 Against the 5 decisions, the Authority proposes the following. Within these proposals, in certain places, we refer to 'subject to final affordability'. The modelling using the existing October 2019 Census dataset indicates that the proposals set out below are affordable. However, the cost of formula funding in 2021/22 will change once the October 2020 Census dataset is used e.g. FSM%s go up and down, prior attainment scores change. We cannot predict with total accuracy what the change in cost will be and the cost won't be confirmed until the dataset is released by the DfE in December. Therefore, in this consultation, we must place caveats in certain places.

### **Decision 1 - Whether we transfer budget from the Schools Block to the High Needs Block in 2021/22**

1.6 This is the first question in our consultation because a transfer directly affects the amount of funding available to spend within the Schools Block and therefore, what formula funding uplift and Minimum Funding Guarantee we can afford to propose.

1.7 The DfE has now taken some important steps, at a national DSG level, towards recognising that there is a significant High Needs Block funding issue. Bradford received a 17% per pupil increase in High Needs Block funding in 2020/21 and we estimate we will receive a 12% per pupil increase in 2021/22. Although no announcements have yet been made about the position of high needs funding after 2021/22 and therefore, there is still some concern about affordability going forward, these settlements put Bradford's High Needs Block for next year in a fundamentally much stronger position.

**1.8 As a result, the Local Authority does not propose to transfer Schools Block funding to the High Needs Block in 2021/22.** This means that the full Schools Block settlement will be retained for spending within this Block.

### **Decision 2 – Whether we continue to closely mirror the DfE's 2021/22 National Funding Formula**

1.9 The table below shows the DfE's NFF factors in 2021/22, as these are applied to Bradford (so adjusted for area costs - ACA), compared against those used for 2020/21. The key changes are:

- The values of the NFF pupil-led factors have been uplifted in line with the DfE's NFF settlement – by a headline 3% - for all factors except for the FSM factors, which have been increased by a nominal 2%, and for the Base £APP (AWPU) and IDACI factors, which are adjusted differently due to technical changes. The final factor values have then been adjusted so that the overall weightings (proportions of total spend nationally by factor) previously established within the NFF are maintained. The DfE has then also rounded values, prior to the application of the ACA, to the nearest £5.
- The Base £APPs (AWPUs) have been initially uplifted by the nominal 3% settlement but have then been further increased to absorb the transfer of the Teacher Pay and Teacher Pensions Grants. This is where the funding for these grants now sits within the main NFF. The Primary Base £APP has been increased by £180; the Key Stage 3 and Key Stage 4 Base £APPs have both been increased by £265.
- The minimum levels of funding per pupil have been increased from £3,750 to £4,180 (primary) and from £5,000 to £5,415 (secondary). These minimums now also include the £180 (primary) and £265 (secondary) per pupil additional sums relating to the transferred Teacher Pay and Pensions Grants.

- The IDACI bands and values have been adjusted to absorb the move to the IDACI 2019 data. The new banding methodology ensures that the proportion of pupils attracting funding through each band remains broadly unchanged from 2020/21. The DfE has increased the factor values of some bands by more than 3% to ensure that average funding, per eligible pupil, allocated in total through the IDACI factor nationally increases by 3%.

Factor	NFF £ 2021/22	NFF £ 2020/21	£Diff	% Diff
Primary – Base £APP	£3,123.50	£2,857.46	+ £266.04	+ 9.31%
Secondary – Key Stage 3 Base £APP	£4,404.70	£4,018.64	+ £386.06	+ 9.61%
Secondary – Key Stage 4 Base £APP	£4,963.79	£4,561.73	+ £402.06	+ 8.81%
Lump Sum – Primary & Secondary	£117,819	£114,418	+ £3,401	+ 2.97%
Primary - Deprivation – FSM Ever 6	£575.09	£560.09	+ £15.00	+ 2.68%
Primary - Deprivation – Flat FSM	£460.07	£450.07	+ £10.00	+ 2.22%
Secondary - Deprivation – FSM Ever 6	£840.13	£815.13	+ £25.00	+ 3.07%
Secondary - Deprivation – Flat FSM	£460.07	£450.07	+ £10.00	+ 2.22%
Primary - Deprivation – IDACI F	£215.03	£210.03	+ £5.00	+ 2.38%
Primary - Deprivation – IDACI E	£260.04	£250.04	+ £10.00	+ 4.00%
Primary - Deprivation – IDACI D	£410.07	£375.06	+ £35.01	+ 9.33%
Primary - Deprivation – IDACI C	£445.07	£405.06	+ £40.01	+ 9.88%
Primary - Deprivation – IDACI B	£475.08	£435.07	+ £40.01	+ 9.20%
Primary - Deprivation – IDACI A	£620.10	£600.10	+ £20.00	+ 3.33%
Secondary - Deprivation – IDACI F	£310.05	£300.05	+ £10.00	+ 3.33%
Secondary - Deprivation – IDACI E	£415.07	£405.06	+ £10.01	+ 2.47%
Secondary - Deprivation – IDACI D	£580.09	£535.09	+ £45.00	+ 8.41%
Secondary - Deprivation – IDACI C	£630.10	£580.09	+ £50.01	+ 8.62%
Secondary - Deprivation – IDACI B	£680.11	£625.10	+ £55.01	+8.80%
Secondary - Deprivation – IDACI A	£865.14	£840.13	+ £25.01	+ 2.98%
Primary - English as an Additional Language (3)	£550.09	£535.09	+ £15.00	+ 2.80%
Secondary - English as an Additional Language (3)	£1,485.24	£1,440.23	+ £45.01	+ 3.13%
Primary – Low Prior Attainment	£1,095.18	£1,065.17	+ £30.01	+ 2.82%
Secondary – Low Prior Attainment	£1,660.27	£1,610.26	+ £50.01	+ 3.11%
Primary - Pupil Mobility	£900.14	£875.14	+ £25.00	+ 2.86%
Secondary – Pupil Mobility	£1,290.21	£1,250.20	+ £40.01	+ 3.20%
Primary – Minimum £APP	£4,180	£3,750	+ £430	+ 11.47%
Secondary – Minimum £APP	£5,415	£5,000	+ £415	+ 8.30%

1.10 So, the NFF in 2021/22 retains the same dynamic as in the last three years, including its focus on Additional Educational Needs (AEN), low prior attainment within AEN, and the lower value of lump sum, which is one of the most significant factors in terms of impact on the primary phase.

1.11 The Authority's case, which was originally put forward for moving to fully replicate the DfE's NFF back in 2018/19, was strongly supported. Schools and academies have continued to strongly support our close mirroring of the NFF in 2019/20 and in 2020/21. Therefore, we believe schools and academies will continue to support the principle that, in this period prior to the establishment of the 'hard' NFF, our local formula funding arrangements should move in line with the DfE's NFF as this uplifts and incrementally develops. 99 (out of 151) authorities in the last three years have moved closer to NFF and / or are now within 1% of NFF variable values. This includes 63 other local authorities that are directly mirroring the NFF as we are.

1.12 For 2021/22, we propose therefore, **subject to final affordability, that we will amend our local formula to mirror the 'NFF 2021/22' factor values shown in the table above.** If we are not able to fully afford these % increases, because of the cost of data change recorded in the October 2020 Census, we will reduce the values of the factors on a pro-rata basis. **We do not propose to alter in 2021/22 our core NFF-based mainstream primary and secondary funding formula in any other way.**

1.13 The indicative impact of these amendments, including the total impact of the adjustment in the IDACI bandings, is shown in Appendix 1. Please also refer to the explanation of the modelling in paragraph 4.

**Question 1 - Do you agree that our local formula in 2021/22 should mirror the DfE's 2021/22 NFF and that this formula should be used to calculate primary and secondary school and academy mainstream formula funding allocations? If not, please explain the reasons why not.**

**Decision 3 – Whether we continue unchanged our existing local approaches to the factors not yet covered by the NFF**

1.14 **Business rates** will continue to be funded at actual cost and this is not a matter under consultation.

1.15 **We propose to continue in 2021/22 our current formulae for the allocation of split sites funding.** Please see the technical annex at Appendix 2. We propose to increase the values of the variables within the split site formula in line with the NFF settlement (3%), subject to final affordability.

1.16 **We propose to continue to pass through the specific BSF DSG affordability gap values using our current method** (please see Appendix 2), continuing the adjustment to ensure that the amounts passed on to academies by the ESFA on an academic year basis are equivalent to the amounts that the Authority requires academies to pay back on a financial year basis. The DfE is currently looking how PFI funding can be brought into the NFF but there is no timescale for this yet.

1.17 As explained in the introduction, **we propose to continue to use our existing methodology for the definition of Notional SEND budgets for mainstream primary and secondary schools and academies within the Schools Block funding formulae.** We are minded not to propose any amendments and until the outcomes of the DfE's reviews are known. Please see Appendix 2. We discuss the SEND Funding Floor, and set out proposals, within our separate consultation on high needs funding for 2021/22.

**Question 2a - Do you agree with the proposal to continue to use our existing formula for the allocation of split sites funding? If not, please explain the reasons why not.**

**Question 2b - Do you agree with the proposal to continue to use our existing formula for the apportionment of BSF DSG affordability gap funding? If not, please explain the reasons why not.**

**Question 2c - Do you agree with the proposal to continue to use our existing methodology for the definition of notional SEND budgets? If not, please explain the reasons why not.**

**Decision 4 – The % level of the Minimum Funding Guarantee (MFG)**

1.18 The Minimum Funding Guarantee (MFG) is the mechanism through which the Authority can ensure a minimum percentage increase in funding per pupil for all schools and academies in 2021/22. Typically, we seek to set this minimum at the maximum that is affordable and with reference to the minimum provided by the DfE within its NFF settlement as well as to how we estimate costs in schools will grow, especially following nationally determined teacher and support staff pay awards.

1.19 The MFG will now protect both core-formula funding and Teacher Pay and Pension Grant allocations. This is done by adding into the 2020/21 MFG baseline for each school and academy the Pay and Pension Grant allocations, including supplementary pension allocations, received in 2020/21.

1.20 The DfE permits the Minimum Funding Guarantee to be set in 2021/22 between positive 0.5% and positive 2.0%. 2.0% would mean, for example, that a school or academy that is funded on the MFG and that has the same total pupil numbers recorded in October 2020 as in October 2019 will receive in 2021/22 the cash value of its 2020/21 core-formula funding, plus its 2020/21 Teacher Pay and Pension Grants, uplifted by 2.0%.

1.21 The DfE's has used a floor (a minimum increase) of +2.0% in its notional 2021/22 NFF calculations for individual schools and academies. The DfE has also set out its aim to increase the salaries of early career teachers (to bring MPS 1 to £30,000 at September 2022), with further movement towards this expected at September 2021 within an overall national teacher's pay award that is anticipated to be in the region of (and not lower than) 3%. This continues from the award of 3.1% nationally at September 2020, which will follow through into 2021/22 budgets. Many individual schools and academies will likely need to absorb a greater

than 3% increase in teacher costs in 2021/22, depending on their staffing profiles. Recognising the cost impact that this specific award will have on school budgets, and the high proportion of our schools and academies (especially in primary phase) that are funded on the MFG, we aim to pass through the DfE's minimum 2.0%.

**1.22 So, subject to final affordability, we propose to set the Minimum Funding Guarantee (MFG) in 2021/22 at the maximum level of positive 2.0%.** The impact of this is shown in Appendix 1, illustratively, at individual school and academy level. Where we cannot afford 2.0% in addition to the full mirroring of the NFF (decision 2), due to the cost of data change from the October 2020 Census, we will discuss with the Schools Forum how to provide for the right balance of uplift between schools on and off the MFG and how to deliver the maximum value of MFG possible.

**Question 3 - Do you agree with the proposal, subject to final affordability, to set the Minimum Funding Guarantee at the maximum positive 2.0% in 2020/21? If not, please explain the reasons why not.**

**Decision 5 – Whether we retain, with their existing criteria and methodologies, the funds currently managed centrally within the Schools Block**

1.23 The DfE's National Funding Formula does not yet include a methodology, which prescribes how Growth Funding should be allocated at individual school or academy level. Local authorities in 2021/22 therefore, retain the responsibility for determining arrangements locally, albeit within tight Regulations. Local authority compliance with these Regulations is checked annually by the ESFA. **Our proposed Growth Fund arrangements and criteria are set out in the documents embedded under paragraph 6. These are unchanged from current arrangements.**

1.24 We established back in 2019/20 a Falling Rolls Fund for our primary phase.. **Our proposed Falling Rolls Fund arrangements and criteria are set out in the document embedded under paragraph 7. These are unchanged from current arrangements.**

1.25 This document also asks for feedback on the continuation for the 2021/22 financial year of funds de-delegated from maintained primary and secondary schools. **Our proposed de-delegated fund arrangements and criteria are set out in paragraph 5 and Appendix 3. These are unchanged from current arrangements.**

**Question 4 - Do you agree with the proposed criteria and methodology for the allocation of the Growth Fund to schools and academies in 2021/22? If not, please explain the reasons why not.**

**Question 5 - Do you agree with the proposed criteria and methodology for the allocation of the Falling Rolls Fund to primary-phase schools and academies in 2021/22? If not, please explain the reasons why not.**

**Question 6 – Should sums continue or cease to be de-delegated from maintained school budgets in 2021/22 for the purposes listed? Please explain the reasons why if you believe that these should cease or change.**

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## SCHOOLS FORUM AGENDA ITEM

For Action

For Information



**Brief Description of Item** (including the purpose / reason for presenting this for consideration by the Forum)

**This report provides an update on Early Years Block DSG funding matters.**

**Date (s) of any Previous Discussion at the Forum**

Reports outlining the developing proposals for 2021/22 funding arrangements were presented to the Schools Forum on 16 September and again on 14 October.

**Background**

A separate report to this meeting – Document MG – discusses EYSFF arrangements for the spring term 2021.

The Authority had hoped to present to this meeting a final consultation document, which would set out our proposals for the Early Years Single Funding Formula (EYSFF) for 2021/22. However, the Early Years Block settlement and operational guidance have not yet been published by the DfE. The Authority is unable at this time therefore, to put forward final proposals for consultation.

We hope that an announcement will be made before the 9 December meeting and that we are able to provide more details verbally for the Forum's awareness and consideration.

Due to how late the 2021/22 Early Years Block settlement and operational guidance will have been published, we have not yet engaged in formal consultation with the early years sector. A re-convened Early Years Working Group did meet (remotely) on 1 October. A number of themes for continued monitoring and review were raised within the Group's discussions. The Authority will now work with the Early Years Working Group (EYWG) to publish our proposals as soon as possible. Our consultation however, will need to extend beyond the 13 January Schools Forum meeting and therefore, our decision making processes on the Early Years Block will need to adjust for this changed timescale.

Putting COVID-19 matters to the side for just a moment, we have set out previously that we would expect that our recent decisions and actions will influence and inform how we will respond to announcements concerning Early Years Block funding levels and longer term formula funding arrangements and changes. Locally, recently we have:

- Begun to reduce the proportion of our 3 & 4 year old EYSFF that is allocated via the Deprivation & SEND supplement, with the intention to reduce this down by April 2022 to the average of our statistical neighbours, which is currently rounded at 6% (5.7%). The % is planned to reduce from 8% to 7% in 2021/22. This is part of our strategy to maximise the values of the 2 year old and the 3&4 year old Universal Base Rates for all early years providers. Whilst fully passing onto providers the DfE's increase of our Early Years Block funding rates, we have also continued to deploy reserves (one off monies) to further uplift the value of the 3&4 year old Universal Base Rate (UBR). In 2020/21, our UBR for the 3&4 year old entitlement is £4.19 and for the 2 year old entitlement is £5.28.
- Implemented a new Early Years Inclusion Fund (EYIF) to better support emerging SEND within the early years sector.
- Identified the significance of pending DfE decisions concerning the future of the Maintained Nursery School Supplement. The DfE has announced the continuation of protection until the end of the 2020/21 academic year (to August 2021) and has indicated that further transitional arrangements might come after this.
- Begun to consider the pros and cons of more responsive counting arrangements (starters and leavers) and have begun to explore a new data portal, which would remove reliance on the DfE's censuses.

In the single year Spending Review, announced on 25 November, the Chancellor stated that a further £44m is available nationally in 2021/22 for the funding of the early years entitlements. Whilst we do not yet know what Bradford's settlement is, £44m is about 2/3rds of the value of the 2020/21 national settlement through which Bradford's Early Years Block funding rates increased by c. 1.5%. Without accounting for demographic changes nationally, a £44m settlement might suggest that Bradford's rates of Early Years Block funding may increase by c. 1.0% in 2021/22. This would be a much lower settlement in percentage increase terms than seen in the Schools Block and in the High Needs Block.

### **Details of the Item for Consideration**

Again, putting COVID-19 matters to the side for just a moment, we are currently developing our 'normal' approach to entitlement funding for 2021/22 on the basis that:

- As in the other DSG blocks, we will need to incorporate the transfer of the Teacher Pay Grant and the Teacher Pension Grant into main core early years formula funding for schools. We expect further guidance on this to be provided by the DfE. This may require an adjustment to funding rates or the addition of a new specific funding supplement.
- We are continuing to explore the introduction of a new single Bradford Provider Gateway for all providers to use to submit all their entitlement delivery information to the Authority. For schools and academies, this Gateway would replace the use of the DfE's termly censuses. We aim to pilot this Gateway during 2021/22. However, there will be no technical changes to our operation of our Early Years Single Funding Formula (EYSFF) in 2021/22. This means that we will continue in 2021/22 our current termly headcount methodology (and 2nd headcount for the 2 year old offer). Although we do not propose to revisit for 2021/22 the option suggested previously to move to a monthly counting arrangement (a 'starters and leavers' approach), the introduction of a single Gateway for the collection of delivery information, will provide further opportunities for us to explore with providers the pros and cons of moving at a point in the future to a counting arrangement that is more sensitive to the movement of children and fluctuations in numbers during the year. We anticipate that changes in our EYSFF related to the new Gateway may be proposed within our consultation for the 2022/23 financial year.
- We aim to retain the current value of the contribution made by the Early Years Block to early years high needs activities, with this contribution increasing for inflation.
- Regarding the Early Years SEND Inclusion Fund (EYIF):
  - EYIF will continue to be fully funded solely from the Early Years Block.
  - We will continue to apply the 2020/21 Inclusion Fund approach and the current funding rate per hour of £6.32. This rate is unchanged because the value of Element 2 (£6,000) to which this directly relates is also unchanged in 2021/22.
  - 2 year olds will continue to be eligible for EYIF with the cost of this continuing to be met from the 3&4 year old Early Years Block budget supported by one off monies (rather than by topslicing the 2 year old rate of funding that is passed through to providers).
- Regarding funding the 3 & 4 year old entitlement, we began in 2020/21 to reduce the proportion of our 3 & 4 year old EYSFF that is allocated via the Deprivation & SEND supplement, with the intention to reduce this down by April 2022 to the average of our statistical neighbours, which is currently 6% (5.7%). As per our stated plan, we expect to reduce from 8% to 7% in 2021/22. This will reduce the rates of funding that providers receive through the Deprivation & SEND supplement. This is an adjustment that we will continue to monitor (and was raised as such within the EYWG meeting), but it is part of our strategy to maximise the value of the 3&4 year old Universal Base Rate for all early years providers. Alongside this:
  - We expect to continue to deploy a limited proportion of one off monies to help the 3 & 4 year old Universal Base Rate (UBR). Our UBR in 2020/21 was £4.19. The DfE has not yet confirmed Bradford's rate of funding for 3&4 year olds in 2021/22 so we are not yet able to propose with certainty by how much our UBR might increase. Indicatively, we are modelling the affordability of between a 2% to 4% increase in the UBR, understanding that a greater % increase in the UBR is expected to offset the reduction in the Deprivation & SEND supplement and will be needed to deliver a 2% to 3% increase in the overall total median average funding rates providers see. 2% to 3% would broadly be in line with the overall Schools Block settlement and will probably represent the very maximum increase that could be afforded if the DfE's settlement is only in the region of 1%. However, the % increase in the UBR will be conditional on a) releasing monies through the reduction in the Deprivation & SEND supplement b) a final decision on the value of one of monies (reserves) that can and should be deployed at this time and c) the impact of COVID-19 and what the DfE does to protect the Early Years Block against low January 2021 Census numbers. Absence of protection here would mean a much lower UBR in 2021/22.
  - We will not introduce more supplements into our 3 & 4 year old EYSFF, unless required to do so to absorb the Teacher Pay Grant and the Teacher Pension Grant. New supplements, other than for these grants, would dilute further both the UBR and Deprivation & SEND rates at a time when we see our priority to be to maximise the value of the UBR for all providers whilst continuing to monitor the impact of our reduction in spending on the Deprivation & SEND supplement.
- Regarding the 2 year old entitlement, providers will continue to be funded on a single rate per hour with no additional supplements. This rate was £5.28 in 2020/21, which meant that 100% of the rate of funding the Authority receives from the DfE for the 2 year old entitlement, was passed through to providers. The DfE has not confirmed Bradford's rate of funding in 2021/22 so we are not able to indicate with certainty by how much our rate might increase. We are currently modelling the affordability of mirroring the median average increase in the total rate for the 3&4 year old entitlement as described above.

### Details of the Item for Consideration

- We are still waiting for information on the position of the funding of maintained nursery schools, which is needed in order for us to consider and to develop a full formula funding response for the 2021/22 financial year. To date the DfE has announced the continuation of protection until the end of the 2020/21 academic year (to August 2021) and has indicated that further transitional arrangements might come into place after this. From a response to a parliamentary question published on 26 November, we are aware that the DfE has secured the continuation of “around £60m of supplementary funding” for maintained nursery schools for the 2021/22 financial year. £60m would potentially be sufficient to continue the supplement nationally for the full financial year, but we cannot be certain about how the £60m will be used until the DfE announces its plans. If Bradford continues to receive supplement at something like current values, and for the full year, we would expect to continue to pass this through to our seven maintained nursery schools via our existing mechanisms, which currently protect pre-2017 deprivation rates and which fund the specific sustainability supplement.
- All authorities are required to earmark within their Early Years Blocks the monies allocated to them in respect of the Disability Access Fund (DAF). The DAF is allocated to children aged three and four years old who are accessing their entitlement hours at a provider in Bradford and are also receiving Disability Living Allowance (DLA). The DfE requires a minimum of £615 per child to be allocated on an annual basis. As we report in Document MH, we continue to under spend this fund within the Early Years Block and we estimate that we will hold a cumulative balance of £0.46m at the end of the 2020/21 financial year. As well as carrying out work to better understand why providers may not be claiming DAF funds, and to encourage higher take up, we are minded to propose for 2021/22 that we increase the value of the DAF allocation paid per child, perhaps to in the region of £1,000. This will help better support provider costs and will also complement our Early Years Inclusion Fund and our Deprivation & SEND supplement.
- We anticipate that the Early Years Pupil Premium (EYPP) rate of funding will continue and will remain at £0.53 per in 2021/22.

#### A Note on COVID-19

The Authority will continue to monitor closely COVID-19 matters in relation to our 2021/22 early years entitlement funding arrangements. After our ‘normal’ arrangements have been agreed in February, we will continue to respond as appropriate during the year, but in line with the Finance Regulations and with the DfE’s expectations and guidance. It may be that, on the whole, delivery returns to something like normal for the summer term. However, this will be influenced by wider issues around control of COVID-19 and the roll out of vaccination.

As we indicate in Document MG, which is written in advance of announcements that may provide more certainty and security, we are concerned about the rapid depletion of our Early Years Block reserves. This will have strategic implications for the Early Years Block, as settings will feel the impact going forward if reserves are no longer available to support higher universal base rates and also to provide a financial safety net, which can be deployed to manage the impact of unexpected matters.

We need to achieve a balance for the 2021/22 financial year. Much of the discussion around protection will likely be guided by the DfE’s expectations and by the Financial Regulations, as well as be restricted by the amount of funding available. We do perhaps need to begin to highlight to settings, for their planning, that the EYSFF is likely to revert back to funding on actuals from April 2021 where there isn’t any further substantial Early Years Block funding from the DfE allocated specifically to support the cost of protecting provider capacity. These obviously are major issues that require detailed consideration. We hope to be able to provide more confirmed details to the Schools Forum on 9 December for further discussion, with the Forum and then with the Early Years Working Group.

#### A Note on Benchmarking

It is important that we continue to regularly compare our early years provider rates and spending position against those found in other local authorities. Our latest benchmarking was included within our consultation document that was published in October 2019 and we used this to inform our proposals, in particular around the reduction in our proportion of spending on the 3&4 year old Deprivation & SEND supplement.

Unfortunately, we are unable to update our benchmarking this year. In response to the COVID-19 situation, the DfE cancelled the collection of 2020/21 Section 251 Budget Statements from local authorities. These budget statements include the Early Years Pro-forma, which is analysed and published and is also used to populate the DfE’s Early Years Benchmarking Tool.

It wouldn’t be accurate for us to compare our 2020/21 rates and spending vs. those of other authorities using their latest published 2019/20 information, because the DfE uplifted DSG early years funding in 2020/21 and other authorities will have uplifted their rates and made other changes to their spending in response to this. So we anticipate next updating our benchmarking using 2021/22 financial year information, which will be available in summer / autumn 2021.

**Implications for the Dedicated Schools Grant (DSG) (if any)**

This is an item for information.

**Recommendations**

**Recommended –**

- (1) The Forum is asked to consider the key elements of the Early Years Block settlement and operational guidance for 2021/22 as presented in Document MK and verbally to the meeting.**
- (2) Members are asked to note that the Authority, following discussion with the EWYG, will publish a consultation document as soon as possible. However, this consultation will need to extend beyond the 13 January Schools Forum meeting. Therefore, Members are asked to note that our Early Years Block decision making will need to adjust for this. We anticipate presenting to the Forum on 13 January a planned Early Years Block budget for 2021/22 that is based on assumptions and estimates that are made prior to the conclusion of the consultation.**

**List of Supporting Appendices / Papers (where applicable)**

None

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## SCHOOLS FORUM AGENDA ITEM

For Action



For Information



**Brief Description of Item** (including the purpose / reason for presenting this for consideration by the Forum)

**This report asks Members to consider the outcomes of the consultation on the 2021/22 High Needs Funding Model and also provides information on other strategic high needs funding matters.**

**Date (s) of any Previous Discussion at the Forum**

The consultation document was presented to the Schools Forum meeting 14 October 2020.

**Background / Context**

The consultation document outlined the formula approach that the Authority proposes to use to delegate Dedicated Schools Grant High Needs Block funding to high needs providers, mainstream schools and academies and other settings in the 2021/22 financial year April 2021 to March 2022.

In summary, the Authority proposes to:

- Uplift the rates of funding allocated via the EHCP Banded Model, as follows:

	(1) % Increase Top Up Only	(2) % Increase Top Up plus £6,000	(3) % Increase Top Up plus £10,000
Band 3L	+ 13.8%	+ 3.0%	+ 2.0%
Band 3M	+ 8.4%	+ 3.0%	+ 2.1%
Band 3H	+ 6.6%	+ 3.0%	+ 2.2%
Band 4L	+ 8.9%	+ 5.0%	+ 4.0%
Band 4M	+ 8.3%	+ 5.4%	+ 4.4%
Band 4H	+ 7.3%	+ 5.2%	+ 4.4%
Protected 7	+ 7.3%	+ 5.9%	+ 5.2%

- Uplift the rates of funding allocated via the PRU Day Rate Model. Together, with the fixed £10,000 per place, a proposed 7.29% increase in the day rate results in an overall 4.14% increase in funding per pupil for each occupied place.
- Revise our SEND Funding Floor mechanism, in trial for a year, in support of Element 2 funding for EHCPs in mainstream primary and secondary settings.
- Add into our arrangements the allocation of the Teacher Pay Grant and the Teacher Pension Grant.

The Authority does not propose further technical changes, including to our definition of notional SEND.

The Authority also presented to the Forum meeting on 14 October a schedule of high needs financial information, which will be provided within the annual meetings cycle for the Forum's review. Today's report presents:

- A statement on high needs places sufficiency (Appendix 1).
- Information on High Needs Block spending on placements in independent provisions and in non maintained special schools.

Forum Members are asked to note that the other information to be presented as per the schedule (High Needs Block planned budget and current spending position and balances) is presented under agenda items 7 and 8.

The Authority expects to present a first iteration of a fuller outline DSG Management Plan, focusing on the High Needs Block, at the 13 January meeting.

**Details of the Item for Consideration**

**Consultation & Responses**

The consultation ran between 14 October and 2 December. In support of the publication of the consultation document, School Funding Team attended the DAP, BPIP, the RC Partnership, the Primary & Secondary Business Manager Forums and the Secondary CEOs group. A briefing note was also circulated to the governor forums via Governor Services.

### **Details of the Item for Consideration**

Forum Members are encouraged to present their feedback from their discussions with colleagues. We received three formal responses to the consultation, one from a maintained primary school, which agreed with the proposals, and one from a maintained secondary school, which did not answer all the questions but which agreed on balance with the proposals for the Banded Model. This response asked for further clear guidance on the criteria used for banding and also asked the Authority to ensure that the Teacher Pay and Pension Grant allocations in respect of resourced provisions are not 'double funded'. A third response was received from the BD3 Local Area Partnership and this response will be communicated verbally in more detail to the meeting. Although the response agreed or agreed 'on balance' with the proposals, it contains similar feedback to that which has been provided informally elsewhere, including by the primary business managers. It is important that Forum Members are aware of this wider feedback and of the Authority's response to it.

As with the Schools Block consultation, the low formal response rate is perhaps reflective of the COVID-19 situation. In presenting to the various groups, the Authority did not receive negative comments expressed in real time specifically about the proposals themselves. This included the more significant proposal, which is to amend the SEND Funding Floor mechanism for mainstream primary and secondary schools and academies. Some feedback, which will be reported to the meeting, expressed concerns about the adequacy of SEND funding, of the Banded Model, and the impact of SEND provision on mainstream schools.

**Members are asked to consider whether any of the proposals should be amended and / or whether further information is required in order for the Schools Forum to make its recommendations, on 13 January 2020, on the Authority's High Needs Funding Model for 2021/22.**

#### Statement - High Needs Places Sufficiency

Please see Appendix 1 for the Statement on SEND places sufficiency at November 2020. This has been written, and will be presented by, the Strategic Manager, Intelligence and Sufficiency.

#### High Needs Block – Spending on placements in independent provisions and non-maintained special schools

Although other factors influence spending, there is a widely recognised relationship between the value of spend in the High Needs Block on placements in independent settings and non-maintained special schools (NMSS) and the sufficiency of places that are available to a local authority through its 'internal' places development in maintained schools and academies. Placements in independent settings and NMSS are a legitimate and important part of an authority's provision, especially for children and young people with the highest levels of need. But, typically, spend on independent and NMSS placements is higher where there are fewer, or an insufficient number of, internal places available to an authority. The average cost of placements in independent settings / NMSS is typically higher (though some of this is explained by the higher level of need of pupils). But an authority does not have direct influence over the price that is charged and this is subject to market forces. So ensuring the sufficiency of 'internal' places is a key part of an authority's strategy to deliver efficiency and value for money within the High Needs Block.

As part of its consultative role, to provide feedback on our current and forecasted High Needs Block spending strategy, we wish the Schools Forum to regularly consider two main points in this area:

1. Whether we have, and are continuing to create, a sufficient number of specialist places within Bradford to ensure that we are not over-reliant on independent settings and NMSS.
2. Where we have the joint commissioning of placements (between education, social care and health), whether the apportionment of their cost between parties is reflective of respective responsibilities thus ensuring, in the case of the education element, that the charge to the High Needs Block is accurate and proportionate.

We present in Document MH a forecast of £9.45m of spend in this year on placements in independent, non-maintained and out of authority provisions. Approximately £0.70m of this is for placements in maintained provisions in other mainly bordering authorities. £8.75m is for placements in independent and NMSS.

£9.45m is the 3<sup>rd</sup> largest spend heading in the High Needs Block, after spending on special schools / special school academies and on EHCPs in mainstream primary and secondary schools and academies. Our spending has increased quite substantially, and steadily, over the last 4 years, as shown in the table below:

	HNB Spend	Change on Previous Year
2016/17	£5.049m	n/a
2017/18	£6.152m	+ £1.103m
2018/19	£7.130m	+ £0.978m
2019/20	£8.199m	+ £1.068m
2020/21 (estimate)	£9.445m	+ £1.246m

### **Details of the Item for Consideration**

Growth in spend is caused by an increase in both the number of placements as well as in prices. We recorded 94 pupils placed at January 2020 compared with 74 at January 2018. The 2021/22 planned budget for the High Needs Block currently assumes a continued £1.25m increase in spend. This growth has taken place over a period when we have also created more than 700 SEND places.

As shown in Appendix 1, at January 2020, 1.28% of Bradford's pupil population was recorded in special schools and special school academies. This compares with 1.19% at January 2019. The national average was 1.46% at January 2020 and 1.38% at January 2019. The Yorkshire & Humberside average was 1.25% at January 2020 and 1.17% at January 2019. If Bradford's special school population was at the national average of 1.46%, we would have recorded a further 180 pupils in special schools. However, if 1,498 pupils had been recorded in our special schools at January 2020 (100% of our now available places) our percentage would have been 1.48%. This indicates, at a simple level, that we have come more in line in respect of our number of special school places available as a proportion of our school population. However, Appendix 1 also shows that we do not have much spare capacity remaining in our special schools and so the continued development of additional special school places is an important part of our High Needs Block spending strategy.

Looking more closely at the benchmarking of our population in independent and non-maintained settings, in 2019/20, 1.1 pupils in every 1,000 in Bradford was placed in an independent provision or non-maintained special school, compared with a national average of 1.9, a Yorkshire and Humberside average of 1.2 and the average of our 5 closest statistical neighbours of 1.4. Looking specifically at the number of pupils with EHCPs, at January 2019, 2.4% of pupils in Bradford with EHCPs were placed in independent or non-maintained settings compared with a national average of 5.0% and a Yorkshire and Humberside average of 3.5%. The January 2019 position compares against the January 2017 position as follows – Bradford was 0.8%, the national average was 5.2% and the Yorkshire and Humberside average was 4.4%. So, between 2017 and 2019 Bradford's % of population increased from 0.8% to 2.8% whereas the position in other authorities decreased. However, in 2019/20 Bradford's overall % was lower than the national and other averages. Tied in with the benchmarking of special school places, this indicates that we are not currently out of line with other authorities in respect of our use of independent and NMSS placements. However, we will wish to closely monitor this. We are aware that local authorities nationally are seeking to develop more places in order to reduce their reliance on other provisions and therefore, we would expect the benchmarking picture to alter.

The 2<sup>nd</sup> consideration is apportionment of the cost of placements that are jointly commissioned. In respect of this aspect of the High Needs Block, this could be seen on the surface to be a more limited consideration - on current 2020/21 budget figures, only £1.03m of the £9.45m cost to the High Needs Block relates to jointly commissioned places. However, the apportionment of the cost of jointly commissioned placements is part of an on-going discussion and review with health, which includes the funding of continuing care health services and equipment in schools and which together have wider significant financial consequences for all parties, but including for the Authority and for schools, especially for special schools.

To give an initially summary for the Forum's awareness, on current 2020/21 budget figures, £1.03m of the £9.45m cost the High Needs Block relates to jointly commissioned places. There are currently 24 jointly commissioned placements with a total cost of £5.23m. The average cost of these placements individually is significant (the most expensive placement is currently £345,000). 16 of the 24 have an agreed education contribution that is charged to High Needs Block; the cost of the remaining 8 is only split between health and social care (the Authority) because the pupils are above statutory school age. The 16 placements, where there is a charge to the High Needs Block, cost £3.69m in total with this cost currently split 28% (£1.03m) to education, 67% (£2.47m) to social care and 5% (£0.19m) to health. Apportionment is agreed on an individual pupil basis based on need. As presented to the Forum in recent meetings, the Authority is currently discussing the apportionment of the cost of jointly commissioned placements with health. The summary presented here evidences that the social care element of these placements is a significant cost to the Authority, met from base budget.

Schools Forum Members may also recall that the Authority entered into a Social Impact Bond, which funds pro-active early support aimed at reducing the likelihood of children and young people who are identified as being 'at risk' of being placed in expensive independent provision. This Bond in particular is a mechanism that the Authority has employed in seeking to control the increase in cost to the Authority's base budget. The High Needs Block currently contributes £0.07m to this Bond annually.

Following previous recent discussions, we are aware that the Forum wishes to continue to closely consider these issues and this will form part of our DSG Management Plan going forward.

### **Implications for the Dedicated Schools Grant (DSG) (if any)**

As set out briefly in Document MI (DSG update). More detailed information will be presented on 13 January.

## **Appendices**

Appendix 1 – SEND Places Sufficiency Statement November 2020

## **Recommendations**

- (1) The Schools Forum is asked to consider the information provided in respect of wider High Needs funding matters.**
- (2) The Forum is asked to consider the outcomes of the consultation on High Needs Funding for 2021/22 and whether any of the proposals should be changed in the light of responses.**
- (3) Members are asked to note that they will be asked to make their formal recommendations on the structure of the High Needs Block funding formulae on 13 January. As such, Members are asked to consider whether sufficient information has now been provided to enable these final recommendations to be made.**

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## SEND Places Sufficiency Statement November 2020

**Introduction**

1. Bradford Metropolitan District Council (the Local Authority) has a statutory duty under The Education Act 1996 Section 14(1) to ensure a sufficient number of school places for all children and young people who are resident within Bradford. The Authority has specific duties to ensure that there is sufficient specialist provision available for children and young people with Education Health and Care Plans (EHCPs), where their EHCPs determine that their needs should be met in specialist provision.
2. This statement sets out the analysis and processes, which will enable the Local Authority to plan and to ensure the sufficiency of specialist places over the next three years. The report highlights the projected scale of growth in the number specialist places required to meet projected increase in demand. It considers the number of places by type of provision that is projected to be required, if the current distribution of specialist places - between enhanced provisions (Resourced Provisions), local maintained special schools and special school academies, and mainstream maintained schools and academies - is projected into the future in line with the projected growth in demand for specialist places.

**Background**

3. Bradford District has experienced a significant increase in demand for Special Educational Needs and Disabilities (SEND) provision. It is projected that demand will continue to grow across all sectors.

The total number of EHCPs across the Bradford District is currently recorded as 4,619. This is increased from 3,673 recorded at January 2019 and 3,786 recorded this time last year.

**Nationally at January 2020 (taken from DfE Statistics releases)**

- 3.3% of all pupils in schools in England were recorded as having an Education, Health and Care (EHC) plan - increased from 3.1% recorded at January 2019.
- A further 12.1% of all pupils were recorded at SEND support – increased from 11.9% at January 2019.
- The most common type of primary need recorded for pupils with an EHC plan is Autistic Spectrum Disorders and for pupils with SEND support is Speech, Communication and Language Needs.
- SEND is more prevalent in boys than girls, with boys representing 73.1% of all pupils with an EHC plan and 64.6% of pupils with SEND support.

**In Bradford at January 2020 (taken from DfE Statistics releases)**

- 3.0% of all pupils in schools in Bradford were recorded as having an Education, Health and Care (EHC) plan – increased from 2.8% recorded at January 2019
  - A further 13.2% of all pupils were recorded at SEND Support - decreased slightly from 13.8 % at January 2019.
  - The most common type of primary need for pupils with an EHC plan is autistic spectrum disorders, which is the same as the national picture. However, the most common type of primary need for pupils with SEND Support is Mild Learning Difficulties, closely followed by Speech, Communication and Language Need.
  - As with national data, SEND is more prevalent in the Bradford District in boys than girls.
4. Over the last two years the Local Authority has created more than 700 additional specialist places across the District in response to the continued growth in the numbers of children and young people requiring specialist provision places.

## Current Position

5. Of the total number of children and young people with EHCPs currently recorded in Bradford (4,619):
- 1,867 (40%) are accessing specialist provisions within Bradford. Of this number, 31% are in special schools / special school academies and 9% are in resourced provisions.
  - 323 (7%) are accessing specialist provision 'out of authority'. Of this number 94 (2%) are accessing specialist provision in independent settings and in non-maintained special schools.
  - The balance of 2,429 (53%) are educated in other provisions, including (mostly) within non-specialist provisions in mainstream schools and academies.
6. The table below summarises the current distribution of Bradford's specialist places. The numbers are FTE.

Provision type	Primary	All Through	Secondary	Total
Special School & Special School Academies	524	114	860	<b>1,498</b>
Resourced Provision School Led	176	0	167	<b>343</b>
Resourced Provision Local Authority Led	126	0	68	<b>194</b>
Early Years Enhanced Specialist Provision	78	0	0	<b>78</b>
<b>Total Places</b>	<b>904</b>	<b>114</b>	<b>1,095</b>	<b>2,113</b>

At January 2020, 1.28% of Bradford's pupil population was recorded in special schools and special school academies. This compares with 1.19% at January 2019. The national average was 1.46% at January 2020 and 1.38% at January 2019. The Yorkshire & Humberside average was 1.25% at January 2020 and 1.17% at January 2019. If Bradford's special school population was at the national average of 1.46%, we would have recorded a further 180 pupils in special schools. However, if 1,498 pupils had been recorded in our special schools at January 2020 (100% of our now available places) our percentage would have been 1.48%. It is not possible to easily benchmark the proportion of population within resourced provisions.

7. The table below summarises the current occupancy of these 2,113 places (November 2020)

Provision type	Number of available places	Number of occupied places	% of occupied Places
Special School & Special School Academies	1,498	1,450	97%
Resourced Provision School Led	343	234	68%
Resourced Provision Local Authority Led	194	121	62%
Early Years Enhanced Specialist Provision	78	62	79%
<b>Total</b>	<b>2,113</b>	<b>1,867</b>	<b>88%</b>

- All but two of the District's eight special schools / special school academies are fully occupied. The remaining two are expected to be at full capacity by the end of the spring term 2021.
- Established (prior to recent capacity expansion) Resourced Provision places are currently between 75% and 100% occupied.
- Occupancy in some of the newly established Resourced Provisions is currently low. However, this reflects the gradual managed approach to growth that is taken over the initial opening period. Half of the new provisions only opened fully at September 2020. Some on-going building works have been delayed due to COVID-19. This has reduced the capacity that is current available in three of the resourced provisions.

### **Forecast**

8. Our modelling clearly indicates that additional SEND specialist places are required to be developed over the next three years in response to continued growth in the numbers of EHCPs and to wider demographic increase in the school population.

Based on the Authority's most recent cohort tracking work, which uses the January 2019 Census and 2019 Joint Strategic Needs Assessment (JSNA), we forecast that between 2% to 3% of the SEND Support cohort in national curriculum years 1 to 6 will receive an EHCP each year, with approximately an additional 32 primary-aged children requiring a place in a specialist provision.

Similar cohort tracking, looking at the national curriculum year 7 cohort moving through to year 11, indicates that the conversion rate of students in the SEND Support cohort to EHCPs is lower, at between 1% to 2% per year, but with approximately an additional 20 secondary aged students requiring a place in a specialist provision.

We forecast that once a pupil has moved into the EHCP cohort they will remain in that cohort unless they move out of the District. By analysing the flow of children and young people into each stream of SEND provision in this way, we forecast that an additional 52 children and young people per year will need access to specialist provision. This is before we apply school population growth.

Finally, applying average rates of overall forecasted changes in the pupil population, our current projections indicate that we will require an additional 120 specialist places in the 2021/22 academic year, with a particular pressure on places for SEMH provision, across both primary and secondary phases, and for ASD for the secondary phase. The draft October 2020 Census numbers indicate that the total pupil population is continuing to reduce in the primary phase (-562; -1.0% of total primary population vs. October 2019) and is continuing to increase in the secondary phase (+768; +2.3% of total secondary population vs. October 2019). This indicates that specialist places creation – to meet the difference between 120 and 52 places – should focus on the secondary phase; that in the region of 90 of the 120 places should be developed in the secondary phase.

Our forecast is regularly updated and tested against latest available data. Once the October 2020 Census data has been validated and released, we will update these SEND places projections. The updated projections, alongside those for early years and childcare places and mainstream school sufficiency, will be available in the public domain in the new year.

### **Summary & Conclusions**

9. Using a growth model for EHCPs, based on JSNA, Census and other intelligence data, the Authority projects that the numbers of EHCPs in Bradford will continue to increase. By calculating the number of children with an EHCP currently taking up a specialist place, by type of provision, we can project the number of additional places required in the medium term. Taking an average over the next three years, we forecast that EHCP cohorts will increase, as follows:

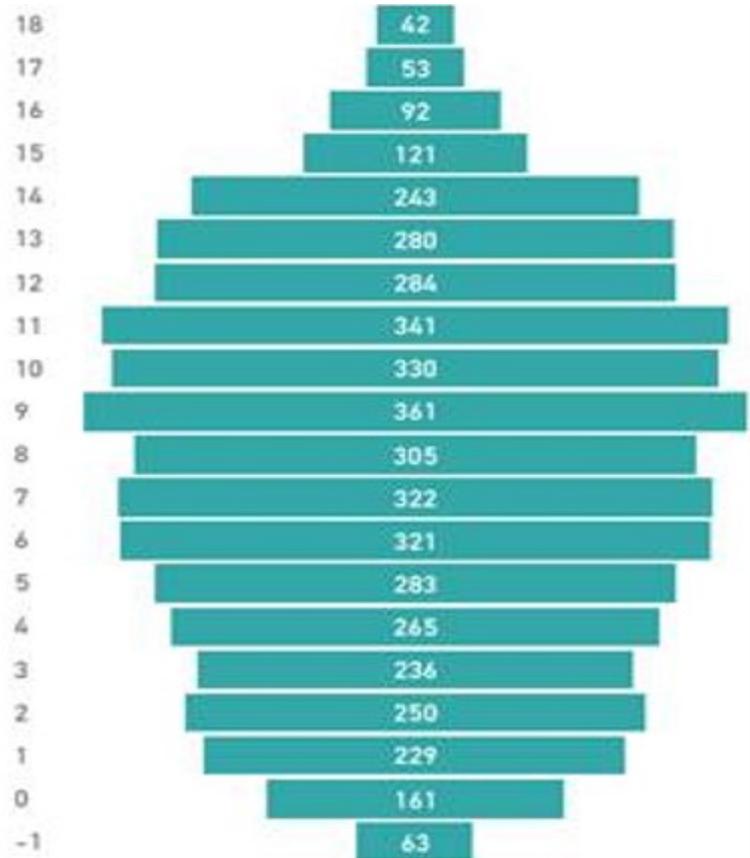
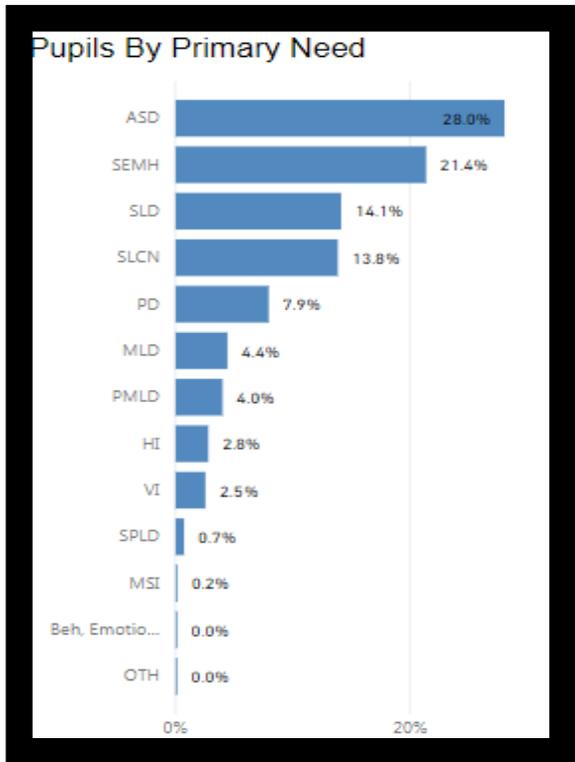
- The number of primary aged children with an EHCPs will rise by 5.47% each year
- The number of secondary aged children with and EHCP will rise by 10.49% each year

10. From this, our current projection is that we will require 120 additional specialist places for the 2021/22 academic year, with these places being delivered across both the special school sector and in resourced provisions. Our projection then shows that we will need to increase specialist places again for both the 2022/23 and the 2023/24 academic years by 100-120 places each year. This is a minimum number of places that should be developed in order to meet demand but also to control (not increase reliance) on more expensive out of authority, non-maintained special school and independent placements.
11. The Authority's intelligence data and JSNA continues to evidence that provision for SEMH across both primary and secondary, and ASD in the secondary sector, are key pressure points.
12. The Authority's immediate actions for the 2021/22 academic year in response to these projections are to:
  - Develop a number of SEMH and ASD resourced provisions in secondary sector. Discussions are already taking place with a number of maintained schools and academies within the Keighley / Shipley and South areas of the District with the aim of establishing new Local Authority-Led Resourced Provisions.
  - Develop further special school places for ASD and SEMH across the District.
  - Work with the Specialist Teaching Support Service in relation to meeting the needs of children and young people that require SEND Support.
  - Establish the High Needs Block planned budget on the basis of funding an additional 100 place a year over the next three years.

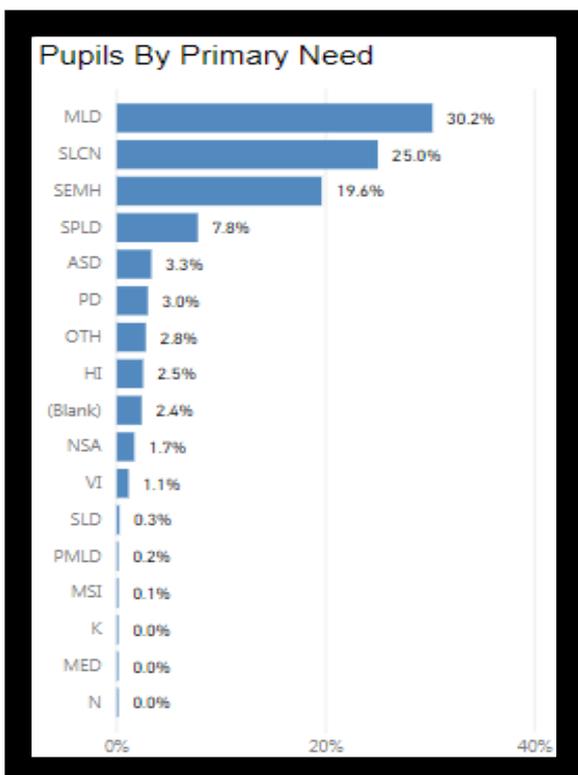
**Appendix**

The table below shows a breakdown of the EHCP cohort by primary need. There are currently 4,619 \* children with an EHCP. The highest primary need across all age ranges is ASD at 28% of all EHCPs.

**Pupils by National Curriculum Year:**



There are currently 14,014 \* children identified as needing SEND Support.



**The highest identified needs for SEND Support are:**

- Mild Learning Difficulty
- Speech, Language and Communication Needs
- Social Emotional and Mental Health Needs

\*Data taken from SEND Dashboard 26/11/20

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## SCHOOLS FORUM AGENDA ITEM

For Action

For Information



**Brief Description of Item** (including the purpose / reason for presenting this for consideration by the Forum)

This report provides Forum Members with updated indicative modelling of mainstream Primary & Secondary formula funding delegated budget shares for 2021/22, using the pupil numbers taken from the October 2020 Census. This modelling is tabled only for information at this stage, prior to asking Forum Members to make final recommendations on Schools Block funding on 13 January.

**Date(s) of any Previous Discussion at the Forum**

The consultation (and modelling) on Primary and Secondary formula funding arrangements for 2021/22 was discussed at the last Forum meeting.

**Background / Context**

The 2021/22 consultation document incorporated new financial year modelling:

- Using estimated October 2020 Census pupil numbers, adjusted for growth in newly establishing schools.
- Using October 2019 Census based data i.e. the data (such as FSM, EAL, IDACI) that was used to calculate 2020/21 allocations, but adjusted from IDACI 2014 to IDACI 2019.
- Continuing to directly mirror the DfE's National Funding Formula as this exists for 2021/22, including the uplifted mandatory per pupil minimums.
- Incorporating a positive 2.0% per pupil Minimum Funding Guarantee.
- On the basis that we continue to use our current methodologies for the funding of factors not yet included in the National Funding Formula, such as BSF and split sites.
- Which showed the overall 2021/22 indicative formula allocation for each school and academy after the transfer of the Teacher Pay Grant and the Teacher Pensions Grant into main core formula funding.

**Details of the Item for Consideration**

The modelling, shown in Appendices 1a, 1b and 1c, provides Forum Members with an updated view of primary and secondary mainstream school and academy indicative (estimated) core formula funding allocation totals for 2021/22 now using pupil numbers taken from the October 2020 Census.

The modelling is based on the same assumptions and proposals that were used to construct the modelling, which accompanied the consultation document. These assumptions are listed above. The only difference is the use of October 2020 Census numbers.

For clarity, these indicative allocations for individual schools and academies have not yet been updated for other data changes (e.g. Ever 6 FSM %, IDACI, EAL, etc.) as the October 2020 Census dataset will not be made available by the ESFA until later in December.

Please note that the allocations exclude early years, high needs and post 16 funding i.e. these just show Reception – Year 11 primary and secondary mainstream formula funding.

The main factors that may result in variances in core formula funding for individual schools and academies in 2021/22, not yet built into the modelling, are:

- Final decisions on the 5 areas for consideration as set out in the consultation document e.g. the value of the Minimum Funding Guarantee.
- Changes in individual setting data, such as IDACI, Ever 6 FSM, EAL, SEN low prior attainment, as recorded in / based on the October 2020 Census.

**Details of the Item for Consideration**

- The application of the weighting to be prescribed by the DfE for the secondary phase prior attainment factor.
- Pupil Premium allocations, which are expected to be based on January 2021 Census pupil numbers. PPG funding rates are also still to be announced.
- Growth Fund allocations for existing expanding schools, which will be based on final confirmed October 2020 census numbers and the final base £app values within our 2021/22 mainstream funding formula.
- Any allocations that may come the Falling Rolls Fund.

**Implications for the Dedicated Schools Grant (DSG) (if any)**

This is an item for information. The implications for the DSG are set out in separate reports to this meeting.

**Recommendations**

**Recommended –**

- (1) The Forum is asked to note the Primary and Secondary modelling information. A further update will be provided on 13 January, when Forum Members will be asked to make final recommendations on the values of funding allocated via each formula factor.**
- (2) Members are asked to consider whether sufficient information has been provided to enable final recommendations to be taken on 13 January on the matters presented in this report.**

**List of Supporting Appendices / Papers (where applicable)**

- Appendix 1a - Indicative Variances Analysis 2021/22 - Individual Primary School / Academy Modelling
- Appendix 1b - Indicative Variances Analysis 2021/22 - Individual Secondary School / Academy Modelling
- Appendix 1c - Indicative Variances Analysis 2021/22 - Individual All Through Schools Modelling

**Contact Officer (name, telephone number and email address)**

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Phase	School	2020/21 Actuals				2021/22 Estimated - see notes below				Variances				MFG MFG 2021/22 (included in figures to the left)	Core Formula Funding				2021/22 Funded No.s (October 2020)
		Formula Funding (excluding rates, PFI and Split Sites)	Teacher Pay & Pensions Grants	Growth Fund / Safeguarde d Salaries	Pupil Premium Grant (latest)	Total 2020/21 Actual	Formula Funding (excluding rates, PFI and Split Sites)	Growth Fund / Safeguarded Salaries	Pupil Premium	Total 2021/22 Estimated	Formula Funding (including MFG) and former TPG and TPENG	Growth Fund / Safeguarded Salaries	Pupil Premium		Total Variances	2020/21 £app	2021/22 £app	£app Variance	
PRIMARY	Addingham Primary School	853,876	39,933	34,187	7,000	934,997	886,160	34,187	7,000	927,347	-7,649	0	-7,649	0	4,026	4,180	154	-10	212
PRIMARY	All Saints' CE Primary School (Bradford)	2,688,376	110,446	0	310,350	3,109,172	2,914,727	0	314,385	3,229,112	115,905	4,035	119,940	0	4,558	4,664	105	11	625
PRIMARY	All Saints' CE Primary School (Ilkley)	1,275,000	61,159	13,335	25,520	1,375,014	1,400,300	2,915	25,520	1,428,735	64,141	-10,420	53,721	0	3,930	4,180	250	-5	335
RECOUPMENT ACADEMY	Beckfoot Allerton Primary Academy	1,729,199	73,931	0	134,155	1,937,285	1,836,836	0	132,810	1,969,646	33,706	-1,345	32,361	50,138	4,387	4,469	82	0	411
PRIMARY	Ashlands Primary School	1,485,000	71,232	0	56,110	1,612,342	1,500,620	0	52,075	1,552,695	-55,612	0	-59,647	0	3,930	4,180	250	-37	359
RECOUPMENT ACADEMY	Atlas School	924,593	33,638	0	68,250	1,026,481	961,287	0	66,905	1,028,192	3,056	-1,345	1,711	27,914	5,124	5,224	100	-3	184
PRIMARY	Baildon CE Primary School	1,563,750	75,010	0	41,625	1,680,385	1,751,420	0	41,625	1,793,045	112,660	0	112,660	0	3,930	4,180	250	2	419
PRIMARY	Bankfoot Primary School	1,108,014	43,711	0	86,045	1,237,770	1,046,547	0	76,630	1,123,177	-105,178	0	-114,593	34,595	4,740	4,890	151	-29	214
RECOUPMENT ACADEMY	Barkerend Primary Leadership Academy	2,085,239	83,284	10,990	214,855	2,394,368	2,329,296	0	226,960	2,556,256	160,772	-10,990	161,887	0	4,684	4,813	129	21	484
PRIMARY	Ben Rhydding Primary School	786,608	36,696	2,048	11,415	836,766	785,840	2,048	11,415	799,303	-37,463	0	-37,463	0	4,036	4,180	144	-16	188
PRIMARY	Blakehill Primary School	1,593,750	76,449	5,500	103,735	1,779,434	1,751,420	5,500	103,735	1,860,655	81,221	0	81,221	0	3,930	4,180	250	-6	419
PRIMARY	Bowling Park Primary School	2,840,301	112,965	0	317,040	3,270,305	2,947,503	0	315,695	3,263,198	-5,762	0	-7,107	0	4,703	4,800	98	-14	614
PRIMARY	Brackenhill Primary School	1,810,856	73,031	0	149,295	2,033,182	1,897,024	0	147,950	2,044,974	13,137	0	11,792	20,206	4,640	4,731	91	-5	401
PRIMARY	Burley & Woodhead CE Primary School	801,997	37,595	0	11,690	851,282	848,540	0	11,690	860,230	8,948	0	8,948	0	4,017	4,180	163	-6	203
PRIMARY	Burley Oaks Primary School	1,575,000	75,550	0	24,520	1,675,070	1,717,980	0	24,520	1,742,500	67,430	0	67,430	0	3,930	4,180	250	-9	411
RECOUPMENT ACADEMY	Byron Primary Academy	2,674,423	111,526	0	221,925	3,007,874	2,808,585	0	219,235	3,027,820	22,636	0	19,946	25,736	4,493	4,582	88	-7	613
PRIMARY	Carrwood Primary School	1,701,718	62,369	0	254,100	2,018,187	1,730,255	0	240,650	1,970,905	-33,832	0	-47,282	109,573	5,395	5,510	116	-13	314
PRIMARY	Cavendish Primary School	1,835,228	75,550	2,949	278,725	2,192,452	1,956,696	2,949	277,380	2,237,025	45,918	0	44,573	0	4,549	4,738	188	-7	413
RECOUPMENT ACADEMY	Christ Church Primary Academy	929,619	33,278	0	123,740	1,086,637	970,480	0	121,050	1,091,530	7,583	0	4,893	56,413	5,205	5,303	98	-2	183
RECOUPMENT ACADEMY	Clayton St John's CE Primary Academy	1,607,917	74,111	0	171,400	1,853,427	1,674,890	0	163,330	1,838,220	-7,137	0	-15,207	0	4,083	4,219	136	-15	397
PRIMARY	Clayton Village Primary School	962,778	37,775	0	59,180	1,059,733	1,039,645	0	60,525	1,100,170	39,092	0	40,437	79,502	4,765	4,836	71	5	215
RECOUPMENT ACADEMY	Copthorne Primary Academy	1,869,358	76,809	0	125,740	2,071,907	1,987,070	0	123,050	2,110,120	40,903	0	38,213	0	4,558	4,731	173	-7	420
PRIMARY	Cottingley Village Primary School	1,578,570	75,370	0	111,220	1,765,160	1,734,700	0	109,875	1,844,575	80,760	0	79,415	0	3,947	4,180	233	-4	415
PRIMARY	Crossflatts Primary School	1,582,500	75,909	0	76,905	1,735,314	1,772,320	0	76,905	1,849,225	113,911	0	113,911	0	3,930	4,180	250	2	424
RECOUPMENT ACADEMY	Crossley Hall Primary School	2,569,776	108,468	0	235,375	2,913,619	2,695,826	0	234,030	2,929,856	17,582	0	16,237	0	4,442	4,546	105	-10	593
RECOUPMENT ACADEMY	Cullingworth Village Primary Academy	1,160,751	54,144	31,490	68,835	1,315,220	1,316,700	13,335	71,525	1,401,560	101,805	-18,155	86,340	0	4,036	4,180	144	14	315
RECOUPMENT ACADEMY	Denholme Primary Academy	887,544	37,012	0	81,940	1,006,497	956,826	0	81,940	1,038,766	32,270	0	32,270	32,639	4,532	4,600	68	4	208
RECOUPMENT ACADEMY	Dixons Marchbank Academy	1,929,071	75,010	0	119,015	2,123,096	2,051,034	0	117,670	2,168,704	46,953	0	45,608	190,678	4,806	4,895	89	2	419
RECOUPMENT FREE SCH	Dixons Music Primary	1,717,477	81,334	46,873	98,150	1,943,834	1,828,339	0	98,150	1,926,489	29,528	-46,873	-17,345	81,099	4,293	4,374	81	-1	418
RECOUPMENT ACADEMY	East Morton CE Primary Academy	821,728	37,955	0	21,830	881,513	877,800	0	21,830	899,630	18,117	0	18,117	0	4,074	4,180	106	-1	210
PRIMARY	Eastburn Junior and Infant School	807,958	36,516	0	24,210	868,683	873,611	0	24,210	897,821	29,138	0	29,138	8,351	4,160	4,220	60	4	207
RECOUPMENT ACADEMY	Eastwood Primary Academy	1,762,589	71,952	0	158,710	1,993,251	1,864,498	0	158,710	2,023,208	29,957	0	29,957	12,005	4,586	4,673	87	-1	399
PRIMARY	Eldwick Primary School	1,890,000	90,660	21,336	60,765	2,062,760	2,173,600	0	62,110	2,235,710	192,940	-21,336	172,950	0	3,930	4,180	250	16	520
PRIMARY	Fagley Primary School	1,086,500	38,854	0	134,810	1,260,164	1,116,958	0	130,775	1,247,733	-8,396	0	-12,431	18,130	5,210	5,319	109	-6	210
PRIMARY	Farfield Primary	1,862,597	72,672	0	269,585	2,204,854	1,964,481	0	270,930	2,235,411	29,212	0	30,557	0	4,790	4,887	96	-2	402
RECOUPMENT ACADEMY	Farnham Primary Academy	1,840,661	74,830	0	135,845	2,051,336	1,992,781	0	137,190	2,129,971	77,290	0	78,635	0	4,605	4,722	118	6	422
RECOUPMENT ACADEMY	Fearnville Primary Academy	1,757,846	68,011	0	239,720	2,065,577	1,768,324	0	227,615	1,995,939	-57,534	0	-69,639	58,767	5,058	5,171	113	-19	342
RECOUPMENT ACADEMY	Feversham Primary Academy	1,809,225	72,132	0	150,260	2,031,616	1,885,227	0	148,915	2,034,142	3,870	0	2,525	46,052	4,692	4,785	93	-7	394
PRIMARY	Foxhill Primary School	834,649	37,595	0	47,350	919,594	876,287	0	47,350	923,637	4,043	0	4,043	15,207	4,173	4,254	80	-3	206
PRIMARY	Frizinghall Primary School	1,645,769	69,434	0	151,985	1,867,187	1,748,184	0	150,640	1,898,824	32,982	0	31,637	0	4,444	4,541	97	-1	385
PRIMARY	Girlington Primary School	1,841,681	75,550	0	168,435	2,085,665	1,952,087	0	168,435	2,120,522	34,857	0	34,857	0	4,565	4,659	94	-1	419
PRIMARY	Glenaire Primary School	849,553	32,019	0	113,600	995,171	896,847	0	114,945	1,011,792	15,275	0	16,620	42,613	4,953	5,038	86	0	178
RECOUPMENT ACADEMY	Green Lane Primary School	2,585,174	106,776	0	213,855	2,905,805	2,604,266	0	201,750	2,806,016	-87,684	0	-99,789	116,025	4,756	4,859	103	-30	536
RECOUPMENT ACADEMY	Greengates Primary School	915,685	37,235	0	77,320	1,030,240	949,048	0	75,975	1,025,023	-3,873	0	-5,218	29,178	4,603	4,698	95	-5	202
RECOUPMENT ACADEMY	Grove House Primary School	1,634,880	73,391	0	151,605	1,859,876	1,677,130	0	144,880	1,822,010	-13,141	0	-37,866	0	4,187	4,390	203	-26	382
RECOUPMENT ACADEMY	Harden Primary Academy	827,914	37,775	0	37,245	902,933	887,911	0	37,245	925,156	22,222	0	22,222	1,751	4,122	4,188	66	2	212
RECOUPMENT ACADEMY	Haworth Primary Academy	1,142,323	53,065	27,409	65,215	1,288,012	1,279,080	12,382	67,905	1,359,367	83,692	-15,027	71,355	0	4,052	4,180	128	11	306
RECOUPMENT ACADEMY	Beckfoot Heaton Primary Academy	2,709,558	113,864	0	290,485	3,113,907	2,930,152	0	293,175	3,223,327	106,730	0	109,420	0	4,460	4,600	140	4	637
PRIMARY	Heaton St Barnabas' CE Primary School	1,706,327	74,163	0	92,425	1,872,915	1,822,139	0	93,770	1,915,909	41,649	0	42,994	125,059	4,407	4,488	81	2	406
RECOUPMENT ACADEMY	High Crags Primary Leadership Academy	1,556,516	62,778	0	230,890	1,850,184	1,605,441	0	224,165	1,829,606	-13,853	0	-20,578	35,028	4,640	4,736	96	-10	339
PRIMARY	Hill Top CE Primary School	886,744	37,595	0	69,560	993,899	940,470	0	69,560	1,010,030	16,130	0	16,130	17,127	4,423	4,500	77	0	209
RECOUPMENT ACADEMY	Hollingwood Primary Academy	1,708,315	74,111	0	129,120	1,911,545	1,862,324	0	127,775	1,990,099	79,899	0	78,55						

		2020/21 Actuals					2021/22 Estimated - see notes below					Variances				MFG	Core Formula Funding					2021/22
Phase	School	Formula Funding (excluding rates, PFI and Split Sites)		Growth Fund / d Salaries	Pupil Premium Grant (latest)	Total 2020/21 Actual	Formula Funding (excluding rates, PFI and Split Sites)		Growth Fund / Safeguarded	Pupil Premium	Total 2021/22 Estimated	Formula Funding (including MFG and former TPNG)		Growth Fund / Safeguarded	Pupil Premium	Total Variances	MFG 2021/22 (included in figures to the left)	2020/21 £app	2021/22 £app	£app Variance	Pupil Number Difference	2021/22 No.s (October 2020)
		Teacher Pay & Pensions Grants	Teacher Pay & Pensions Grants				Formula Funding (including MFG and former TPNG)	Formula Funding (including MFG and former TPNG)														
PRIMARY	Long Lee Primary School	1,535,284	69,434	0	127,290	1,732,008	1,634,456	0	125,945	1,760,401	29,738	0	0	-1,345	28,393	12,030	4,157	4,234	77	0	386	
PRIMARY	Low Ash Primary School	1,696,338	79,687	0	114,530	1,890,555	1,855,920	13,716	114,530	1,984,166	79,895	13,716	0	0	93,611	0	4,009	4,180	171	1	444	
PRIMARY	Low Moor CE Primary School	1,590,842	75,190	0	113,945	1,779,977	1,743,060	0	113,945	1,857,005	77,028	0	0	0	77,028	0	3,986	4,180	194	-1	417	
RECOUPMENT ACADEMY	Lower Fields Primary School	1,879,908	73,751	0	223,890	2,177,548	1,931,001	0	215,820	2,146,821	-22,657	0	0	-8,070	-30,727	73,446	4,765	4,864	99	-13	397	
RECOUPMENT ACADEMY	Margaret McMillan Primary School	2,429,567	103,971	0	200,060	2,733,598	2,594,641	0	197,370	2,792,011	61,103	0	0	-2,690	58,413	16,890	4,383	4,466	83	3	581	
RECOUPMENT ACADEMY	Marshfield Primary School	1,762,466	74,830	0	94,150	1,931,446	1,833,742	0	92,805	1,926,547	-3,555	0	0	-1,345	-4,900	11,182	4,417	4,506	89	-9	407	
PRIMARY	Menston Primary School	1,582,500	75,909	39,789	27,175	1,725,373	1,776,500	14,356	27,175	1,818,031	118,091	-25,433	0	0	92,658	0	3,930	4,180	250	3	425	
RECOUPMENT ACADEMY	Merlin Top Primary Academy	1,446,165	52,885	0	234,030	1,733,080	1,517,091	0	234,030	1,751,121	18,041	0	0	0	18,041	39,963	5,099	5,196	97	-2	292	
PRIMARY	Miriam Lord Community Primary School	1,516,086	61,159	0	118,015	1,695,260	1,589,050	0	115,325	1,704,375	11,804	0	0	-2,690	9,114	0	4,639	4,743	104	-5	335	
PRIMARY	Myrtle Park Primary School	839,053	38,314	0	30,520	907,888	867,098	0	30,520	897,618	-10,270	0	0	0	-10,270	6,018	4,119	4,209	90	-7	206	
RECOUPMENT ACADEMY	Beckfoot Nessfield Primary Academy	1,379,994	61,699	0	104,875	1,546,568	1,405,180	0	100,840	1,506,020	-36,513	0	0	-4,035	-40,548	15,576	4,203	4,297	94	-16	327	
PRIMARY	Newby Primary School	1,825,424	77,780	0	149,295	2,052,498	1,938,911	0	149,295	2,088,206	35,708	0	0	0	35,708	5,322	4,457	4,541	84	0	427	
PRIMARY	Newhall Park Primary School	1,699,964	72,492	0	171,090	1,943,545	1,847,427	0	173,780	2,021,207	74,972	0	2,690	0	77,662	19,147	4,398	4,473	75	10	413	
RECOUPMENT ACADEMY	Oakworth Primary Academy	1,530,000	73,391	0	59,455	1,662,846	1,730,520	0	59,455	1,789,975	127,129	0	0	0	127,129	0	3,930	4,180	250	6	414	
RECOUPMENT ACADEMY	Oldfield Primary School	373,863	17,988	0	15,795	407,646	377,366	0	14,450	391,816	-14,485	0	0	-1,345	-15,830	62,652	6,997	7,257	260	-4	52	
RECOUPMENT ACADEMY	Our Lady & St Brendan's Catholic Primary School	833,994	32,558	0	61,870	928,422	928,167	0	64,560	992,727	61,615	0	2,690	0	64,305	0	4,788	4,963	176	6	187	
RECOUPMENT ACADEMY	Our Lady of Victories Catholic Primary Academy	972,621	37,955	0	54,765	1,065,240	1,032,644	0	54,765	1,087,409	22,168	0	0	0	22,168	3,669	4,789	4,871	82	1	212	
RECOUPMENT ACADEMY	Oxenhope CE Primary Academy	728,232	32,558	0	37,935	798,725	842,494	0	39,280	881,774	81,704	0	1,345	0	83,049	6,494	4,203	4,212	9	19	200	
RECOUPMENT ACADEMY	The Co-op Academy Parkland	1,093,392	38,314	5,334	160,260	1,297,300	1,181,115	3,810	165,640	1,350,565	49,409	-1,524	5,380	0	53,265	53,984	5,313	5,393	80	6	219	
RECOUPMENT ACADEMY	Parkwood Primary School	1,053,301	36,786	0	111,635	1,201,722	1,104,420	0	111,635	1,216,055	14,333	0	0	0	14,333	137,859	5,619	5,722	103	-1	193	
PRIMARY	Peel Park Primary School	2,662,372	108,648	2,048	239,410	3,012,477	2,671,744	0	228,650	2,900,394	-99,275	-2,048	-10,760	0	-112,083	103,816	4,588	4,687	99	-34	570	
PRIMARY	Poplars Farm Primary School	1,117,185	46,949	22,669	85,390	1,272,193	1,279,108	26,237	89,425	1,394,770	114,974	3,568	0	4,035	122,578	10,691	4,460	4,504	44	23	284	
RECOUPMENT ACADEMY	Beckfoot Priestthorpe Primary School	852,825	38,196	0	69,595	960,616	871,346	0	66,905	938,251	-19,675	0	0	-2,690	-22,365	26,516	4,411	4,515	104	-9	193	
RECOUPMENT ACADEMY	The Co-op Academy Princeville	2,097,258	85,983	0	209,820	2,393,061	2,184,883	0	200,405	2,385,288	1,642	0	0	-9,415	-7,773	15,399	4,567	4,659	91	-9	469	
RECOUPMENT FREE SCH	Reebow Primary Free School	1,730,403	65,476	0	151,985	1,947,865	1,678,969	0	146,605	1,825,574	-116,911	0	0	-5,380	-122,291	98,506	4,934	5,057	123	-32	332	
RECOUPMENT ACADEMY	Reevy Hill Primary School	1,034,548	35,976	0	169,470	1,239,994	1,089,578	0	168,125	1,257,703	19,054	0	0	-1,345	17,709	27,925	5,353	5,448	95	0	200	
PRIMARY	Riddlesden St Mary's CE Primary	1,540,518	66,376	0	139,535	1,746,429	1,595,514	0	135,500	1,731,014	-11,380	0	0	-4,035	-15,415	1,633	4,355	4,444	90	-10	359	
PRIMARY	Russell Hall Primary School	884,684	37,775	0	74,905	997,364	926,827	0	74,905	1,001,732	4,368	0	0	0	4,368	29,875	4,393	4,477	85	-3	207	
RECOUPMENT ACADEMY	Ryecroft Primary Academy	1,376,282	46,589	0	200,715	1,623,586	1,289,645	0	179,195	1,468,840	-133,226	0	0	-21,520	-154,746	88,108	5,494	5,656	163	-31	228	
PRIMARY	Saltaire Primary School	1,567,500	75,190	0	96,045	1,738,735	1,743,060	0	96,045	1,839,105	100,370	0	0	0	100,370	0	3,930	4,180	250	-1	417	
PRIMARY	Sandal Primary School and Nursery	1,518,750	72,851	0	65,040	1,656,641	1,705,440	0	65,040	1,770,480	113,839	0	0	0	113,839	0	3,930	4,180	250	3	408	
PRIMARY	Sandy Lane Primary School	1,261,282	54,863	0	105,220	1,421,366	1,368,165	0	107,910	1,476,075	52,019	0	2,690	0	54,709	9,379	4,315	4,385	70	7	312	
RECOUPMENT ACADEMY	Shibden Head Primary Academy	1,548,750	74,290	0	79,905	1,702,945	1,701,260	0	79,905	1,781,165	78,220	0	0	0	78,220	0	3,930	4,180	250	-6	407	
RECOUPMENT ACADEMY	Shipley CE Primary Academy	855,751	34,717	0	73,975	964,443	889,587	0	72,630	962,217	-881	0	0	-1,345	-2,226	31,277	4,614	4,707	93	-4	189	
RECOUPMENT ACADEMY	Shirley Manor Primary Academy	935,683	34,127	0	135,465	1,105,275	1,051,911	0	142,190	1,194,101	82,101	0	6,725	0	88,826	33,355	5,186	5,233	47	14	201	
PRIMARY	Silsden Primary School	2,205,000	105,769	35,256	137,325	2,483,350	2,562,340	3,252	141,360	2,706,952	251,571	-32,004	4,035	0	223,602	0	3,930	4,180	250	25	613	
RECOUPMENT ACADEMY	Southmere Primary Academy	1,722,817	62,418	0	227,305	2,012,541	1,647,037	0	201,750	1,848,787	-138,199	0	0	-25,555	-163,754	1,514	5,145	5,279	134	-35	312	
RECOUPMENT ACADEMY	Dixons Manningham Primary Academy	1,705,384	67,635	0	169,470	1,942,489	1,788,424	0	162,745	1,951,169	15,406	0	0	-6,725	8,681	0	4,715	4,873	158	-9	367	
RECOUPMENT ACADEMY	St Anne's Catholic Primary Academy	936,684	38,135	0	40,005	1,014,823	991,180	0	38,660	1,029,840	16,362	0	0	-1,345	15,017	0	4,598	4,765	167	-4	208	
RECOUPMENT ACADEMY	St Anthony's Catholic Primary School (Clayton)	918,453	38,314	0	71,905	1,028,672	945,423	0	70,560	1,015,983	-11,344	0	0	-1,345	-12,689	10,990	4,492	4,589	98	-7	206	
RECOUPMENT ACADEMY	St Anthony's Catholic Primary School (Shipley)	620,273	22,845	0	24,865	667,983	628,311	0	23,520	651,831	-14,808	0	0	-1,345	-16,153	40,220	5,064	5,193	129	-6	121	
PRIMARY	St Clare's Catholic Primary School	1,023,976	38,314	0	111,635	1,173,926	1,063,089	0	108,945	1,172,034	798	0	0	-2,690	-1,892	14,071	4,987	5,087	99	-4	209	
PRIMARY	St Columba's Catholic Primary School	1,509,640	60,080	0	142,570	1,712,290	1,567,721	0	138,535	1,706,256	-2,000	0	0	-4,035	-6,035	557	4,700	4,794	94	-7	327	
RECOUPMENT ACADEMY	St Cuthbert & the First Martyrs' Catholic Primary	914,488	37,775	0	36,935	989,198	961,269	0	36,935	998,204	9,006	0	0	0	9,006	0	4,535	4,621	87	-2	208	
RECOUPMENT ACADEMY	St Francis' Catholic Primary School	881,270	37,955	0	35,625	954,850	919,756	0	35,625	955,381	532	0	0	0	532	24,823	4,357	4,443	87	-4	207	
RECOUPMENT ACADEMY	St James Primary Academy	1,373,840	51,086	0	168,125	1,593,051	1,357,178	0	156,020	1,513,198	-67,749	0	0	-12,105	-79,854	52,105	5,017	5,141	123	-20	264	
RECOUPMENT ACADEMY	St John The Evangelist Catholic Primary	869,103	37,235	0	40,970	947,308	929,955	0	40,970	970,925	23,617	0	0	0	23,617	0	4,378	4,514	136	-1	206	
RECOUPMENT ACADEMY	St John's CE Primary School	1,774,423	75,370	0	156,985	2,006,778	1,884,432	0	155,640	2,040,072	34,639	0	0	-1,345	33,294	38,411	4,415	4,497	83	0	419	
RECOUPMENT ACADEMY	St Joseph's Catholic Primary School (Bingley)	822,854	37,415	0	23,175	883,444	8															

		2020/21 Actuals				2021/22 Estimated - see notes below				Variances				MFG	Core Formula Funding					
Phase	School	Formula Funding (excluding rates, PFI and Split Sites)	Teacher Pay & Pensions Grants	Growth Fund / Safeguarded Salaries	Pupil Premium Grant (latest)	Total 2020/21 Actual	Formula Funding (excluding rates, PFI and Split Sites)	Growth Fund / Safeguarded Salaries	Pupil Premium	Total 2021/22 Estimated	Formula Funding (including MFG) and former TPG and TPENG	Growth Fund / Safeguarded Salaries	Pupil Premium	Total Variances	MFG 2021/22 (included in figures to the left)	2020/21 £app	2021/22 £app	£app Variance	Pupil Number Difference	2021/22 Funded No.s (October 2020)
RECOUPMENT ACADEMY	Westbourne Primary School	1,676,700	85,653	0	157,365	1,919,717	1,772,033	0	154,675	1,926,708	9,680	0	-2,690	6,990	0	4,662	4,802	140	-9	369
RECOUPMENT ACADEMY	Westminster CE Primary Academy	2,462,177	98,934	0	278,415	2,839,526	2,437,792	0	263,620	2,701,412	-123,320	0	-14,795	-138,115	25,495	4,657	4,761	105	-38	512
RECOUPMENT ACADEMY	Whetley Primary Academy	2,124,735	84,184	0	186,955	2,395,874	2,259,856	0	176,195	2,436,051	50,937	0	-10,760	40,177	27,424	4,720	4,808	88	2	470
PRIMARY	Wibsey Primary School	2,425,340	111,166	0	265,585	2,802,091	2,636,346	0	266,930	2,903,276	99,839	0	1,345	101,184	0	4,104	4,238	134	4	622
RECOUPMENT ACADEMY	Wilsden Primary School	1,263,750	60,620	0	60,420	1,384,790	1,320,880	0	57,730	1,378,610	-3,490	0	-2,690	-6,180	0	3,930	4,180	250	-21	316
RECOUPMENT ACADEMY	Woodlands Primary Academy	524,694	19,427	0	32,245	576,366	544,595	0	32,245	576,840	474	0	0	474	47,770	5,038	5,138	100	-2	106
RECOUPMENT ACADEMY	Woodside Academy	1,813,142	71,053	0	267,965	2,152,160	1,951,451	0	267,965	2,219,416	67,256	0	0	67,256	41,248	4,770	4,854	84	7	402
RECOUPMENT ACADEMY	Worth Valley Primary Academy	924,108	33,458	0	126,430	1,083,995	1,048,041	0	133,155	1,181,196	90,476	0	6,725	97,201	43,817	5,148	5,188	40	16	202
PRIMARY	Worthinghead Primary School	928,812	37,710	0	79,010	1,045,532	1,000,064	0	81,700	1,081,764	33,542	0	2,690	36,232	37,203	4,625	4,695	71	4	213
RECOUPMENT ACADEMY	Wycliffe CE Primary Academy	1,295,633	58,641	41,812	95,460	1,491,545	1,409,952	56,350	95,460	1,561,762	55,678	14,538	0	70,216	13,832	4,154	4,221	67	8	334
<b>PRIMARY TOTALS</b>		<b>228,429,271</b>	<b>9,616,608</b>	<b>520,000</b>	<b>19,610,243</b>	<b>258,176,121</b>	<b>242,137,789</b>	<b>297,081</b>	<b>19,351,330</b>	<b>261,786,199</b>	<b>4,091,910</b>	<b>-222,919</b>	<b>-258,913</b>	<b>3,610,078</b>	<b>3,685,630</b>	<b>4,481</b>	<b>4,605</b>	<b>125</b>	<b>-548</b>	<b>52,578</b>

**Notes**

This analysis excludes Early Years, High Needs and Post 16 funding (pre-16 mainstream DSG funding only). It updates the modelling used in the consultation.  
 2020/21 Actuals are based on figures included in the Section 251 Budget Statements, except for the Pupil Premium which uses the figures updated in July / December by the DfE  
 2021/22 figures are based on estimates of the October 2020 Census numbers but still using October 2019 Census-based data  
 Pupil Premium allocations ESTIMATES for 2021/22 are based on £1,320 (p) and £935 (s) per eligible Ever 6 FSM pupil, £300 per eligible service child, and £2,300 per eligible Adopted from Care pupil. Rates of PPG have still to be announced.  
 Pupil Premium allocations do not include any funding allocated throughout the year for children who are Looked After

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		2020/21 Actuals				2021/22 Estimated - see notes below				Variances				MFG	Core Formula Funding				2021/22	
Phase	School	Formula Funding (excluding rates, PFI and Split Sites)	Teacher Pay & Pensions Grants	Growth Fund (Apr 20-Aug 20) / Safeguarded Salaries	Pupil Premium Grant (latest)	Total 2020/21 Actual	Formula Funding (excluding rates, PFI and Split Sites)	Growth Fund (Apr 21-Aug 21) / Safeguarded Salaries	Pupil Premium	Total 2021/22 Estimated	Formula Funding (including MFG and TPENG former TPG)	Growth Fund / Safeguarded Salaries	Pupil Premium	Total Variances	MFG 2021/22 (included in figures to the left)	2020/21 £app	2021/22 £app	£app Variance	Pupil Number Difference	(October 2020 + new estab)
RECOUPMENT ACADEMY	Beckfoot Academy	6,925,000	366,720	0	264,410	7,556,130	7,380,645	0	261,545	7,642,190	88,925	0	-2,865	86,060	0	5,265	5,415	150	-22	1,363
RECOUPMENT ACADEMY	Beckfoot Upper Heaton Academy	3,813,427	157,015	427,000	227,290	4,624,732	4,524,622	430,000	254,985	5,209,607	554,180	3,000	27,695	584,875	332,445	6,696	6,804	108	72	665
RECOUPMENT ACADEMY	Belle Vue Girls' Academy	5,366,682	251,276	14,167	321,835	5,953,959	5,649,331	0	314,195	5,963,526	31,373	-14,167	-7,640	9,567	0	5,920	6,101	181	-23	926
SECONDARY	Bingley Grammar School	7,610,000	402,995	2,048	293,750	8,308,793	8,344,515	0	296,615	8,641,130	331,520	-2,048	2,865	332,337	0	5,265	5,415	150	19	1,541
RECOUPMENT ACADEMY	Buttershaw Business & Enterprise College Academy	7,904,115	367,515	74,697	622,730	8,969,056	8,641,776	18,754	634,190	9,294,720	370,147	-55,943	11,460	325,664	0	5,959	6,168	209	13	1,401
RECOUPMENT ACADEMY	Carlton Bolling College	8,704,824	392,591	146,817	622,635	9,866,867	9,816,511	132,290	657,015	10,605,817	719,097	-14,527	34,380	738,950	41,450	6,159	6,277	117	87	1,564
RECOUPMENT ACADEMY	Dixons City Academy	4,560,679	243,829	0	231,980	5,036,488	5,076,819	30,810	236,755	5,344,384	272,311	30,810	4,775	307,896	0	5,672	5,835	163	23	870
RECOUPMENT FREE SCH	Dixons McMillan Academy	3,274,042	167,250	0	181,450	3,622,742	3,612,067	25,451	187,180	3,824,699	170,775	25,451	5,730	201,957	12,235	5,883	5,990	108	18	603
RECOUPMENT FREE SCH	Dixons Trinity Academy	3,211,713	157,776	0	186,585	3,556,075	3,554,611	28,131	192,315	3,775,056	185,121	28,131	5,730	218,981	20,344	5,809	5,914	105	21	601
RECOUPMENT ACADEMY	Bradford Forster Academy	6,107,462	252,335	0	488,388	6,848,185	6,577,485	0	519,425	7,096,910	719,097	0	31,038	248,725	0	6,381	6,506	125	14	1,011
RECOUPMENT ACADEMY	Feversham College	3,757,237	169,724	0	198,120	4,125,081	3,942,530	0	194,300	4,136,830	15,569	0	-3,820	11,749	133,558	6,126	6,248	122	-10	631
RECOUPMENT ACADEMY	Co-op Academy Grange	8,927,262	400,877	0	658,473	9,986,611	9,580,818	0	663,725	10,244,543	252,679	0	5,253	257,932	0	6,161	6,366	205	-9	1,505
SECONDARY	Hanson School	7,827,598	367,515	0	594,755	8,789,867	8,508,335	0	594,755	9,103,090	313,222	0	0	313,222	0	5,904	6,139	235	-2	1,386
RECOUPMENT ACADEMY	Ilkley Grammar School	7,085,000	375,193	87,575	133,265	7,681,034	8,052,105	106,440	138,040	8,296,585	591,912	18,865	4,775	615,552	0	5,265	5,415	150	70	1,487
RECOUPMENT ACADEMY	Immanuel College Academy	6,699,136	339,713	77,272	342,835	7,458,956	7,502,953	53,582	355,250	7,911,785	464,105	-23,690	12,415	452,829	0	5,486	5,671	185	40	1,323
RECOUPMENT FREE SCH	Dixons Kings Academy	4,635,631	221,356	0	282,203	5,139,190	4,981,822	0	277,905	5,259,727	124,835	0	-4,298	120,538	0	5,810	5,988	178	-4	832
RECOUPMENT ACADEMY	Laisterdyke Leadership Academy	5,243,267	227,711	0	358,083	5,829,060	5,524,803	0	349,965	5,874,768	53,826	0	-8,118	45,708	0	6,362	6,577	216	-20	840
RECOUPMENT ACADEMY	Bradford Oakbank Academy	7,800,149	373,075	0	499,875	8,673,099	8,371,569	0	494,145	8,865,714	198,346	0	-5,730	192,616	0	5,801	5,993	192	-12	1,397
RECOUPMENT ACADEMY	Oasis Academy Lister Park	4,860,295	216,060	10,303	354,305	5,440,964	5,254,991	0	360,035	5,615,026	178,636	-10,303	5,730	174,063	0	6,221	6,424	203	2	818
RECOUPMENT FREE SCH	One In A Million (Free School)	2,326,277	99,557	0	167,040	2,592,874	2,476,371	0	167,995	2,644,366	50,536	0	955	51,491	0	6,452	6,693	241	-6	370
SECONDARY	Parkside School	4,882,265	255,777	0	209,865	5,347,908	5,488,076	0	218,460	5,706,536	350,034	0	8,595	358,629	0	5,319	5,472	153	37	1,003
RECOUPMENT ACADEMY	Queensbury Academy	4,963,820	241,215	0	311,473	5,516,507	5,222,237	0	303,355	5,525,592	17,203	0	-8,118	9,085	0	5,714	5,921	207	-29	882
RECOUPMENT ACADEMY	Dixons Cottingley Academy	4,198,055	186,405	0	271,233	4,655,693	4,877,791	0	292,720	5,170,511	493,330	0	21,488	514,818	150,310	6,228	6,335	107	66	770
SECONDARY	St Bede's & St Joseph's Catholic College	7,743,330	386,314	0	403,445	8,533,089	8,423,322	0	402,490	8,825,812	293,678	0	-955	292,723	0	5,572	5,754	182	5	1,464
SECONDARY	The Holy Family Catholic School	4,467,560	210,500	0	227,290	4,905,350	4,739,069	0	225,380	4,964,449	61,008	0	-1,910	59,098	0	5,884	6,060	176	-13	782
RECOUPMENT ACADEMY	Beckfoot Thornton Academy	7,206,976	339,978	0	490,095	8,037,048	7,795,917	0	492,005	8,287,922	248,964	0	1,910	250,874	0	5,878	6,067	189	1	1,285
SECONDARY	Titus Salt School	6,484,454	324,356	1,024	344,935	7,154,768	7,101,082	1,024	348,755	7,450,860	292,272	0	3,820	296,092	0	5,558	5,717	159	17	1,242
RECOUPMENT ACADEMY	Tong Leadership Academy	5,215,249	226,652	0	448,490	5,890,390	5,568,257	0	437,030	6,005,287	126,357	0	-11,460	114,897	0	6,357	6,613	256	-14	842
RECOUPMENT ACADEMY	University Academy Keighley	3,593,546	153,837	0	228,245	3,975,628	4,164,064	0	245,435	4,409,499	416,681	0	17,190	433,871	184,961	6,450	6,558	108	54	635
RECOUPMENT FREE SCH	Bronte Girls' Academy	1,134,443	33,098	0	45,840	1,213,381	2,097,738	0	99,320	2,197,058	930,198	0	53,480	983,678	43,612	5,987	6,098	111	149	344
RECOUPMENT FREE SCH	Eden Boys Leadership Academy	1,129,613	35,663	0	30,560	1,195,836	1,898,774	0	62,075	1,960,849	733,498	0	31,515	765,013	131,833	6,231	6,125	-106	123	310
<b>SECONDARY TOTALS</b>		<b>167,659,105</b>	<b>7,943,878</b>	<b>840,903</b>	<b>10,041,475</b>	<b>186,485,360</b>	<b>184,751,007</b>	<b>826,481</b>	<b>10,277,360</b>	<b>195,854,849</b>	<b>9,148,024</b>	<b>-14,421</b>	<b>235,885</b>	<b>9,369,488</b>	<b>1,050,748</b>	<b>5,848</b>	<b>6,019</b>	<b>171</b>	<b>667</b>	<b>30,693</b>

Notes

This analysis excludes Early Years, High Needs and Post 16 funding (pre-16 mainstream DSG funding only). It updates the modelling used in the consultation.  
 2020/21 Actuals are based on figures included in the Section 251 Budget Statements, except for the Pupil Premium which uses the figures updated in July / December by the DfE  
 2021/22 figures are based on estimates of the October 2020 Census numbers but still using October 2019 Census-based data  
 Pupil Premium allocations ESTIMATES for 2021/22 are based on £1,320 (p) and £935 (s) per eligible Ever 6 FSM pupil, £300 per eligible service child, and £2,300 per eligible Adopted from Care pupil. Rates of PPG have still to be announced.  
 Pupil Premium allocations do not include any funding allocated throughout the year for children who are Looked After

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		2020/21 Actuals				2021/22 Estimated - see notes below				Variances				MFG	Formula Funding & Cont (Inc. MFG & Ceiling)					
Phase	School	Formula Funding (excluding rates, PFI and Split Sites)	Teacher Pay & Pensions Grants	Growth Fund (Apr 20-Aug 20) / Safeguarded Salaries	Pupil Premium Grant (latest)	Total 2020/21 Actual	Formula Funding (excluding rates, PFI and Split Sites)	Growth Fund (Apr 21-Aug 21) / Safeguarded Salaries	Pupil Premium	Total 2021/22 Estimated	Formula Funding (including MFG) and former TPG and TPENG	Growth Fund / Safeguarded Salaries	Pupil Premium	Total Variances	MFG 2021/22 (included in figures to the left)	2020/21 £app	2021/22 £app	£app Variance	Pupil Number Difference	2021/22 Funded No.s (October 2020)
RECOUPMENT ACADEMY	Appleton Academy	6,458,676	298,189	15,454	619,955	7,392,275	7,024,358	16,726	620,480	7,661,565	267,493	1,272	525	269,290	0	5,375	5,597	222	-2	1,255
RECOUPMENT ACADEMY	Bradford Academy	8,433,809	377,389	0	753,550	9,564,748	9,117,893	0	758,325	9,876,218	306,695	0	4,775	311,470	0	5,652	5,796	145	14	1,573
RECOUPMENT FREE SCH	Bradford Girls Grammar (Free School)	4,502,742	221,512	7,727	269,960	5,001,941	4,987,797	28,131	274,735	5,290,663	263,543	20,403	4,775	288,722	0	4,916	5,118	202	19	980
RECOUPMENT ACADEMY	Dixons Allerton Academy	8,586,704	396,283	0	594,520	9,577,507	9,210,188	0	590,700	9,800,888	227,201	0	-3,820	223,381	49,483	5,508	5,616	108	9	1,640
<b>ALL THROUGH TOTALS</b>		<b>27,981,931</b>	<b>1,293,373</b>	<b>23,182</b>	<b>2,237,985</b>	<b>31,536,471</b>	<b>30,340,236</b>	<b>44,857</b>	<b>2,244,240</b>	<b>32,629,333</b>	<b>1,064,932</b>	<b>21,675</b>	<b>6,255</b>	<b>1,092,862</b>	<b>49,483</b>	<b>5,413</b>	<b>5,577</b>	<b>164</b>	<b>40</b>	<b>5,448</b>

Notes

This analysis excludes Early Years, High Needs and Post 16 funding (pre-16 mainstream DSG funding only). It updates the modelling used in the consultation.  
 2020/21 Actuals are based on figures included in the Section 251 Budget Statements, except for the Pupil Premium which uses the figures updated in July / December by the DfE  
 2021/22 figures are based on estimates of the October 2020 Census numbers but still using October 2019 Census-based data  
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## SCHOOLS FORUM AGENDA ITEM

For Action

For Information



**Brief Description of Item** (including the purpose / reason for presenting this for consideration by the Forum)

**This report asks Forum Members to further consider the position of the funding of Schools, Central Schools Services and Early Years Block central and de-delegated items in 2021/22, following the initial discussion had in the meeting of 14 October 2020.**

**Date (s) of any Previous Discussion at the Forum**

An initial report on funds to be held in 2021/22 was presented to the Schools Forum at the last meeting (14 October 2020, Document ME). From this report:

- Members representing maintained primary schools decided that de-delegation for the purposes of subscribing to Fischer Family Trust should continue from the Schools Block in 2021/22.
- Members did not request the review of any of the centrally managed funds presented in Document ME.

The Authority has requested feedback from schools and academies, on centrally managed funds, within the autumn 2020 consultations, including on the criteria / methodology for the allocation of Growth Funding, Falling Rolls Funding and de-delegated funds for maintained schools. Feedback on these consultations is reported back to this meeting under separate agenda items.

**Background / Context**

Please refer to Document ME October 2020, which was written to initiate consideration of centrally managed funds for the 2021/22 financial year. The minutes of the 14 October meeting record:

“This report (Document ME) asked the Forum to beginning to review the position of Central Schools Services Block, Schools Block and Early Years Block central funds and de-delegated items for the 2021/22 financial year. The Business Advisor asked the Forum to identify whether any of the outline proposals and expectations set out in the report should be more closely considered and whether the Forum wishes for further information to be provided or for further review work to take place in advance of requiring final recommendations and decisions at the 13 January 2021 meeting. Forum Members did not ask for further information and did not identify any areas for further review.”

**Details of the Item for Consideration**

There are three appendices attached to this report, which set out the indicative proposals for centrally managed funds to be held within the Schools Block, Early Years Block and Central Schools Services Block for the 2021/22 financial year.

- Appendix 1 – presents line by line information by block of the funds proposed to be held, the Authority’s recommendation and how the 2021/22 arrangements compare with 2020/21. This appendix also shows the balances that are forecasted to be held in each existing fund at the end of the 2020/21 financial year.
- Appendix 2 – presents more detailed information on the contributions (and changes vs. 2020/21) proposed for de-delegated funds held for maintained schools within the Schools Block for the 2021/22 financial. This appendix also shows in more detail the balances that are forecasted to be held in each del-delegated fund at the end of the 2020/21 financial year.
- Appendix 3 – lists for clarity the purposes for which the Authority can retain Central Schools Services Block monies for the former Education Services Grant funded statutory responsibilities the Authority holds in respect of all state funded schools and academies.

**Implications for the Dedicated Schools Grant (DSG) (if any)**

Recommendations will have direct implications for the distribution of the Central Schools Services Block, Schools Block and Early Years Block.

**Recommendations**

- (1) Members are asked to note the information provided in the report.**
- (2) Members are asked to consider whether any further information is needed to enable final recommendations and decisions to be taken / made on 13 January 2021 on the funding of centrally managed and de-delegated Early Years, Schools and Central School Services Block items in 2021/22.**

**List of Supporting Appendices / Papers (where applicable)**

Appendix 1 – Detailed Summary of Schools, Early Years and Central Schools Services Block funds.  
Appendix 2 – Analysis of Per Pupil Contributions to Schools Block De-delegated Funds & Forecasted carry Forward Balances.  
Appendix 3 – Description of Statutory Duties permitted by the funded by the transferred ESG Centrally Retained Duties grant.

**Contact Officer (name, telephone number and email address)**

Andrew Redding, Business Advisor (Schools),  
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1) De-Delegated Funds held within the Schools Block (Maintained Primary & Secondary Schools)

Ref	Fund	Initial New Cash Value Retained 2020/21 Schools Budget	Local Authority Recommendation for the 2021/22 Financial Year	Recommended INITIAL New Cash Value Retained from 2021/22 Schools Budget	Change in Fund Value in 2021/22 (negative = reduction)	Estimated Fund Specific Balance Carried Forward from 2020/21	Total Funding Available 2021/22 inc. specific carry forward balance
1	School Re-Organisation Costs (actual cost of existing safeguarded salaries)	£25,138	Continue De-Delegation from both primary & secondary phases for existing safeguarded salaries at actual (reducing) cost.	£21,043	£-4,095	£0	£21,043
2	School Re-Organisation Costs (school deficit provision Primary phase only)	£0	Continue to pause de-delegation from the Primary phase in 2021/22 and review for 2022/23 (use Brought Forward Balance where necessary). No secondary phase De-Delegation.	£0	£0	£133,077	£133,077
3	Exceptional Costs & Schools In Financial Difficulty (Primary phase only)	£73,300	Continue De-Delegation from the Primary phase, recovering the same £app as in 2020/21. Continue existing criteria. No secondary phase De-Delegation.	£64,000	£-9,300	£141,630	£205,630
4	Costs of FSM Eligibility Assessment	£52,007	Continue De-Delegation from both the Primary and Secondary phases on same basis as 2020/21 (same £apFSM contribution).	£46,946	£-5,061	£-1,573	£45,373
5	Fischer Family Trust - School Licences (Primary phase only)	£23,150	Continue De-Delegation from the Primary phase, recovering the cash value needed to match actual cost (TBC). No secondary phase De-Delegation. The secondary phase and all academies are invited to subscribe individually through the Local Authority. Decision to continue de-delegation in 21/22 has already been taken on 14 October 2020.	£28,450	£5,300	£2,786	£31,236
6	Trade Union Main Facilities Time	£161,853	Continue De-Delegation from both Primary & Secondary phases as in 2020/21 but with total £app contribution (including H&S) reduced from £5.26 to £5.00. Review £charge again for 2022/23. Subject to volume of buy in.	£138,552	£-23,301	£162,813	£301,365
7	Trade Union Health & Safety Rep Facilities Time	£24,733	Continue De-Delegation from both Primary & Secondary phases as in 2020/21 but with total £app contribution (including H&S) reduced from £5.26 to £5.00. Review £charge again for 2022/23. Subject to volume of buy in.	£21,172	£-3,561	£0	£21,172
8	School Maternity / Paternity 'insurance' fund (Primary phase only)	£483,041	Continue De-Delegation from the primary phase at a value forecasted to afford the scheme in 2021/22 minus the deployment of a proportion (£0.20m) of the estimated fund balance carried forward from 2020/21. No secondary phase De-Delegation.	£429,526	£-53,515	£627,027	£1,056,553
9	School Staff Public Duties & Suspensions Fund (Primary Phase only)	£26,172	Continue De-Delegation from the Primary phase recovering the same £app as in 2020/21. Continue existing criteria. No secondary phase De-Delegation.	£22,851	£-3,321	£25,026	£47,877
<b>Total Schools Block De-Delegated Funds</b>		<b>£869,395</b>		<b>£772,541</b>	<b>£-96,854</b>	<b>£1,090,785</b>	<b>£1,863,326</b>

**2) Schools Block Growth Fund & Falling Rolls Fund**

Ref	Fund	Initial New Cash Value Retained 2020/21 Schools Budget	Local Authority Recommendation for the 2021/22 Financial Year	Recommended INITIAL New Cash Value Retained from 2021/22 Schools Budget	Change in Fund Value in 2021/22 (negative = reduction)	Estimated Fund Specific Balance Carried Forward from 2020/21	Total Funding Available 2021/22 inc. specific carry forward balance
10	Growth Fund (including agreed funding for Beckfoot Upper Heaton Academy)	£1,432,910	Continue the existing scheme criteria and make budget provision at a value to meet forecasted cost in 2021/22. The 2021/22 fund includes £0.175m (primary) and £0.952m (secondary) budgets for expansions at 1 September 2021. A list of known (existing expansion) allocations will be presented for the Schools Forum's approval on 13 January.	£1,359,294	£-73,616	£1,385,575	£2,744,869
11	Falling Rolls Fund (Primary Phase Only)	£250,000	Continue the existing scheme criteria but pause making further new budget provision in 2021/22 and review for 2022/23. Use expected brought forward balance for 21/22 allocations. The Forum will consider allocations from the 2020/21 Fund in March 2021.	£0	£-250,000	£500,000	£500,000
<b>Total Growth Fund &amp; Falling Rolls Fund</b>		<b>£1,682,910</b>		<b>£1,359,294</b>	<b>£-323,616</b>	<b>£1,885,575</b>	<b>£3,244,869</b>

**3) Central Schools Services Block (Maintained Schools and Academies)**

Ref	Fund	Initial New Cash Value Retained 2020/21 Schools Budget	Local Authority Recommendation for the 2021/22 Financial Year	Recommended INITIAL New Cash Value Retained from 2021/22 Schools Budget	Change in Fund Value in 2021/22 (negative = reduction)	Estimated Fund Specific Balance Carried Forward from 2020/21	Total Funding Available 2021/22 inc. specific carry forward balance
12	Schools Forum Running Costs	£10,000	Continue at the 2020/21 cash value (Please note that prior year spending restriction has now been released).	£10,000	£0	£0	£10,000
13	Pupil Admissions	£614,906	Continue and adjust the budget for existing service spending pressure + pay award / inflation funded from the CSSB headroom (Please note that prior year spending restriction has now been released).	£737,000	£122,094	£0	£737,000
14	DfE Copyright Licences (national framework for all state funded schools)	£374,983	Continue to charge to the Central Schools Services Block at actual cost set by the DfE. Early Years and High Needs elements are charged to their respective Blocks on a pro-rata basis.	£367,820	£-7,164	£0	£367,820
15	Former ESG funded Statutory Duties (the Council's statutory responsibilities relating to all state funded schools and academies). Please see Appendix 3.	£1,425,951	Continue to passport the value of the transferred Grant back to the Authority at the same cash value as 2020/21 + pay award / inflation funded from the CSSB headroom.	£1,475,270	£49,319	£0	£1,475,270
16	Education Access Officers (former new communities team - attendance and education welfare)	£412,340	Continue at the 2020/21 cash value, adjusted for current service spend + pay / inflation funded from the CSSB headroom.	£423,300	£10,960	£0	£423,300
17	Transferred Teacher Pension Grant (to be allocated to funds 15 and 16)	£0	This is funding transferred into the CSSB in respect of the former Teacher Pension Grant allocations for centrally managed teachers that are deployed within funds 15 and 16. TBC. This funding will be added to these budgets. Currently shown separately for clarity in the first year of transfer.	£163,330	£163,330	£0	£163,330
<b>Total Central Schools Services Block Funds</b>		<b>£2,838,181</b>		<b>£3,176,720</b>	<b>£338,540</b>	<b>£0</b>	<b>£3,176,720</b>

4) Centrally Managed Funds held in the Early Years Block

Ref	Fund	Initial New Cash Value Retained 2020/21 Schools Budget	Local Authority Recommendation for the 2021/22 Financial Year	Recommended INITIAL New Cash Value Retained from 2021/22 Schools Budget	Change in Fund Value in 2021/22 (negative = reduction)	Estimated Fund Specific Balance Carried Forward from 2020/21	Total Funding Available 2021/22 inc. specific carry forward balance
17	Nursery Schools access to Schools Block de-delegated funds	£91,089	Continue current arrangements where Nursery Schools access Schools Block de-delegated items.	£94,348	£3,260	£71,709	£166,057
18	DfE Copyright Licences (national framework for all state funded schools) - EYB element	£36,914	Continue to charge the Early Years Block for the Early Years proportion of the actual cost set by the DfE.	£33,009	-£3,905		£33,009
19	EYSFF - Early Years SEND Inclusion Fund 2 Year Olds	£150,000	Continue to allocate Early Years Inclusion monies to eligible 2 year olds as per the agreed mechanism. Cost in 2021/22 is estimated.	£150,000	£0		£150,000
20	EYSFF - Early Years SEND Inclusion Fund 3 & 4 Year Olds	£1,000,000	Continue to allocate Early Years Inclusion monies to eligible 3 & 4 year olds as per the agreed mechanism. Cost in 2021/22 is estimated.	£450,000	-£550,000		£450,000
21	EYSFF - Early Years Pupil Premium	£453,885	To be delegated to providers during the year, with the fund held centrally at the start of the year. The intial fund value is matched to the Early Years Block DSG EYPP grant value.	£407,271	-£46,614		£407,271
22	EYSFF - Disability Access Fund	£196,185	To be delegated to providers during the year, with the fund held centrally at the start of the year. The intial fund value is matched to the EYB DSG DAF grant value. Any remaining balance of DAF funds is ring-fenced for this purpose in line with DfE guidance. Forum to consider use of the current balance (including enhancing the per capita value).	£196,185	£0	£459,675	£655,860
23	Early Years High Needs Support (Centrally Managed Services) and Early Years Inclusion Fund capacity.	£365,000	Contribution to spend on early years-related high needs support, including portage, pre-5 service and inclusion fund management. Proposed to increase budget in 2021/22 for portage pressure (+£55k) and pay award / inflation using the mean average EHCP Banded Model top up % uplift.	£448,000	£83,000		£448,000
<b>Total Early Years Block Funds</b>		<b>£2,293,073</b>		<b>£1,778,814</b>	<b>-£514,259</b>	<b>£531,384</b>	<b>£2,310,198</b>
<b>Value of EYB Centrally Managed Funds counted within the 5% restriction</b>		<b>£643,003</b>		<b>£725,358</b>			

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	2020/21 DSG Budget	Total Indicative Proposed Value 2021/22	Early Years Total Value	Primary Total Value	Secondary Total Value	2021/22 Value £PP			2020/21 Value £PP			Difference £pp			
						Maintained Early Years £ Per Pupil	Maintained Primary £ Per Pupil	Maintained Secondary £ Per Pupil	Maintained Early Years £ Per Pupil	Maintained Primary £ Per Pupil	Maintained Secondary £ Per Pupil	Maintained Early Years £ Per Pupil	Maintained Primary £ Per Pupil	Maintained Secondary £ Per Pupil	
Costs of FSM Eligibility Assessment	52,007	46,946		35,834	11,112										
Fischer Family Trust - School Licences	23,150	28,450		28,450			£1.16			£0.82				£0.34	
School Maternity / Paternity 'insurance' fund	550,000	500,000	70,474	429,526		£17.50	£17.50		£17.18	£17.18			£0.32	£0.32	
Trade Union Facilities Time	179,638	156,010	17,458	106,402	32,150	£4.33	£4.33	£4.33	£4.56	£4.56	£4.56		-£0.23	-£0.23	-£0.23
Trade Union Health & Safety Rep Time	27,450	23,840	2,668	16,259	4,913	£0.66	£0.66	£0.66	£0.70	£0.70	£0.70		-£0.03	-£0.03	-£0.03
School Staff Public Duties & Suspensions Fund	29,800	26,600	3,749	22,851		£0.93	£0.93		£0.93	£0.93			£0.00	£0.00	
Exceptional Costs & Schools In Financial Difficulty	73,300	64,000		64,000			£2.61			£2.61				£0.00	
School Re-Organisation Costs (Safeguards)	25,138	21,043		20,020	1,024		£0.82	£0.14		£0.78	£0.42			£0.03	-£0.28
School Re-Organisation Costs (Deficits)	0	0		0				£0.00		£0.00				£0.00	
<b>TOTAL Value Of De-Delegated Funds / £APP</b>	<b>960,484</b>	<b>866,889</b>	<b>94,348</b>	<b>723,342</b>	<b>49,199</b>	<b>£23.42</b>	<b>£28.00</b>	<b>£5.13</b>	<b>£23.37</b>	<b>£27.58</b>	<b>£5.68</b>	<b>£0.06</b>	<b>£0.42</b>	<b>-£0.54</b>	

Please note that the initial cash value of de-delegated funds in 2021/22 is subject to confirmation (taking account of 1 January 2021 academy conversions).

De-Delegated Funds: Forecasted 2020/21 Spending Position / End of Financial Year Balance (to be carried forward into 2021/22)

Fund	Brought Forward Balance from 19/20	Estimated 20/21 In Year Under / Over (-) Spend Position	Estimated Carry Forward Balance into 21/22	Comments
Costs of FSM Eligibility Assessment	0	-1,573	-1,573	Estimated overspend due to adjustment for academy conversions TBC
Fischer Family Trust - School Licences	3,800	-1,014	2,786	Small adjustment for confirmed buy in from academies & academy conversions TBC
School Maternity / Paternity 'insurance' fund	919,892	-221,156	698,736	Planned release of balance in 20/21. Proposed to continue release (£0.15m) in 21/22
Trade Union Facilities Time inc. H&S	219,290	-56,478	162,813	Cross over of spend between years. Balance suggestive charging can be reviewed
School Staff Public Duties & Suspensions Fund	0	25,026	25,026	Possible spend in 20/21 still to add TBC.
Exceptional Costs & Schools In Financial Difficulty	71,540	70,090	141,630	Forecasted no spend in 20/21 TBC. Expect calls on this in 21/22 re. undersubscription
School Re-Organisation Costs (Safeguards & Deficits)	131,996	1,082	133,077	Forecasted no spend in 20/21 TBC. Held for deficit primary sponsored academies
<b>Total (Net) Balance</b>	<b>1,346,518</b>	<b>-184,023</b>	<b>1,162,494</b>	

Schools Block proportion of estimated de-delegated funds balance

1,090,785

Early Years Block proportion of estimated de-delegated funds balance

71,709

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## **Schools Forum Document MN Appendix 3**

Responsibilities held by local authorities for all schools and academies are funded from the Central Schools Services Block (CSSB) with the agreement of Schools Forums.

The following is a list of the responsibilities, extracted from the DfE's 2021/22 Schools Block Operational Guidance, which relate to the value retained within our CSSB for the former ESG funded statutory services and responsibilities.

The references are to the provision written into the School and Early Years Finance (England) Regulations 2020.

### **Statutory and Regulatory Duties**

- Director of Children's Services and personal staff for director (Sch 2, 15a)
- Planning for the education service as a whole (Sch 2, 15b)
- Revenue budget preparation, preparation of information on income and expenditure relating to education, and external audit relating to education (Sch 2, 22)
- Authorisation and monitoring of expenditure not met from schools' budget shares (Sch 2, 15c)
- Formulation and review of local authority schools funding formula (Sch 2, 15d)
- Internal audit and other tasks related to the local authority's chief finance officer's responsibilities under Section 151 of LGA 1972 except duties specifically related to maintained schools (Sch 2, 15e)
- Consultation costs relating to non-staffing issues (Sch 2, 19)
- Plans involving collaboration with other LA services or public or voluntary bodies (Sch 2, 15f)
- Standing Advisory Committees for Religious Education (SACREs) (Sch 2, 17)
- Provision of information to or at the request of the Crown other than relating specifically to maintained schools (Sch 2, 21)

### **Education Welfare**

- Functions in relation to the exclusion of pupils from schools, excluding any provision of education to excluded pupils (Sch 2, 20)
- School attendance (Sch 2, 16)
- Responsibilities regarding the employment of children (Sch 2, 18)

### **Asset Management**

- Management of the LA's capital programme including preparation and review of an asset management plan, and negotiation and management of private finance transactions (Sch 2, 14a)
- General landlord duties for all buildings owned by the local authority, including those leased to academies (Sch 2, 14b)

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